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# **THÈSE DE DOCTORAT**

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### Variabilité des algues sargasses de l'Océan Atlantique tropical nord

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## Affidavit

Je soussigné, Witold Podlejski, déclare par la présente que le travail présenté dans ce manuscrit est mon propre travail, réalisé sous la direction scientifique de Christophe Lett et Léo Berline, dans le respect des principes d'honnêteté, d'intégrité et de responsabilité inhérents à la mission de recherche. Les travaux de recherche et la rédaction de ce manuscrit ont été réalisés dans le respect à la fois de la charte nationale de déontologie des métiers de la recherche et de la charte d'Aix-Marseille Université relative à la lutte contre le plagiat.

Ce travail n'a pas été précédemment soumis en France ou à l'étranger dans une version identique ou similaire à un organisme examinateur.

Fait à Marseille le 5/09/2023





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# Liste de publications et participation aux conférences

# Liste des publications réalisées dans le cadre du projet de thèse :

- PODLEJSKI, W., DESCLOITRES, J., CHEVALIER, C., MINGHELLI, A., LETT, C., & BERLINE, L. (2022). Filtering out false sargassum detections using context features. *Frontiers in Marine Science*, 9. https://doi.org/10.3389/fmars.2022.960939
- 2. **PODLEJSKI**, W., **BERLINE**, L., **NERINI**, D., **DOGLIOLI**, A., & **LETT**, C. (2023). A new sargassum drift model derived from features tracking in modis images. *Marine Pollution Bulletin*, *188*, 114629. https://doi.org/https://doi.org/10.1016/j. marpolbul.2023.114629
- JOUANNO, J., MOQUET, J.-S., BERLINE, L., RADENAC, M.-H., SANTINI, W., CHANGEUX, T., THIBAUT, T., PODLEJSKI, W., MÉNARD, F., MARTINEZ, J.-M., AUMONT, O., SHEINBAUM, J., FILIZOLA, N., & N'KAYA, G. D. M. (2021b). Evolution of the riverine nutrient export to the tropical atlantic over the last 15 years: is there a link with sargassum proliferation? *Environmental Research Letters*, 16(3), 034042. https://doi.org/10.1088/1748-9326/abella
- 4. CHANGEUX, T., BERLINE, L., PODLEJSKI, W., GUILLOT, T., STIGER-POUVREAU, V., CONNAN, S., & THIBAUT, T. (2023). Variability in growth and tissue composition (cnp, natural isotopes) of the three morphotypes of holopelagic sargassum. *Aquatic Botany*, *187*, 103644

# Participation aux conférences et écoles d'été au cours de la période de thèse :

- 1. Improving *Sargassum* observation using aggregations context. **Talk**.*European Geoscience Union*, avril 2022, à distance.
- 2. Improving *Sargassum* observation using aggregations context. **Poster**.*Living Planet Symposium*, avril 2022, Bonn, Allemagne.
- 3. Estimating the effect of wind and surface current on *Sargassum* drift. **Poster**. *Association for the Sciences of Limnology and Oceanography*, juin 2023, Palma, Espagne.

## Résumé

Les algues sargasses dites pélagiques, Sargassum fluitans et Sargassum natans, apparaissent à la fois comme un refuge pour la biodiversité en océan ouvert et, depuis plus d'une décennie maintenant, comme un fléau écologique, économique et sanitaire pour les zones côtières touchées par leurs échouements massifs. Ces impacts néfastes sur les écosystèmes et les activités humaines, disséminés du golfe de Guinée jusqu'au golfe du Mexique, suscitent un effort de recherche international afin de comprendre et prévoir l'invasion de ces algues. La présente thèse s'inscrit dans cette dynamique en se focalisant sur la distribution spatiale et temporelle des algues sargasses ainsi que sur leur grande variabilité interannuelle. Ces travaux s'attachent à cartographier puis modéliser leur cycle saisonnier en prenant en compte à la fois leur dérive passive et leur croissance. Il y est d'abord question de la télédétection des sargasses, usant de l'imagerie satellite MODIS pour permettre une cartographie quantitative et journalière à large échelle de leur distribution spatiale. L'apport de cette thèse en la matière se concentre sur le filtrage des erreurs de détection en employant un algorithme d'apprentissage automatisé sur les caractéristiques spatiales de ces algues. Dans un second temps, la dérive des sargasses, mal quantifiée jusqu'alors, est observée dans des images satellites successives en extravant des trajectoires d'agrégats de sargasse. Basé sur l'évaluation des vitesses d'agrégats résultantes, un modèle approfondi de la dérive des sargasses est proposé en fonction des courants et du vent environnants. En dernière partie de cette thèse, ce nouveau modèle de dérive, utilisé dans une approche Lagrangienne, est mis en synergie avec un modèle biogéochimique de la croissance des sargasses afin de reproduire l'évolution annuelle des quantités d'algues et de leur répartition. L'analyse des résultats de ce modèle de dérive et de croissance révèle la spatialisation de la croissance et dégénérescence des sargasses et permet également d'éclairer les principaux facteurs limitants environnementaux. Cette dernière analyse met, par ailleurs, en lumière une caractéristique climatique cruciale qui, par le réchauffement des températures de surface de l'océan au nord du Brésil, stoppe le développement saisonnier des biomasses de sargasses. Aussi, la temporalité de cette caractéristique climatique pourra être surveillée afin de mieux prévoir l'accroissement annuel des sargasses et dans le but d'alerter les pays sur les futurs risques d'échouements.

Mots clés : Sargasses, séries temporelles, télédétection, dérive, modèle Lagrangien, apprentissage automatisé, modèle de croissance.

### Abstract

Pelagic Sargassum algae, Sargassum fluitans and Sargassum natans, are both a refuge for biodiversity in open oceans and, for over a decade now, an ecological, economic and health scourge for coastal areas affected by their massive stranding. These harmful impacts on ecosystems and human activities, scattered from the Gulf of Guinea to the Gulf of Mexico, have prompted an international research effort to warn of and predict the invasion of these algae. The present thesis is part of this dynamic, focusing on the spatial and temporal distribution of Sargassum seaweed, as well as its great inter-annual variability. The aim is to map and then model their seasonal cycle, taking into account both their passive drift and their growth. The first focus is on remote sensing of Sargassum, using satellite imagery to provide large-scale, quantitative, daily mapping of its spatial distribution. The contribution of this thesis in this area focuses on filtering detection errors using automated learning algorithms based on the spatial characteristics of these algae. In a second step, Sargassum drift, previously lacking tangible measurements, is observed in successive satellite images by extracting trajectories of Sargassum aggregates. Based on the assessment of the resulting aggregate velocities, an in-depth model of Sargassum drift is proposed as a function of surrounding wind and currents. In the final part of this thesis, this new drift model, used in a Lagrangian approach, is associated to a biogeochemical model of Sargassum growth in order to best reproduce the annual evolution of algae quantities and distribution. Analysis of the results of this double model reveals the spatialization of Sargassum growth and decay, and sheds light on the predominant environmental factors that limit it. This latest analysis also highlights a crucial climatic characteristic which, through warming ocean surface temperatures in the north of Brazil, stops the seasonal development of Sargassum biomasses. Thus, the temporality of this climatic event can be monitored in order to better predict the annual growth of Sargassum and to alert countries about the risks of future strandings.

Keywords: *Sargassum*, time series, drift, Lagrangian model, machine learning, growth model.

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## Liste des acronymes

#### ABI

Advanced Baseline Imager.

#### AFAI

Alternative Floating Algae Index.

#### AMM

Atlantic Meriodional Mode.

#### ANR

Agence National de Recherche.

#### CC

Caribbean Current.

#### CNES

Centre National d'Etudes Spatiales.

#### CNRS

Centre national de la recherche scientifique.

#### CSDI

Coast Shortest Distance Index.

#### **ECMWF**

European Centre for Medium-Range Weather Forecasts.

#### FAI

Floating Algae Index.

#### FC

Fractional Coverage.

#### FORESEA

FOREcasting seasonal Sargassum Events in the Altantic.

#### **FPCA**

Functional Principal Component Analysis.

#### GASB

Great Atlantic Sargassum Belt.

#### GDP

Global Drifter Program.

#### GLOH

Gradient Location and Orientation Histogram.

#### GOES

Geostationary Operational Environmental Satellite.

#### GPS

Global positioning System.

#### GTB

Gradient Tree Boosting.

#### IRD

Institut de Recherche pour le Développement.

#### ITCZ

Intertropical Convergence Zone.

#### KDE

Kernel Density Estimate.

#### LDA

Linear Discriminant Analysis.

#### LEGOS

Laboratoire d'Etudes en Géophysique et Océanographie Spatiales.

#### LIS

Laboratoire d'Informatique et des Systèmes.

#### MCI

(Maximum Chlorophyl Index.

#### MERIS

Medium Resolution Imaging Spectrometer.

#### MIO

Mediterranean Institute of Oceanography.

#### MODIS

Moderate-Resolution Imaging Spectroradiometer.

#### MSI

MultiSpectral Instrument.

#### NASA

National Aeronautics and Space Administration.

#### NB

Naive Bayes classifier.

#### NBC

North Brazil Current.

#### NDVI

Normalized Difference Vegetation Index.

#### NEC

North Equatorial Current.

#### NECC

North Equatorial CounterCurrent.

#### NEMO

Nucleus for European Modelling of the Ocean.

#### NIR

Near-InfraRed.

#### NNAI

Nearest Neighbours Area Index.

#### NNI

Nearest Neighbours Index.

#### NOAA

National Oceanic and Atmospheric Administration.

#### OF

Optical Flow.

#### OLCI

Ocean and Land Colour Instrument.

#### OLI

Operational Land Imager.

#### Persl

Persistence Index.

#### PISCES

Pelagic Interaction Scheme for Carbon and Ecosystem Studies.

#### RANSAC

RANdom SAmple Consensus.

#### RF

Random Forest.

#### SAREDA

SArgassum Evolving Distribution in the Atlantic.

#### SEC

South Equatorial Current.

#### SIFT

Scale Invariant Feature Transform.

#### SVM

Support Vector Machine.

#### SVP

Surface Velocity Program.

#### TSS

True Skill Statistic.

### UTC

Universal Time Clock.

### VIIRS

Visible Infrared Imaging Radiometer Suite.

Avec 70% de couverture de la surface terrestre, les océans apportent une contribution fondamentale aux grands équilibres planétaires. Par leurs échanges avec l'atmosphère, leur inertie thermique et leur circulation interne, ils jouent un rôle primordial dans la régulation de la température du globe et du CO<sub>2</sub> atmosphérique. Berceau et refuge de la biodiversité, on y dénombre 34 phyla riches de ressources pour l'alimentation humaine. Du fait du changement climatique, auquel s'ajoutent les pressions anthropiques locales, l'équilibre des océans et de la biodiversité associée est constamment mis en péril et l'on observe une augmentation alarmante des perturbations des écosystèmes. Parmi celles-ci, l'apparition d'espèces invasives est fréquemment un facteur de premier plan de ces déséquilibres. Le plus souvent introduites par l'homme, elles entraînent généralement une diminution de la biodiversité et la disparition de certaines espèces indigènes. De nombreuses espèces d'algues pluricellulaires se sont avérées invasives lorsqu'elles ont changé d'écosystème (VERLAQUE et al., 2015), qu'elles soient benthiques comme Rugulopteryx okamurae, côtières comme les Ulves ou pélagiques comme les algues sargasses, objet de la présente étude. En effet, ces dernières, auparavant limitées à de faibles quantités en mer des Sargasses, sont devenues un fléau régulier pour les côtes de divers pays (comme le Mexique, l'Angleterre, les USA ou la France) en causant de dramatiques épisodes d'échouement massif sur leurs plages (Figure 1.1).



FIGURE 1.1. : Accumulation massive d'algues sargasses aux Îles Vierges britanniques (Photo : YACHT SHOTS BVI).

### Les algues sargasses pélagiques

Ces échouements d'algues sargasses se composent actuellement de deux espèces différentes, Sargassum natans et Sargassum fluitans. Toutes deux incluses dans le genre Sargassum, elles font partie de la classe des algues brunes (Phaeophyceae) qui se caractérise par l'utilisation de la chlorophylle c pour capter l'énergie solaire. Parmi les autres espèces de sargasses, celles-ci ont pour spécificité de ne jamais être attachées à aucun substrat, d'où leur attribut de "pélagique". Elles flottent à la surface de l'océan durant leur cycle de vie entier et seuls leur échouement ou leur submersion en profondeur causent généralement leur dégradation. Une seule autre espèce de sargasse leur est comparable : Sargassum horneri. Évoluant en mer Jaune de Chine, cette dernière pousse fixée au fond de la mer (espèce benthique) mais des événements météo intenses peuvent décrocher une grande quantité de ces algues qui continuent à vivre à la surface de l'eau comme les sargasses pélagiques. À l'instar des autres algues, les sargasses n'ont pas à proprement parler de tissus différenciés, mais elles comportent néanmoins des organes spécialisés répartis sur leur thalle (organisme). Le stipe joue le rôle structurant assimilable à celui d'une tige en reliant les différents organes sans pour autant assurer une fonction circulatoire. Les frondes ou lames sont similaires à des feuilles qui augmentent la surface d'absorption de la lumière, et les pneumatocystes (flotteurs) assurent la flottaison de l'ensemble (Figure 1.2). Cette anatomie est partagée par toutes les sargasses pélagiques qui se déclinent en différents morphotypes. Au nombre de trois à l'heure actuelle, ils se distinguent par leur caractère plus ou moins touffu, la taille et le nombre de leurs frondes et pneumatocystes, ainsi que par leurs caractéristiques biologiques comme leur taux de croissance.



FIGURE 1.2. : Thalle de Sargassum natans et ses organes spécialisés.

Ces organismes se reproduisent par la fragmentation et la multiplication végétative majoritairement. Si bien que les populations d'un même morphotype sont très homogènes et comparables à un seul gigantesque clone (DIBNER et al., 2022). Du fait des courants et du vent, les populations d'algues se regroupent plus ou moins en radeaux compacts. Notamment, la circulation de Langmuir (tourbillons de surface dus au vent) génère des structures allongées particulières nommées andains (windrow en anglais) du fait leur similitude avec le fourrage après la moisson. Dans de plus rares cas, il se forme également des amas de taille variable pouvant aller jusqu'à 100 mètres de diamètre (Figure 1.3). Ces radeaux ont une épaisseur comprise entre quelques dizaines de centimètres et quelques mètres et sont le plus souvent clairsemés. Les vents influent de surcroît sur la profondeur des radeaux, à l'affleurement par vent calme, ils sont mélangés dans la couche d'eau superficielle jusqu'à quelques mètres de profondeur lorsque le vent et l'état de la mer se déchaînent.



FIGURE 1.3. : Types de radeaux de sargasses (Schéma : ODY et al., 2019).

Les algues sargasses pélagiques sont bien connues des navigateurs et des scientifiques puisque leur première observation répertoriée remonte à la traversée de l'Atlantique par Christophe Colomb. Depuis lors, elles n'ont été l'objet que d'un intérêt marginal par la communauté scientifique et par les pêcheurs qui s'intéressent aux populations de poissons vivant parmi ces algues. Les sargasses n'ont été observées tout au long du dernier demi-millénaire que dans la mer qui porte leur nom, la mer des Sargasses (Figure 1.4).



FIGURE 1.4. : Carte historique de la mer des Sargasses par Justus Perthes (1871).

Cette situation d'équilibre a perduré jusqu'au début des années 2010 qui ont été marquées par un changement radical de la distribution et de la dynamique des algues sargasses. Selon JOHNS et al., 2020, c'est suite à un événement climatique inhabituel et des vents violents en 2010 que les algues ont vraisemblablement été transportées loin à l'est de la mer des sargasses, jusqu'aux côtes des Canaries à partir desquelles elles ont été advectées vers le golfe de Guinée pour s'implanter durablement dans une nouvelle zone située vers 5° nord. À présent, on les trouve réparties sur toute l'étendue est-ouest de l'océan Atlantique, depuis le golfe de Guinée où elles sont abondantes en début d'année jusqu'à la mer des Caraïbes et le golfe du Mexique qu'elles envahissent durant l'été. Ce changement géographique s'est accompagné d'un accroissement décuplé des algues sargasses, leur couverture spatiale et les quantités de biomasses observées à l'heure actuelle sont sans commune mesure avec celles mesurées précédemment (Figure 1.5).



FIGURE 1.5. : Série temporelle des couvertures de sargasses sur l'océan Atlantique entier (M. WANG et al., 2019a).

Ces concentrations phénoménales d'algues s'étalent sur des centaines de kilomètres carrés et sont transportées aux abords des côtes de nombreux pays. Lorsque la combinaison du courant et du vent converge vers les plages, d'importantes quantités d'algues s'échouent sur le rivage et s'accumulent dans les eaux littorales. Leur présence, et à plus forte raison leur pourrissement, sont à la fois néfastes pour l'environnement côtier et pour les activités humaines, notamment touristiques. D'une part, l'eutrophisation de l'eau et l'obstruction de la lumière entraînent une mortalité importante des producteurs et consommateurs primaires de l'écosystème côtier. D'autre part, les dégazements nauséabonds et toxiques des algues en putréfaction font barrage au tourisme balnéaire et les pays touchés essuient de lourdes pertes économiques. Parmi ces derniers, on trouve le Mexique, dont les côtes du Yucatán sont sévèrement impactées par les échouements, ainsi que les USA avec la Floride et Porto Rico, régulièrement submergés d'algues sargasses. La France est également touchée au niveau de la Guadeloupe et de la Martinique dont les revenus touristiques, principal secteur économique de ces îles, sont considérablement dévalorisés. https://www.senat.fr/questions/base/2018/qSEQ18030304S.html.

Ces dégâts économiques, écologiques et sanitaires ont rendu nécessaire l'amélioration des connaissances scientifiques sur ces algues afin de mieux comprendre leur évolution et de permettre une meilleure gestion de leurs échouements. La présente thèse s'inscrit dans cette dynamique de recherche promue notamment par les organismes français. Le projet ANR (Agence Nationale de la Recherche) FORESEA (FOREcasting seasonal Sargassum Events in the Atlantic), par lequel cette thèse est financée, se propose ainsi d'observer, modéliser et prévoir la distribution spatiale des sargasses à l'échelle du bassin. Plus spécifiquement, il va s'agir ici d'explorer les facteurs déterminants du cycle saisonnier des sargasses afin d'élucider leur grande variabilité interannuelle, que ce soit au niveau des quantités de biomasse observées ou de leur distribution.

### **Observation des sargasses**

Préalablement à l'étude des variations interannuelles des sargasses, il est indispensable d'améliorer nos connaissances sur leur distribution spatiale depuis leur récent changement d'aire de répartition. Malheureusement, ces algues se développent sur une zone d'une telle étendue (20 millions de km<sup>2</sup>) qu'il est impossible d'en faire le suivi exhaustif par des campagnes en mer et des mesures *in-situ*. Afin de contourner cette limitation, il est possible d'utiliser des outils de télédétection qui permettent d'étudier leur distribution à partir d'images globales. La télédétection est une approche très largement utilisée pour l'observation des phénomènes à large échelle comme les algues marines. Utilisée sur un objet d'étude (sargasses), elle donne accès à des informations (localisation, quantité, forme) transportées par un média (lumière) et reçues par un capteur sensible à ce média (spectroradiomètre, figure 1.6). Ces capteurs sont généralement portés par un aéronef (avion, ballon-sonde, etc) ou par des satellites. Ce sont ces derniers qui conviennent le mieux à l'observation des sargasses en permettant des prises de vue très étendues et régulières grâce à leur orbite stable.



FIGURE 1.6. : Télédétection des sargasses grâce à leur réflectance dans l'infrarouge (schéma : Anouk Ody).

S'il suffit de disposer d'un capteur fixé à un satellite pour observer la surface de l'océan au sein duquel se trouvent les sargasses, il est en revanche bien plus complexe de les différencier de l'eau. En effet, leur couleur brunâtre caractéristique n'est pas distinguable depuis l'espace du fait de l'atmosphère et de toutes les particules qui y sont présentes (épaisseur optique). Par chance, les organismes chlorophylliens comme les plantes ou les algues sont très bien discernables dans le proche infrarouge (1000-2000 nm) grâce à leur forte réflectance dans ce domaine. Ainsi, un capteur sensible à ces longueurs d'onde parvient à correctement différencier les sargasses de l'eau de mer environnante et même à les quantifier en fonction de l'intensité du signal reçu. Cependant, les algues sargasses ne sont pas les seules à réfléchir la lumière du proche infrarouge et d'autres objets comme les nuages, leurs ombres, le reflet du soleil dans l'eau ou bien d'autres algues comme le Trichodesmium peuvent être confondus avec les sargasses. C'est pourquoi il a fallu construire des indices radiométriques (combinaison linéaire ou ratios de différentes longueurs d'onde) plus spécifiques à l'observation des sargasses, par exemple en ciblant la bande d'absorption vers 632 nm des sargasses due à leur chlorophylle c (Figure 1.7).



FIGURE 1.7. : Spectre de réflectance des algues sargasses (SHIN et al., 2021). Le zoom de droite montre la bande d'absorbance vers 632 nm caractéristique des pigments de chlorophylle c et donc des algues brunes.

Cette méthode permet de localiser et quantifier précisément les sargasses avec néanmoins des erreurs résiduelles (faux positifs) non négligeables dues à des objets de réflectances similaires. Le traitement de ces scories sera le sujet abordé par le chapitre 3 de la présente thèse afin d'obtenir un jeu de données le plus fidèle possible rendant compte de la distribution spatiale des sargasses. Une fois ce dernier obtenu, il sera possible de commencer à étudier le cycle saisonnier des sargasses grâce à ces nouvelles informations. Afin d'appréhender un phénomène physique ou biologique, une approche privilégiée par la démarche scientifique consiste à établir un modèle décrivant ledit phénomène. En ce qui concerne les algues sargasses, il sera ici nécessaire de définir à la fois une modélisation de leur dérive et de leur croissance. Ce sont ces deux modèles et leur synergie qui seront l'objet des travaux dans les chapitres 4 et 5 de cette thèse.

### Principaux moteurs de la dérive des sargasses

Les radeaux de sargasses se situant à l'interface air-océan, leur dérive est principalement affectée par deux phénomènes physiques, le courant et le vent. Ceux-ci génèrent une force d'entraînement sur les algues et une bonne compréhension de leur interaction combinée sur les sargasses devrait permettre de prévoir leur déplacement. La nouvelle zone de distribution des algues sargasses se situe à la convergence de nombreux courants à grande échelle qui définissent la circulation générale des océans (Figure 1.8). On trouve notamment le courant nord équatorial (NEC) ainsi que son pendant saisonnier, le contre-courant nord équatorial (NECC). Mais il y a également le courant sud équatorial (SEC), le courant nord Brésil (NBC) et le courant Caribéen (CC). Tous ces courants sont en partie responsables du cycle saisonnier des sargasses en les entrainant dans leur trajet aller et retour en Atlantique.



FIGURE 1.8. : Courants de surface de l'Océan Atlantique tropical nord, ils constituent les routes par lesquels transitent les algues sargasses au cours de leur cycle annuel (JOUANNO et al., 2021a). Les surfaces brunâtres correspondent aux biomasses de sargasses observées par satellite et l'échelle de couleur verte la concentration chlorophylle a (phytoplancton).

Le courant de surface peut être approximé en deux termes. En premier lieu, on trouve le courant géostrophique qui résulte de l'équilibre entre les gradients de pression et la force de Coriolis. À celui-ci s'ajoutent les courants hors équilibre ou agéostrophique, notamment ceux induits par le vent et l'état de la mer. Ces derniers sont généralement colinéaires et se déduisent du vent de surface. Le courant induit par le vent est en particulier appelé courant d'Ekman et en surface il est dévié de 45° vers la droite de la direction du vent (hémisphère nord) du fait de la force de Coriolis (Figure 1.9).



FIGURE 1.9. : Spirale d'Ekman et le courant de surface résultant du vent (JAUD, 2011).

Pour ce qui est de l'effet direct du vent sur les sargasses, généralement appelé "windage", il provient de l'effet de trainée appliqué aux parties émergées des radeaux de sargasses. Selon la fraction émergée des sargasses, le windage résultant est plus ou moins important (Figure 1.10).



FIGURE 1.10. : Effet du vent (windage) sur la dérive dépendant de l'immersion d'un objet flottant (Schéma : National Oceanic and Atmospheric Administration)

L'effet d'entraînement des courants, géostrophique et agéostrophique, et du vent sur la dérive des sargasses peuvent être représentés par des coefficients reliant directement leur vitesse à celle des sargasses par une fonction linéaire. Ces facteurs sont jusqu'à présent encore mal déterminés par les scientifiques qui peinent à obtenir des observations précises de la dérive des sargasses. L'extraction de trajectoires de radeaux à partir d'images satellites ainsi que l'estimation de l'effet du courant et du vent sur la dérive des sargasses seront donc les sujets abordés dans le chapitre 4 de la présente thèse.

### Principaux facteurs de la croissance des sargasses

Les algues sargasses étant des organismes photosynthétiques, il leur est en premier lieu nécessaire d'accéder à une source de lumière. En flottant à la surface de l'océan, elles se garantissent un ensoleillement suffisant même si celui-ci peut être légèrement restreint par une forte couverture nuageuse. Ensuite, comme tout autre organisme, les sargasses ont une gamme de conditions physiques dans laquelle elles peuvent perdurer. Notamment, des températures trop froides inhibent leur croissance tandis que des températures trop chaudes accélèrent leur dégénérescence. De ce fait, ces algues dépendent de masses d'eau tempérées afin de croître de manière optimale. De la même manière, un taux de salinité minimal est requis pour leur croissance, mais ce n'est généralement que peu contraignant dans l'océan Atlantique où elles évoluent. Enfin, les sargasses ont besoin des éléments biogéochimiques qui les composent afin de croître. Si le carbone et l'oxygène sont en abondance grâce au CO<sub>2</sub> et O<sub>2</sub> dissous dans l'eau, c'est le phosphore et l'azote qui peuvent être limitants. Les sargasses absorbent donc les ions phosphates  $PO_4^{3-}$ , ammonium  $NH_4^+$  et nitrate  $NO_3^-$  lorsqu'ils sont disponibles. Ces derniers sont répartis inéquitablement dans l'océan Atlantique et sont largement limitants dans certains cas pour la croissance des sargasses. Cependant, leur évolution leur a permis de stocker l'azote et le phosphore au sein de leurs tissus afin de les utiliser pour leur développement ultérieur. Ainsi, elles s'assurent de croître même dans des milieux oligotrophes si elles ont au préalable absorbé des nutriments dans des eaux plus nutritives. La figure 1.11 montre un récapitulatif des facteurs déterminant la croissance des sargasses.



FIGURE 1.11. : Facteurs physiques et biogéochimiques nécessaires à la croissance des algues sargasses.

La combinaison de ces facteurs limitants avec leur taux de croissance nominal évalué en laboratoire donne une modélisation simple mais satisfaisante de la croissance des algues sargasses. Cependant, ces dernières ne font pas que croître indéfiniment et il faut également représenter leur mortalité dans les modèles. Comme aucune information n'est disponible sur ce phénomène précis et qu'il est de surcroît difficile à mesurer, on peut le représenter par un taux fixe de perte par jour. Il est également possible d'utiliser un taux quadratique afin de rendre compte de la compétition pour les ressources lorsque les biomasses de sargasses sont très élevées. L'analyse des résultats de cette modélisation de la croissance et de la mortalité des sargasses seront l'objet du chapitre 5 de cette thèse.

### Organisation du manuscrit

Après cette introduction générale sur les algues sargasses pélagiques, sur les problèmes qui découlent de leurs échouements ainsi que sur la manière de les observer et de modéliser leur dérive et leur croissance, voici comment s'organise ce manuscrit sur la variabilité des algues sargasses.

Pour commencer, le chapitre 2 est une revue la plus exhaustive possible des travaux scientifiques traitant des sargasses depuis le siècle dernier. En traitant de tous les champs d'études appliqués aux sargasses, qu'ils soient en lien ou non aux présents travaux, cet état de l'art rend compte de l'histoire scientifique de ces algues et reflète l'évolution de l'intérêt qui leur est porté par les sociétés humaines.

Dans un second temps, le chapitre 3 et la publication scientifique associée décriront une méthodologie d'amélioration des données de télédétection. Basée sur des caractéristiques du contexte spatial des agrégats de sargasses, cette méthode utilise un algorithme d'apprentissage automatisé pour filtrer les fausses détections de sargasses et mieux quantifier leur biomasse.

Basé sur ce jeu de données corrigées, le chapitre 4 rendra compte de la méthode développée pour suivre des trajectoires d'agrégats de sargasses dans les images satellites basse résolution. Dans le même temps, il y sera décrit l'analyse statistique des trajectoires ainsi obtenues pour construire un modèle de dérive en fonction du courant et du vent.

Ce nouveau modèle de dérive sera par la suite testé dans le chapitre 5 avec une modélisation Lagrangienne de l'évolution de la distribution pluriannuelle des algues sargasses. Une fois recalibré, il s'agira également de l'associer à un modèle biogéochimique de croissance pour faire un suivi à long terme des biomasses de sargasses. Les résultats de ce modèle de dérive et de croissance fourniront les informations nécessaires à l'analyse des zones et facteurs clés afin d'expliquer la variabilité interannuelle des algues sargasses.

Pour finir, le dernier chapitre 6 établira un bilan des résultats apportés par les trois études qui composent cette thèse afin de discuter des phénomènes mis en lumière ainsi que des zones d'ombre restantes.

### 2. Revue de littérature

Les premiers travaux scientifiques sur les algues sargasses remontent au début du 20<sup>ème</sup> siècle. En 120 ans de recherche, les thèmes abordés, reflet des problématiques de leur temps, ont largement fluctué au fil des décennies, tout comme l'intérêt qui leur est porté. Il y a encore quelques années, il s'agissait d'un sujet de niche scientifique dont les études paraissaient sporadiquement. À présent, les épisodes d'échouements massifs récurrents depuis 2011 en font un enjeu sociétal important pour les pays touchés. Les impacts sur la santé des habitants, sur les écosystèmes côtiers ainsi que sur l'économie ont conduit au financement de nombreuses nouvelles études essayant de répondre à cette problématique auparavant inexistante. On assiste depuis quelques années à une augmentation exponentielle des publications scientifiques sur les sargasses (Figure 2.1).

La temporalité de la présente thèse a rendu possible une revue bibliographique exhaustive des sujets traités par la littérature scientifique à propos des sargasses entre 1900 et 2023. Le présent chapitre rend compte de cette diversité de thèmes abordés et de son évolution temporelle.



FIGURE 2.1. : Nombre annuel de publications scientifiques portant sur les sargasses pélagiques ou les organismes qui y sont associés (jusqu'en juin 2023).

2. Revue de littérature – 2.1. Écologie

### 2.1. Écologie

Le premier aspect des algues sargasses sur lequel s'est concentrée la communauté scientifique concerne sa capacité à créer, par leur seule présence, un nouvel écosystème à haute biodiversité (FINE, 1970). En effet, dans l'océan ouvert, habituellement dépourvu d'élément structurant, les radeaux de sargasses forment une matrice propice à l'installation d'une myriade d'autres organismes qui n'auraient pu s'y développer autrement (COSTON-CLEMENTS et al., 1991). Les sargasses agissent donc comme une espèce ingénieur autogénique sur laquelle se construit un système trophique complet ROOKER et al., 2006 (Figure 2.2). En plus de la diversité des organismes végétaux directement fixés aux sargasses (épiphytes) (K. ALLEYNE et al., 2023) de nombreuses espèces de petits organismes animaux comme des crevettes, divers gastéropodes et même des crabes trouvent refuge dans les sargasses (HACKER & MADIN, 1991; RUSSELL & DIERSSEN, 2015; STONER & GREENING, 1984; WEIS, 1968).



FIGURE 2.2. : L'écosystème sargasses et différents organismes associés (Photo : NOAA (National Oceanic and Atmospheric Administration)).

Les sargasses servent plus particulièrement de zones de nourricerie pour les larves ou les juvéniles de certaines espèces de poissons en offrant un abri qui les protège des prédateurs (W. R. BROOKS et al., 2007; CASAZZA & ROSS, 2008; WELLS & ROOKER, 2004). Cette abondance de proies attire également de plus gros organismes comme des poissons prédateurs (BORTONE et al., 1977; WELLS & ROOKER, 2004), des tortues (WITHERINGTON et al., 2012) et de nombreux oiseaux marins en chasse (MOSER &

#### 2. Revue de littérature – 2.2. Biologie et facteurs de croissance

LEE, 2012; SHAKHOVSKOY, 2023). Ces communautés d'espèces varient assez largement dans le temps (STONER & GREENING, 1984; WELLS & ROOKER, 2004), notamment depuis le changement d'aire de répartition des sargasses ou selon le morphotype majoritaire (MARTIN et al., 2021). Certaines espèces comme *Platynereis dumerilii* (ver), *Latreutes fucorum* (crevette) ou *Portunus sayi* (crabe) sont néanmoins fortement associées à ces algues et sont systématiquement observées au sein de leurs radeaux (HUNN et al., 2022). La thématique de l'écologie des algues sargasses est l'un des rares domaines étudiés sur une longue période sans réelle variation du nombre d'études annuelles. Cette spécificité a pourvu la communauté scientifique d'une série temporelle exceptionnellement longue (HUFFARD et al., 2014).

### 2.2. Biologie et facteurs de croissance

L'importance des algues sargasses pour la biodiversité a suscité l'intérêt des biologistes qui ont précocement étudié leur croissance et les facteurs qui la déterminent. Plus récemment, ces études se sont avérées primordiales pour calibrer les modèles de croissance et de nouvelles études viennent affiner ces anciennes conclusions après un hiatus de presque 40 ans.



FIGURE 2.3. : Culture des algues sargasses avec un courant artificiel permanent (Photo : MAGAÑA-GALLEGOS et al., 2023a).

Les sargasses comme les autres algues brunes nécessitent du phosphore et de l'azote, de la lumière ainsi qu'une température et une salinité adéquates pour croître de manière optimale. Différents travaux scientifiques ont pu mesurer la limitation de la croissance en fonction de ces différents paramètres environnementaux en mettant
en place un suivi *in-situ* ou en laboratoire de leur croissance (Figure 2.3). Pour ce qui est de la limitation par le manque d'azote inorganique ou organique ( $NO_3^-$  ou  $NH_4^+$ ), les croissances en laboratoire montrent une accélération dans un milieu enrichi (BAO et al., 2022; LAPOINTE et al., 2021; OVIATT et al., 2019; YAN et al., 2022). Cependant, en milieu naturel, de nombreuses sources d'azote comme sa fixation par les épiphytes (CARPENTER, 1972; CARPENTER & COX, 1974; HANSON, 1977; PHLIPS & ZEMAN, 1990) ou les excrétions des poissons suffiraient à fournir les sargasses en quantité suffisante d'azote (CHANGEUX et al., 2023; LAPOINTE, 1986). Le phosphore serait en revanche plus limitant pour la croissance des algues qui en manqueraient selon leur zone géographique (LAPOINTE, 1986). La salinité et la lumière seraient, elles aussi, des facteurs limitants, mais uniquement pour des valeurs extrêmement basses rarement atteintes dans leur milieu naturel (HANISAK & SAMUEL, 1987). Finalement, c'est la température qui pourrait être la plus contraignante, ses valeurs basses comme élevées empêchant la croissance des sargasses (HANISAK & SAMUEL, 1987; MAGAÑA-GALLEGOS et al., 2023b). Il est à noter que les morphotypes réagissent différemment à ces contraintes environnementales et de récentes études s'attachent à caractériser ces différences (CHANGEUX et al., 2023; MAGAÑA-GALLEGOS et al., 2023a).

# 2.3. Morphotypes

La première description des différents morphotypes des sargasses a été faite par WINGE, 1923 et raffinée par PARR, 1939. Parmi les six formes qui y sont décrites, seulement trois sont observées communément aujourd'hui (Figure 2.4). On retrouve le morphotype *Sargassum natans VIII*, rare auparavant (J. M. SCHELL et al., 2015), le morphotype *Sargassum natans I* et le morphotype *Sargassum fluitans III*, plus touffu et dense que les précédents. La distinction entre ces morphotypes se fait par analyse morphologique du thalle et des flotteurs. Il est aussi possible de les différencier par des analyses de leur composition chimique (DAVIS et al., 2021; MACHADO et al., 2022; ROSADO-ESPINOSA et al., 2020) ou bien de leur génétique (DIBNER et al., 2022). D'ailleurs, les deux formes de *Sargassum natans* sont si bien différenciées génétiquement qu'il s'agit probablement de deux espèces différentes (DIBNER et al., 2022). Les radeaux comportent le plus souvent les trois morphotypes en leur sein, mais leur abondance relative varie fortement selon le lieu et l'année (GARCÍA-SÁNCHEZ et al., 2020; IPORAC et al., 2023; J. M. SCHELL et al., 2015).

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#### 2. Revue de littérature – 2.4. Échouements et conséquences

FIGURE 2.4. : Les trois morphotypes majoritaires des sargasses (Photo : Thierry Thibaut, Sandrine Ruitton).

# 2.4. Échouements et conséquences

Depuis 2011, les échouements massifs sur les côtes ont alerté la communauté scientifique qui s'est rapidement efforcée de caractériser les impacts de ce nouveau phénomène. En effet, en plus des impacts évidents sur le tourisme et l'économie locale (CHÁVEZ et al., 2020), l'accumulation des algues sur les plages ou dans les eaux côtières perturbe l'équilibre écologique (Figure 2.5). Leur surabondance ainsi que leur dégradation entraînent l'eutrophisation des eaux alentours ainsi que l'hypoxie voir l'anoxie (BARTLETT & ELMER, 2021; CABANILLAS-TERÁN et al., 2019; HENDY et al., 2021; RODRÍGUEZ-MARTÍNEZ et al., 2019; SÁNCHEZ et al., 2023; VAN TUSSENBROEK et al., 2017). Cela entraîne une dégradation du milieu de vie des organismes en présence et une chute de la biomasse et de la biodiversité locale. De nombreux récifs coralliens sont touchés par ces échouements et leur dégradation, déjà induite par le tourisme, s'accélère (ANTONIO-MARTÍNEZ et al., 2020; BANASZAK, 2021; VELÁZQUEZ-OCHOA & ENRÍQUEZ, 2023). Au niveau des plages, ce sont les tortues de mer qui subissent les plus grands dommages par l'ensevelissement de leur lieu de ponte (MAURER et al., 2022; MAURER et al., 2021; SCHIARITI & SALMON, 2022). Les populations humaines sont, elles aussi, directement touchées par l'émission de gaz toxiques due au pourrissement des algues ainsi que mise en danger par les bactéries associées aux algues sargasses ou par la contamination des ressources alimentaires marines (MERLE et al., 2021; MICHOTEY et al., 2020; MODESTIN et al., 2022; RESIERE et al., 2021; THEIRLYNCK et al., 2023).



FIGURE 2.5. : L'impact des échouements sur l'écosystème côtier au Mexique (schéma : CHÁVEZ et al., 2020).

# 2.5. Fixation du carbone

À l'opposé de ses impacts néfastes sur les écosystèmes côtiers, les sargasses restent globalement bénéfiques à la biodiversité en plein océan. Leur accroissement soudain a également augmenté la quantité de carbone retenue dans leurs tissus. Auparavant anecdotique à l'échelle globale, leur capacité à fixer le carbone minore désormais l'augmentation du *CO*<sub>2</sub> atmosphérique dans un contexte de changement climatique (GOUVÊA et al., 2020). Leur calcification serait un vecteur important d'export du carbone vers les couches sédimentaires (PARAGUAY-DELGADO et al., 2020; SALTER et al., 2021), enrichissant par la même occasion les écosystèmes de l'océan profond (BAKER et al., 2018). Certains y voient une mise en œuvre naturelle des projets de "boisement" des océans pour limiter le carbone atmosphérique (BACH et al., 2021; RACINE et al., 2021). Cependant, la fraction effective de carbone durablement fixée reste faible et les études pointes sur la nécessité d'une gestion humaine de la fraction restante (GOUVÊA et al., 2020; ZHAO et al., 2023).

# 2.6. Composition chimique

La nécessité de valorisation des algues sargasses a suscité de nombreuses études d'évaluation de leur composition chimique, notamment en métaux et métalloïdes. Ces dernières ont montré l'intérêt potentiel du contenu des sargasses en nutriments exploitables (ALZATE-GAVIRIA et al., 2021; OYESIKU & EGUNYOMI, 2014; POWERS et al., 2019). Cependant, leur grande majorité alerte sur les taux d'arsenic inorganique

inhabituellement élevés (10<sup>6</sup> la concentration de l'océan) <sup>1</sup> ainsi que la contamination en pétrole (BURNS & TEAL, 1973), en mercure (MILLEDGE et al., 2020) ou en plomb (TEJADA TEJADA et al., 2021). Similairement à leur capacité de fixation du carbone, les sargasses pourraient aussi être un agent de bioremédiation des polluants émis par les sociétés humaines (SALDARRIAGA-HERNANDEZ et al., 2020a; SALDARRIAGA-HERNANDEZ et al., 2020b).

# 2.7. Valorisation

Les dommages infligés aux écosystèmes et au tourisme dans les pays touchés par les échouements sont si importants qu'il leur est nécessaire à la fois de financer le nettoyage des plages et de compenser les coûts économiques par de nouveaux revenus. Il est donc venu naturellement l'idée de valoriser les sargasses en créant des filières de traitement pour les transformer en produits commercialisables. Plusieurs pistes ont été poursuivies, notamment la production de nourriture, de fertilisant, de médicaments, de biocarburant ou de plastique (Figure 2.6).



FIGURE 2.6. : Les voies de valorisation des sargasses (schéma : AMADOR-CASTRO et al., 2021).

Le tableau 2.1 résume les différents travaux qui explorent les voies possibles de valorisation des sargasses. Malheureusement, la valorisation de ces algues est entravée de manière plus ou moins problématique par différents facteurs. En premier lieu, la concentration de leurs tissus en éléments toxiques comme les métaux lourds (Arsenic) exclut leur usage alimentaire, pharmaceutique ou agricole sans une décontamination préalable (MILLEDGE & HARVEY, 2016). Aussi, même si la production de biocarburant reste une bonne option, la discontinuité des échouements décourage l'investissement nécessaire à la mise en place des filières de production (OXENFORD et al., 2021). À cela s'ajoute les infrastructures de gestion (ramassage, stockage) des algues qui restent à

<sup>&</sup>lt;sup>1</sup>K. S. T. ALLEYNE et al., 2023b; CIPOLLONI et al., 2022; DASSIÉ et al., 2021; DEVAULT et al., 2021a; DEVAULT et al., 2021b; GOBERT et al., 2022; D. L. JOHNSON et BRAMAN, 1975; LIRANZO-GÓMEZ et al., 2023; ORTEGA-FLORES et al., 2023; RODRÍGUEZ-MARTÍNEZ et al., 2020; VÁZQUEZ-DELFÍN et al., 2021

bâtir (RODRÍGUEZ-MARTÍNEZ et al., 2023). Plus marginalement, les algues restent un refuge de biodiversité et la question de la préservation de certaines espèces se pose lors de la collecte des algues (K. S. T. ALLEYNE et al., 2023c; IPORAC et al., 2023).

Produit valorisé	Études
Plastique	CASTAÑEDA-SERNA et al., 2021
Nourriture	Монаммед et al., 2020
	GORDILLO SIERRA et al., 2022
Carbone actif	FRANCOEUR et al., 2021
	RANGUIN et al., 2021
Asphalte et béton	SALAZAR-CRUZ et al., 2021
	ROSSIGNOLO et al., 2022
Fertilisant	CHÁVEZ-GUERRERO et al., 2021
	Т. М. Тномрson et al., 2020; Т. Тномрson et al., 2020
	MARX et al., 2021
	TRENCH <b>et al., 2022</b>
	HERNÁNDEZ-NAVARRO et al., 2023
Bio-carburant	LÓPEZ-SOSA et al., 2020
	ESCOBAR et al., 2017
	Aparicio et al., 2021
	T. M. THOMPSON et al., 2021a; T. M. THOMPSON et al., 2021b
	LÓPEZ-AGUILAR et al., 2021
	AZCORRA-MAY et al., 2022
	CHIKANI-CABRERA et al., 2022
	RIVERA-HERNÁNDEZ et al., 2022
	TOBÍO-PÉREZ et al., 2022
	SALGADO-HERNÁNDEZ et al., 2023

TABLEAU 2.1. : Études scientifiques pour la valorisation économique des sargasses.

# 2.8. Détection des sargasses

L'apparition subite des énormes quantités d'algues a également fait apparaître la nécessité d'un suivi des masses de sargasses à court terme dans un premier temps. Puis comme le problème perdurait année après année, il s'est avéré nécessaire de pérenniser et d'automatiser cette surveillance. Le traitement d'images de télédétection est donc utilisé pour reporter et cartographier la présence des sargasses à la fois proche des côtes et à plus large échelle sur l'océan Atlantique entier.

#### Zones côtières et littorales

Comme les échouements surviennent sans prévenir sur une très vaste zone qui englobe plusieurs dizaines de pays, le recensement des arrivées d'algues s'est d'abord fait sans effort de standardisation. Ce n'est que récemment que des travaux synthétisant ces archives éparses ont été publiés (FIDAI et al., 2020; URIBE-MARTÍNEZ et al., 2022). Afin de poursuivre ces efforts de standardisation, des études ont développé la détection automatique des sargasses en utilisant des images issues de caméras côtières ou de science participative (ARELLANO-VERDEJO & LAZCANO-HERNÁNDEZ, 2021; ARELLANO-VERDEJO et al., 2022; VALERIA et al., 2021). D'autres études utilisent directement des images satellites pour les détecter (LI et al., 2023; RODRÍGUEZ-MARTÍNEZ et al., 2022), notamment pour étudier leur dégradation et la contamination de l'eau proche du rivage (LEÓN-PÉREZ et al., 2023; RODRÍGUEZ-MUÑOZ et al., 2021).

#### Océan du large

La biomasse échouée sur les plages ne représente qu'une petite fraction de celle présente en mer (quelques pourcents) et on ne peut donc se passer d'un suivi hauturier des sargasses pour en obtenir une cartographie exhaustive. C'est donc logiquement la thématique de la télédétection des algues sargasses qui a connu le plus grand essor depuis les quinze dernières années. La télédétection des sargasses n'était encore que balbutiante au début des années 2000 avec les travaux isolés de Jim Gower en mer des sargasses qui posaient les jalons de l'actuelle méthodologie en utilisant l'outil satellite MERIS (Medium Resolution Imaging Spectrometer) et l'index radiométrique MCI (Maximum Chlorophyl Index) (J. GOWER et al., 2006; J. GOWER & KING, 2008) (voir figure 2.7). C'est d'ailleurs par cette technique que l'on a pu faire état du changement de distribution spatiale des sargasses pour la première fois (J. GOWER et al., 2013).



2. Revue de littérature – 2.8. Détection des sargasses

FIGURE 2.7. : Agrégat de sargasses vu par le satellite MERIS (image : J. GOWER et KING, 2008)

Avec la fin de la mission MERIS en 2012, il a fallu se tourner vers un autre outil d'observation et c'est MODIS (Moderate-Resolution Imaging Spectroradiometer) qui fut utilisé, associé à un nouvel index radiométrique spécialement conçu pour la détection des sargasses : AFAI (Alternative Floating Algae Index) (M. WANG & HU, 2016; M. WANG et al., 2019a; M. WANG et al., 2018). Par la suite, les lancements des missions Sentinel-2 et Sentinel-3 motivèrent également des approches à plus haute résolution spatiale que MODIS, mais avec une moins bonne résolution temporelle (J. GOWER & KING, 2020; ODY et al., 2019; M. WANG & HU, 2020). Cette opposition entre la finesse des pixels et la fréquence d'échantillonnage est encore plus marquée avec les dernières études utilisant de la très haute résolution (HERNÁNDEZ et al., 2022; ZHANG et al., 2022). À l'inverse, il est également possible d'utiliser des satellites géostationnaires à une résolution spectrale et spatiale médiocres, mais à une fréquence d'échantillonnage inégalée (MINGHELLI et al., 2021; SHIN et al., 2021). Un récapitulatif des capteurs les plus utilisés pour l'observation des sargasses et leurs contraintes spatiale et temporelle associées est présenté dans le tableau 2.2.

#### 2. Revue de littérature – 2.8. Détection des sargasses

Capteur	Résolution	Fréquence	Indice	Satellites	Mise en service (arrêt)
MODIS	1 km	1 jour	AFAI	2	1999 (2023), 2002
VIIRS	750 m	1 jour	AFAI	3	2011, 2017, 2021
MERIS	1.2 km	1 jour	MCI	1	2002 (2012)
MSI	20 m	10 jours	FAI	2	2015, 2017
OLCI	300 m	3 jours	MCI	2	2016, 2018
OLI	30 m	8 jours	NDVI	1	2013
ABI	500 m	15 min	NDVI	1	2016

TABLEAU 2.2. : Caractéristiques des capteurs principaux utilisés pour la détection des sargasses (LAZCANO-HERNANDEZ et al., 2023).

Une fois fait ce tour d'horizon des différents outils permettant d'observer les sargasses à distance, différentes pistes d'amélioration du traitement des images ont été poursuivies. Les fausses détections induites par d'autres objets que les sargasses comme les nuages, leur ombre ou le reflet du soleil dans l'eau gênent la cartographie des algues. Afin d'apporter une solution à ce problème, l'usage de l'apprentissage automatisé se généralise avec des méthodes ensemblistes (Random Forest, Boosting) ou des réseaux de neurones (ARELLANO-VERDEJO et al., 2019; CUEVAS et al., 2018; LAVAL et al., 2023; PODLEJSKI et al., 2022; M. WANG & HU, 2021; Y. XIAO et al., 2021). Un autre point d'amélioration concerne la synergie entre les différents capteurs. Afin de combler les lacunes des différents satellites et d'étendre leurs séries temporelles, il est possible de fusionner leurs résultats en une seule cartographie (ALMEIDA et al., 2020; DESCLOITRES et al., 2021; HU et al., 2023; M. WANG & HU, 2018). Ces outils de télédétection sont également déclinés afin de nourrir des bulletins de prévision des échouements et d'alerter la gestion des plages en intégrant des données de courantologie par exemple (de la BARREDA-BAUTISTA et al., 2023; HU et al., 2016; TRINANES et al., 2021; X. WANG et al., 2022). Enfin, guelques études contournent des problèmes inhérents à la télédétection comme la détection sous les nuages (AN et al., 2022; LAVAL et al., 2023; QI et al., 2022) ou sous la surface de l'eau (SCHAMBERGER et al., 2022). De la même manière que pour les algues sargasses d'Atlantique, le problème posé par les algues Sargassum horneri en mer Jaune est également suivi par télédétection (QIU et al., 2018; Z. WANG et al., 2023; XING et al., 2017), avec une nuance toutefois : les marées d'algues sargasses de mer Jaune se sont mêlées à des algues vertes (Ulva prolifera) et la distinction par satellite reste ardue (QI & HU, 2021; SUN et al., 2021; J. XIAO et al., 2020a; J. XIAO et al., 2020b; Y. XIAO et al., 2021). Les points d'insuffisance des méthodes de télédétection qui se démarquaient au début de la présente thèse, en 2020, concernaient notamment le manque de jeux de données en libre accès sur les sargasses, maintenant mieux pourvu avec les produits de l'université de Floride https://optics.marine.usf.edu, de Copernicus https://marine.copernicus.eu/ ou du centre de données AERIS (BERLINE & DESCLOITRES, 2021). Également, la gestion des fausses détections de sargasses, dues aux nuages, aux reflets du soleil ou à la proximité des côtes par exemple, était et reste un point sensible de l'observation des sargasses par satellite et l'on manque de méthodologies claires pour résoudre ces erreurs.

# 2.9. Études à large échelle du transport et de la croissance des sargasses

L'intérêt des physiciens et modélisateurs pour les sargasses est resté assez limité avant l'apparition des échouements massifs et le besoin de bulletin prévisionnel qui s'ensuivit. Quelques études antérieures à 2010 ont seulement porté sur la flottaison des sargasses et leur submersion temporaire ou leur sédimentation définitive (D. L. JOHNSON & RICHARDSON, 1977; WOODCOCK, 1950, 1993). Suite aux épisodes d'échouement depuis 2011, deux questions de recherche prégnantes se sont posées à la communauté scientifique. Tout d'abord, comment anticiper et prévoir le développement des sargasses et leur dérive jusqu'aux côtes afin d'alerter au mieux les autorités en présence et les programmes de nettoyage des plages. Ensuite, plus fondamentalement, comment expliquer le bouleversement qu'ont connu la distribution des sargasses et sa grande variabilité inter-annuelle. Ces deux pistes de recherche restent néanmoins complémentaires et la plupart des nouvelles connaissances sur les sargasses viennent éclaircir ces deux questionnements.

### Prévision à court terme : étude du transport

Une première étape de la prévision des échouements de sargasses a consisté à développer des bulletins à court terme de leur arrivée sur les plages en se basant sur des observations satellites fréquentes et des modèles de dérive simples basés sur les courants de surface (D. JOHNSON et al., 2020; MARÉCHAL et al., 2017a; PUTMAN et al., 2023; WEBSTER, 2013). Si ces dispositifs fonctionnent bien sur quelques jours, la précision du modèle de dérive devient rapidement essentielle à la qualité des prévisions à plus long terme. En particulier, l'effet exact du vent sur les sargasses s'est rapidement avéré être un paramètre clé du modèle de dérive. Difficilement mesurable sur le terrain, de nombreuses études l'ont testé et calibré au mieux de diverses manières. Dans un premier temps, par des analyses de sensibilité entre les résultats de modèle et des images satellites à large échelle (BERLINE et al., 2020; KWON et al., 2019). Ensuite par la comparaison avec des objets dérivants similaires bien connus (les bouées dérivantes à ancre flottante) (PUTMAN et al., 2018; PUTMAN et al., 2020; VAN SEBILLE et al., 2021) ou par la création de bouées suivies par GPS (Global positioning System) imitant directement des algues (BERON-VERA, 2021; MIRON et al., 2020). Un autre paramètre de la dérive exploré par la littérature concerne l'inertie des radeaux de sargasses. Estimé directement dans les images satellites ou pris en compte dans des modèles mécanistes complexes, il reste peu utilisé dans les modèles de prévision opérationnels (ANDRADE-CANTO et al., 2022; BERON-VERA & MIRON, 2020a; M. T. BROOKS et al., 2019). Le principal manque faisant défaut à l'étude de la dérive des sargasses est sans conteste l'absence de données de mesure directe pour enfin en inférer un modèle précis. L'imagerie satellite fournit pourtant de plus en plus de cas d'observation des sargasses à différentes résolutions et il paraît envisageable d'extraire des vitesses de sargasses depuis ces images. Cela permettrait de mieux discuter de ce paramètre effet

2. Revue de littérature – 2.9. Études à large échelle du transport et de la croissance des sargasses

du vent, encore difficilement appréhendé par la littérature, ainsi que de l'inertie des radeaux.

#### Variabilité interannuelle : moteur de la croissance et effet climatique

Même le meilleur modèle de dérive ne peut se passer de la prise en compte de la croissance lorsque l'échelle temporelle excède quelques mois (M. T. BROOKS et al., 2018). Or, afin de prévoir et étudier la variation interannuelle de la distribution des sargasses, il a fallu construire des modèles à plus long terme. Aussi, certaines études rendent compte du développement saisonnier des sargasses en détaillant les mécanismes de la croissance en fonction de leur environnement (M. T. BROOKS et al., 2018; JOUANNO et al., 2020; MARSH et al., 2021). La définition et la calibration de ces modèles éclairent les variables environnementales et processus déterminant la croissance des algues : nutriments (phosphore et azote), salinité, température, irradiance, fragmentation, mortalité. Ces résultats recoupent ceux pourvus par des approches statistiques qui mettent en relation les densités de sargasses observées avec des caractéristiques large-échelle de l'océan, ainsi qu'avec les téléconnexions climatiques, ou modes de variabilité climatiques (SANCHEZ-RUBIO et al., 2018; SKLIRIS et al., 2022b). Avec ces nouvelles connaissances sur les mécanismes de la dérive et les facteurs limitants la croissance des sargasses, d'autres travaux tentent d'expliquer leur implantation en Atlantique tropical et leur cycle annuel variable. Ainsi, plusieurs hypothèses sont avancées pour expliquer leur accumulation phénoménale de biomasses : l'enrichissement en nutriment des eaux de surface par les grands fleuves, en particulier l'Amazone, les remontées d'eau profonde par les upwellings, l'augmentation de la température de surface et les dépôts des vents sahariens (DJAKOURÉ et al., 2017a; JOUANNO et al., 2021b; OVIATT et al., 2019). Plus récemment, le rôle des cyclones tropicaux sur la régulation des algues au niveau saisonnier a été mis en évidence (PUTMAN & HU, 2022; SOSA-GUTIERREZ et al., 2022).

Si quelques zones d'ombre subsistent sur l'invasion des sargasses en Atlantique tropical, c'est surtout leur variabilité interannuelle qui reste mal expliquée. S'agissant d'un enjeu important pour notre capacité à anticiper le développement des biomasses de sargasses et à faire au mieux face à leurs échouements, il est essentiel de mieux étudier cette variabilité et de la relier aux facteurs déterminants de leur croissance et de leur transport.

# 3. Filtrage des détections MODIS avec des attributs de contexte

Le premier pas vers la compréhension de la variabilité de la distribution et de l'abondance des algues sargasses consiste naturellement à observer et quantifier leur distribution spatiale et temporelle. L'obtention de ces informations est aussi une condition *sine qua non* à la construction de modèles de transport et de croissance basés sur des observations. Malencontreusement, l'ampleur spatiale de la nouvelle aire de répartition des sargasses, qui s'étend du Golfe de Guinée jusqu'au Golfe du Mexique, entrave toute tentative d'échantillonnage représentatif sur le terrain. De ce fait, seules les observations à distance, et plus précisément par télédétection satellite, permettent de couvrir suffisamment régulièrement cette immense aire de répartition.

Il existe plusieurs méthodes pour l'observation à distance des algues sargasses. Elles s'équivalent ou se complémentent en employant divers capteurs optiques embarqués sur un ou plusieurs satellites. Leur différence notable concerne principalement leur résolution spatiale et temporelle, deux paramètres s'excluant l'un l'autre et pourtant tous les deux essentiels quant à l'observation de cette matrice d'algues aux entrelacements aussi complexes que ductiles et mouvants. Nonobstant le foisonnement d'études pour détecter les sargasses, aucune ne pourvoyait de jeu de données en libre accès, au mieux seulement une visualisation graphique de ses détections SAWS.

Ce manque de données a motivé la mise en œuvre d'une chaîne de traitement de télédétection dans le cadre du projet FORESEA (FOREcasting seasonal Sargassum Events in the Atlantic) dans lequel s'inscrit la présente thèse. Cette chaîne de production, nommée SAREDA (SArgassum Evolving Distribution in the Atlantic), permet l'extraction de la présence des algues sargasses ainsi que leur quantification sur l'Océan Atlantique Nord Tropical entier. En utilisant le capteur MODIS (Moderate-Resolution Imaging Spectroradiometer) embarqué sur les satellites Aqua et Terra et en combinaison avec l'indice radiométrique AFAI (Alternative Floating Algae Index), elle produit quotidiennement et en quasi-temps réel (j+3) des cartes à 1 km de résolution attestant de la distribution globale des sargasses.

Les résultats provenant de cette chaîne de traitement constituent une manne providentielle pour l'étude et la modélisation de la variabilité des algues sargasses. Cependant, la validation de ces observations demeure un point crucial afin de dégager des conclusions sur la dynamique de transport et de développement de ces algues. Dans le cas présent, comme pour tout travail de télédétection, des phénomènes variés peuvent comporter des signatures radiométriques analogues à celle de l'objet d'étude et introduire des erreurs ou faux positifs dans les résultats. En particulier, la forte réflectance des algues sargasses dans le proche infrarouge (NIR, Near-InfraRed) ici

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exploitée pour les distinguer de l'eau de mer, est partagée avec divers autres objets présents dans l'Océan Atlantique Nord Tropical. Parmi ceux-ci, on retrouve notamment les nuages, les ombres portées par ces derniers, le reflet du soleil dans l'eau, la turbidité de l'eau, la contamination du signal par la végétation côtière ainsi que d'autres types d'algues comme les *Trichodesmium* ou la chlorophylle-a en suspension. Cet ensemble de phénomènes interférant avec la constitution d'un jeu de données fidèle à la réalité, les résultats de SAREDA comportaient initialement une part importante de détections erronées. Une évaluation manuelle dans les images a démontré que ces erreurs représentaient jusqu'à deux tiers de la quantité de biomasse estimée. Il était donc capital d'apporter une solution à cette contamination inopportune des résultats en discriminant ces fausses détections des véritables sargasses.

Le premier chapitre de cette thèse se consacre donc à l'élaboration d'une méthodologie pour filtrer les faux positifs du jeu de données SAREDA. À la différence de la plupart des études qui poussent plus loin l'analyse radiométrique pour distinguer les phénomènes détectés, par exemple en utilisant des données multispectrales, je propose ici d'utiliser une nouvelle source d'information : le contexte spatial des détections. En effet, l'analyse par un expert des images résultantes de SAREDA (AFAI) permet en grande partie de trier les vraies détections des fausses en s'appuyant uniquement sur une inspection visuelle. Il s'agit donc de reproduire ce tri par une méthode de filtrage numérique reposant sur des attributs contextuels explicites. Ces derniers nourrissent un algorithme d'apprentissage automatisé préalablement entraîné pour discriminer les fausses détections.

L'article suivant détaille les étapes de cette méthodologie : la création d'un jeu de données labellisées manuellement, le choix d'un algorithme de classification ainsi que sa calibration, le développement de nouveaux attributs contextuels, la sélection du meilleur sous-ensemble d'attributs pour la classification et l'analyse du jeu de données filtrées résultant.

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# Filtering out false *Sargassum* detections using context features

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3. Filtrage des détections MODIS avec des attributs de contexte – 3.1. Abstract

## 3.1. Abstract

Since 2011, the distribution extent of pelagic Sargassum algae has substantially increased and now covers the whole Tropical North Atlantic Ocean, with significant inter-annual variability. The ocean colour imagery has been used as the only way to monitor regularly such a vast area. However, the detection is hampered by cloud masking, sunglint, coastal contamination and other phenomena. All together, they lead to false detections that can hardly be discriminated by classic radiometric analysis, but may be overcome by considering the shape and the context of the detections. Here, we built a machine learning model base exclusively on spatial features to filter out false detections after the detection process. Moderate-Resolution Imaging Spectroradiometer (MODIS, 1 km) data from Aqua and Terra satellites were used to generate daily map of Alternative Floating Algae Index (AFAI). Based on this radiometric index, Sargassum presence in the Tropical Atlantic North Ocean was inferred. For every Sargassum aggregations, five contextual indices were extracted (number of neighbours, surface of neighbours, temporal persistence, distance to the coast and aggregation texture) then used by a random forest binary classifier. Contextual features at large-scale were most important in the classifier. Trained with a multi-annual (2016-2020) learning set, the model performs the filtering of daily false detections with an accuracy of  $\sim 90\%$ . This leads to a reduction of detected Sargassum pixels of ~50% over the domain. The method provides reliable data while preserving high spatial and temporal resolutions (1 km, daily). The resulting distribution is consistent with the literature for seasonal and inter-annual fluctuations, with maximum coverage in 2018 and minimum in 2016. This dataset will be useful for understanding the drivers of Sargassum dynamics at fine and large scale and validate future models. The methodology used here demonstrates the usefulness of contextual features for complementing classical remote sensing approaches. Our model could easily be adapted to other datasets containing erroneous detections.

Keywords : *Sargassum* algae; Remote sensing; Random Forest; Contextual analysis; Tropical North Atlantic; Fractional coverage; Time series.

# 3.2. Introduction

For a decade, *Sargassum* stranding events have become a global concern for many countries bordering the Tropical North Atlantic Ocean. In particular, many coastlines are smeared out with *Sargassum* algae almost every year with significant impacts on the fishing industry and the tourism economy (CHÁVEZ et al., 2020). While offshore *Sargassum* aggregations are hotspots of biodiversity and provide shelter to various species (FINE, 1970; MARTIN et al., 2021), biomass accumulation on coastal waters and beaches causes ecological, economical and sanitary issues (MERLE et al., 2021; RESIERE et al., 2018). Those nuisances highlight the need of scientific research to understand and anticipate the development of *Sargassum* algae. To date, there is still no consensus about how the outbreak of *Sargassum* expansion started, nor about how

to forecast accurately the annual *Sargassum* biomass growth. It was initially assumed that the increasing rivers nutrient discharge was the cause of the triggering event of 2011 (OVIATT et al., 2019; M. WANG et al., 2019b). Considering the mismatch between *Sargassum* distribution and river plumes, that hypothesis was recently revised (JOUANNO et al., 2021b). Another recent hypothesis involves an anomalous meteorological event in 2010 that may have inseminated the new *Sargassum* area (JOHNS et al., 2020). Therefore, in order to build and discuss hypotheses to explain the *Sargassum* dynamics, reliable data over a long time period are still required.

Remote sensing is a useful tool to monitor large-scale *Sargassum* distribution (ARELLANO-VERDEJO et al., 2019; CHEN et al., 2019; CUEVAS et al., 2018; J. F. GOWER & KING, 2011; ODY et al., 2019; QIU et al., 2018; SHIN et al., 2021; M. WANG & HU, 2016; XING et al., 2017) as *in-situ* approaches are costly and do not allow sufficient spatial coverage (ODY et al., 2019). *Sargassum* can be detected by satellite sensors due to its high reflectance in Near Infra-red compared to clear water. Several *Sargassum* indices using optical properties have been proposed to enhance that specific *Sargassum* signal (CUEVAS et al., 2018; DIERSSEN et al., 2015; J. GOWER et al., 2006; HU et al., 2015). Presently, Moderate-Resolution Imaging Spectroradiometer (MODIS) on board NASA's Terra and Aqua satellites, Visible Infrared Imaging Radiometer Suite (VIIRS) on board NOAA/NASA's Suomi-NPP, Ocean and Land Colour Instrument (OLCI) on board Copernicus's Sentinel-3 and MultiSpectral Instrument (MSI) on board Copernicus's Sentinel-3 and MultiSpectral Instrument (MSI) on board Sargassum algae (ODY et al., 2019).

However, *Sargassum* detection and quantification by remote sensing face numerous challenges : scarcity of the aggregations, cloud coverage, sun glint, signal contamination in the coastal areas, and large-scale fluctuations of the surrounding water reflectance. Moreover, the detection robustness is limited by the spatial resolution and revisit period of each sensor. MSI and OLCI have good spatial (20 m and 300 m respectively) and spectral resolution (J. GOWER & KING, 2020; M. WANG & HU, 2020), but their greater revisit period (5 and 2 days respectively) makes them less efficient for mapping the Tropical Atlantic considering the high cloud coverage. While MODIS and VIIRS miss some fine *Sargassum* signal because of their moderate spatial resolution (1000 m and 750 m), they both have a 1-day revisit period that provides robust time series. A processing chain was developed and described in details for MODIS and VIIRS satellite sensors (M. WANG & HU, 2016, 2018).

Regarding data availability, MODIS *Sargassum* products from M. WANG et al., 2019b are restricted to 0.5° resolution, monthly. On the SAWS website (https://optics.marine.usf.edu/projects/saws.html), daily Alternative Floating Algae Index (AFAI) *Sargassum* maps at native resolution are shown as images only, and restricted to the main distribution area. Consequently, there is currently no data source that combines high-frequency observations and high spatial resolution over the extended *Sargassum* distribution area (from 15°S to 50°N and from 100°W to 15°E).

Thus, in order to exploit the full potential of MODIS data and enhance further modelling use, a MODIS 1 km resolution processing chain was developed using the AFAI presented in M. WANG et HU, 2016. The results showed a lot of detection er-

3. Filtrage des détections MODIS avec des attributs de contexte – 3.3. Materials and Methods

rors that are not mentioned in M. WANG et HU, 2016 and are likely removed by an unspecified process in the SAWS website. Those false detections are due to different phenomena, primarily by residual clouds, cloud shadows, sunglint and turbidity. As those phenomena have a spectral signature and thus AFAI similar to Sargassum, they produce false detections. We thus focused here on the development of an original post-processing method to filter Sargassum detection over the whole Tropical North Atlantic. Several machine learning models already exist for detecting Sargassum algae using radiometric information extensively (ARELLANO-VERDEJO et al., 2019; CUEVAS et al., 2018; QIU et al., 2018; SHIN et al., 2021). By contrast, we demonstrate here the benefit of spatial information to filter out detections as post-processing. While spatial properties of aggregations at local scale are occasionally taken into account (CHEN et al., 2019; QIU et al., 2018; M. WANG & HU, 2020) or used implicitly in neural network models (ARELLANO-VERDEJO et al., 2019; QIU et al., 2018), large-scale contextual features are still untapped. Taking the detections extracted by the remote sensing approach, our method use a random forest algorithm applied to the large-scale spatial properties for classifying true and false Sargassum detections. This study describes the processing scheme for filtering the false detections, the spatial features used, the learning and testing processes, and the application of the method to the MODIS time series from 2016 to 2020. Finally, the resulting filtered dataset and its variability are briefly analysed and discussed.

# 3.3. Materials and Methods

#### 3.3.1. Approach overview

The study was based on Fractional Coverage (FC) products generated using a MODIS full resolution processing chain called SAREDA (*Sargassum* Evolving Distributions in the Atlantic, see Section 3.3.2, DESCLOITRES et al., 2021). It retrieved *Sargassum* detected pixels from the MODIS 1 km band and mapped them in 1 km equirectangular grid. Among these detections distributed in the whole North Atlantic Ocean, about half were likely false detections, based on visual inspection. These errors are not only restricted to the extreme parts of the Tropical North Atlantic Ocean and are mixed up with the valid detections in the new *Sargassum* area. Consequently, this issue cannot be solved using only local masks or filters. Hence, we need a global approach to filter out the data from all false detections, whatever their location.

Those false detections were caused by various phenomena (see Section 3.3.2) and can hardly be discriminated using only radiometric features (QIU et al., 2018). As a complement to FC (proportional to AFAI), we focused on shape and context characteristics of aggregations. More specifically, i) the shape of local groups of pixels, as *Sargassum* algae aggregations tend to have typical elongated shape; ii) the surrounding aggregates, as the algae are usually grouped together in clusters of aggregations close to one another; iii) the geographic location, as *Sargassum* are more likely to be present in known areas; iv) the temporal persistence, as false detections caused by

clouds and sun glint do not last in time while *Sargassum* true detections do. To represent those characteristics, we introduced *ad hoc* features characterising the shape of *Sargassum* aggregations and their surrounding context.

Then, using a supervised classification approach, the aggregations were classified into two classes : true or false *Sargassum* detections. The work was divided in three main tasks (Fig 3.1) : (i), the selection and extraction of features to describe the detections; (ii), the manual validation of a dataset for training the supervised algorithm; (iii), the evaluation of several machine learning algorithms and the selection of the most effective one.



FIGURE 3.1. : Filtering method diagram. The daily images are processed to extract spatial and context features. These features feed the filtering model that discriminates between true and false detections. The manual labelling and algorithm selection are performed once (indicated in dotted line) to build the model.

As some features do not make any sense at the pixel level (e.g., shape of aggregations), the images were exported in vector format (ESRI Shapefile or GeoCSV) (CUEVAS et al., 2018). This particular step allowed each contiguous aggregation of pixels to be grouped in a single entity associated with information about shape and FC values distribution. As a result, pixels within one aggregation were assumed to belong to the same class, true or false *Sargassum* detection. This also reduced the size of the classification problem, from approximately seventy million pixels to less than two thousand aggregations per day. At the end of the classification process, the validated aggregations were exported back into raster format. The Geospatial Data Abstraction Library (https://gdal.org/) was used to perform all spatial operations (vector/raster export and features' extraction).

## 3.3.2. Sargassum dataset : SAREDA

This study used the MODIS full resolution Level-2 (v1.20) and Level-3 (v1.21) products processed by SAREDA DESCLOITRES et al., 2021) developed at the AERIS/ICARE Data and Services Centre (https ://www.icare.univ-lille.fr) using the AFAI (M. WANG & HU, 2016). The SAREDA pipeline is globally organised in seven main steps : 1) atmospheric correction to get Rayleigh-corrected reflectance (Rrc) (ODY et al., 2019; M. WANG & HU, 2018) using OCSSW/SeaDAS (https ://oceancolor.gsfc.nasa.gov/); 2) Screening of sunglint, clouds and cloud shadows (DESCLOITRES et al., 2021; M. WANG & HU, 2016); 3) AFAI computation based on 1 km bands over the ocean to enhance the algae signature; 4) Evaluation of the residual AFAI signal of *Sargassum*-free ocean water due to local variations of Rrc; 5) Calculation of the AFAI deviation (1.79 \*  $10^{-4}$ ) and computing the *Sargassum* Fractional Coverage (FC) or biomass (M. WANG et al., 2018); 7) (optional) projecting and aggregating extracted data in a given area with chosen spatial and temporal resolutions.

In addition, intermediate steps were added to improve the detections extraction (Fig 3.2). Besides masking cloud, cloud shadows, sunglint and land before AFAI computation, the shallow and moderate waters (depth < 500 m) were masked. Every ensemble of contiguous detections overlapping coastal mask was excluded from the results. Most coastal areas contaminating the AFAI signal were removed from the results. After the AFAI computation, the background estimation was refined by two successive median filters with window size 401x401 and 51x51 pixels. The resulting AFAI deviation values (i.e. AFAI deviation with respect to the *Sargassum*-free background) were noisy due to the local filtering therefore an erosion-dilatation step was added to remove the small isolated detections. 3. Filtrage des détections MODIS avec des attributs de contexte – 3.3. Materials and Methods



FIGURE 3.2. : SAREDA work flow. Intermediate step of the data are indicated in bold.

That work flow was applied to the full archive of MODIS Level-1B (i.e. Top-of-theatmosphere reflectance) granules (i.e., 5-minute orbit segments, 1354x2030 pixels 2300x2030 km<sup>2</sup> each) for both satellites. From those filtered AFAI images, the FC of the *Sargassum* algae was derived from AFAI with the ratio used by M. WANG et HU, 2016. FC values retrieved from Terra/MODIS and Aqua/MODIS were then mapped to an equirectangular grid and averaged daily and monthly. The mapped area extends from 15°S to 50°N and from 100°W to 15°E. The masked pixels were discarded in the average. We called those aggregated products composites. The daily composites provide a global view of *Sargassum* state in the North Atlantic. They are not exhaustive and contain gaps because of the cloud coverage and the gaps between MODIS swaths.

In this original dataset, *Sargassum* was distributed from the Gulf of Guinea to the Gulf of Mexico going through the Caribbean Sea. However, there was a high amount of suspicious detections. At high latitudes false detections were mainly caused by

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clouds and sun glint that were not completely removed from the data. In coastal areas, water turbidity can run over coastal mask in some cases and generate false detections (M. WANG & HU, 2021). Finally, high chlorophyll concentration or other floating algae (such as *Trichodesmium*) are responsible for the remainder of false detections. These different phenomena cause a wide spatial distribution of false detections. Some of them are scattered in time and space, especially cloud resulting detections, while others are recurrent in some areas such as offshore chlorophyll production near the Mauritanian coasts. Finally, the fraction of false detections can exceed 50%. Examples of the different types of detection are presented in Fig. 3.3.



FIGURE 3.3. : Examples of true and false detections for 2020 in 2° by 2° boxes. The colour bar indicates the AFAI deviation from the background (the AFAI detection threshold is indicated in red), masked pixels : either clouds, clouds shadows sunglint or shallow waters (<500 m depth) are represented in dark grey and land in light grey. The bottom right panel shows the average of Rayleigh-corrected reflectance spectra (Rrc) of each case and the background spectrum. Rrc was averaged over the detected pixels (not-detected pixel in the case of background) for each MODIS band in the range (400 nm - 800 nm). The bands used in the AFAI computation (667, 748, 869 nm) are shown as dashed blue lines.</p>

#### 3.3.3. Learning dataset, "expert truth"

In order to perform the filtering, it was necessary to establish a manually labelled dataset to train the model. A manual labelling was performed over FC daily composites by visual inspection (CUEVAS et al., 2018; QIU et al., 2018; M. WANG & HU, 2016). We called that new dataset "expert truth" to differentiate it from real ground truth. To limit

human validation bias, results obtained by three different operators were compared. All three labelled sets were consistent, thus the operator bias was considered negligible.

The labelling process was based on daily vector images of the full North Atlantic area and set up with the graphical interface of QGIS (https://www.qgis.org). It was used for selecting and classifying the aggregations while displaying the AFAI images to analyse the surrounding context. Based on a daily 1 km Aqua-Terra composite and the corresponding full-resolution single-sensor non reprojected images (Level-2 products), we classified every aggregation except the ambiguous cases (< 5%).

The study focused on five years, 2016 to 2020, a period with continuous *Sargassum* presence. In order to ensure the model generalisation capability in spite of large seasonal and inter-annual variability of *Sargassum* algae, the learning dataset had to extent over a long time period to cover the different cases. The labelling process was divided evenly over the five years. Each year, we selected and validated 3 to 5 days spread out over the seasonal cycle. The dataset was thus representative of both an entire seasonal cycle and the five years of interest.

In the end, about 2000-2500 aggregations per year were labelled by the operators (Table 3.1), except for 2016 with less validated aggregations due to its lower *Sargassum* occurrence. Finally, there was about ten thousand labelled aggregations with 60 % classified as true. While the true detections were more numerous than the false ones, their spatial extent was relatively smaller and they represented only 50 % of the area.

	2016	2017	2018	2019	2020	all
Number of labelled aggregations	1586	2598	2632	2352	1953	11121
Number of true aggregations	697	1402	2147	1623	1540	7409
Ratio of true aggregations (%)	43.9	54.0	81.6	69.0	78.9	66.6
Area ratio of true aggregations (%)	20.8	29.5	71.0	57.4	68.1	48.8

TABLEAU 3.1. : Labelled aggregations per year. The aggregations were obtained by the export of FC images in vector format. The labelling was performed over the Atlantic (100°West to 15°East, 15°South to 50°North).

#### 3.3.4. Aggregations characterisation : spatial features extraction

The first kind of features used was the shape feature. At scales lower than 1 km, *Sargassum* algae aggregate in windrows (narrow elongated rafts) and patches (ODY et al., 2019), with extent between 1 and 100 m diameter. At scales greater than 1 km, the MODIS resolution only detects aggregations in the upper range of spatial extent, mostly typical large elongated structures. These filaments are a few kilometres wide and 10–100 kilometres long. Thus, a dozen shape indicators were extracted from the aggregations to characterise them (JIAO et al., 2012). Among those, an elongation index (STOJMENOVIĆ & ŽUNIĆ, 2008), a roundness index, a form complexity index, the area and the perimeter were extracted :

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$$Elongation = \frac{l_{max}}{l_{min}}$$
(3.1)

$$Roundness = 4 * \frac{a}{\pi * l_{max}^2}$$
(3.2)

Form complexity = 
$$\frac{4\pi * a}{p^2}$$
 (3.3)

Where  $l_{max}$  and  $l_{min}$  are the length of the major and minor axis of the aggregation, a and p its area and perimeter. Area and perimeter metrics take aggregations inner holes into account.

A second category of features was derived from the FC values within each aggregation. While the FC value of pixels considered independently does not allow false detection screening, as FC covers a wide range of valid values, the statistical distribution of these values within one aggregation can discriminate the false and the true *Sargassum* detections. Therefore, the mean, the median, the standard deviation, the minimum, the maximum and the interquartile range of the FC values within each aggregation were extracted.

The third kind of features was composed of several indices describing the surrounding of aggregations. As *Sargassum* aggregations are often small and close to each other, we defined the Nearest Neighbours Index (NNI) that counts the number of neighbouring aggregations within a given radius around one aggregation. Additionally, the Nearest Neighbours Area Index (NNAI) measures the total area covered by those close-by neighbours. Both of these indices were extracted with different radii from the barycentre of the aggregations.

In order to give more likelihood to redundant and time-coherent detections, an original persistence index (PersI) was developed. For every aggregation, it evaluates the number of times where the aggregation is close to at least one other aggregation in the two previous days and the two next days. Finally, the Coast Shortest Distance Index (CSDI) represents the aggregation distance from a land body.

In the end, around thirty indexed features were extracted. They were highly redundant, it was thus necessary to select the minimal subset of features to ensure the simplicity, the reliability and the robustness of the classification method. The feature selection was based on different sources of information. First, it took into account the correlation between indices to select uncorrelated features. Then, when it was available, it relied on the feature frequency of use during training with machine learning algorithms. Finally, the selection maximised the performance metrics of the algorithms (Section 3.3.5). Plus, the interpretability of the features was taken into account during the selection.

#### 3.3.5. Classification algorithms selection and tuning

The last step of the method was to select the most suitable machine learning algorithm for the filtering and tune it. In order to evaluate and compare the performance of each

algorithm, different scores were computed.

The classic performance scores used were the accuracy, the recall and the precision (HASTIE et al., 2009), thereafter called "overall accuracy", "overall recall" and "overall precision". These scores were obtained with k-fold cross-validation on the whole dataset. In addition, we introduced the "generalisation accuracy", the "generalisation recall" and the "generalisation precision" scores. First, the yearly accuracy, recall and precision were computed for each year using the remainder of the dataset as training dataset. The generalisation scores were defined as the average of those yearly scores. Those new metrics, needed because of the inter-annual variability of the *Sargassum* algae, represent the prediction capacity of the method over a completely unknown year. It thus gives a more realistic estimation of the method performance.

For selecting a machine learning algorithm, a benchmark was conducted over various classification algorithms. It included single model algorithms as Naive Bayes classifier (NB), Decision tree, Support Vector Machine (SVM), Linear Discriminant Analysis (LDA). Plus, some aggregated models based on decision trees were evaluated such as Adaboost, Gradient Tree Boosting (GTB), XGBoost and Random Forest (RF).

Several scores were computed to evaluate and compare the performance of each algorithm. First, the overall accuracy gives an overview of the algorithms' performance. Yet, true *Sargassum* aggregations were more important in the classification to keep as much as possible the *Sargassum* signal. As overall accuracy weights evenly the classes, the overall recall was taken into account to focus on the true positive/false positive ratio. The overall precision was also computed but with lower attention for the optimisation as the false positive matter was less important. Finally, the overall f-score summarised these last two metrics but we mainly used the overall accuracy and the overall for interpretability.

Finally, those metrics were computed for all the tested algorithms using the python library scikit-learn (https://scikit-learn.org/) (Table 3.2). They were evaluated with a cross-validation step, by performing a k-fold over the labelled dataset (k = 50). The inputs were the 30 extracted aggregation features (Section 3.3.4). Usual algorithm performance ranking was retrieved, with aggregated methods with higher performances than single model methods. The single model methods, with low overall accuracy and overall recall (around 85% - 90%) but higher interpretability allowed to select the right features, especially the decision tree algorithm. Aggregated methods were very efficient with around 94% of overall accuracy but not easily interpretable. The random forest algorithm showed the best performance and was thus selected. This is consistent with the literature that repeatedly employs random forest for remote sensing classification (BELGIU & DRĂGUŢ, 2016; CUEVAS et al., 2018).

	SVM	NB	LDA	Decision tree	Adaboost	GTB	XGBoost	RF
Accuracy	72.9	84.4	87.2	90.8	92.2	92.0	93.7	95.8
Recall	67.6	82.1	85.8	90.9	94.3	94.0	95.2	96.5
Precision	89.2	93.7	94.5	95.1	94.0	93.0	95.3	97.2

TABLEAU 3.2. : Algorithms performance computed with a k-fold operation (k=50). No particular tuning were previously performed.

After selecting the random forest algorithm, a calibration was performed on its parameters to maximise the performance. Concerning the random forest algorithms calibration itself, the models were highly configurable. However, only two main parameters were evaluated here, the numbers of bootstrapped trees and the maximum depth of trees. Although random forests are not very sensitive to overfitting, the lowest values for tree depth (12) were taken to both conserve good performances and enhance generalisation power. Similarly, the number of estimators was chosen as small as possible (24) to reduce the computation time. We evaluated here both "overall accuracy" and "generalisation accuracy". The set of input features was then reduced to a reasonable number in order to optimise performance (Section 3.3.4). For the NNI, the persistence index and the NNAI features, the radius maximising the scores for the NNI and NNAI computation was 700 km and the radius for the PersI computation was 50 km.

A learning curve was computed to check the convergence of the metrics. It was obtained by learning over an increasing fraction of the dataset, testing over the remainder, and computing both overall and generalisation scores. This was repeated 30 times to reduce random selection effect and then averaged in a single curve.

#### 3.3.6. Time series production

The random forest classifier was applied to the daily FC composites over the time period 2016-2020 to build a filtered dataset. We obtained a complete filtered time series with one image of 1 km resolution per day.

Monthly composites were computed to overcome the extensive masking of daily products (mostly due to clouds), to enhance interpretability and for comparison with the literature (ODY et al., 2019; M. WANG & HU, 2016). Finally, the FC was converted to wet biomass using the ratio of 3,34 kgm<sup>-2</sup> proposed by M. WANG et al., 2018 based on field measurements in the Gulf of Mexico, the Florida Straits and Belize.

In order to summarise the whole time series (2016-2020), annual biomass averages were computed at 50 km resolution. A simplified envelope was also extracted for comparing spatial distributions between years. This envelope was obtained by first thresholding the 50 km composites ( $FC=10^{-5}$ ). Then, the images were exported in vector format and erosion-dilation-erosion steps were applied to delete the remaining scattered aggregations and close gaps. Finally, for each pair of envelopes, the ratio between the intersected surface and the total surface was computed.

# 3.4. Results

The labelled dataset is shown in Fig. 3.4, it gives an overview of the spatial distribution of true and false detections.



FIGURE 3.4. : Map of true (A) and false (B) *Sargassum* aggregations from the learning dataset over 5 years. All labelled aggregations were displayed at their location, with possible overlaps.

True detections are concentrated from the Gulf of Guinea to the Gulf of Mexico, going through the central Atlantic and the Caribbean Sea. The false detection distribution was more spread out over the whole area with some dense areas like offshore Mauritania.

#### 3. Filtrage des détections MODIS avec des attributs de contexte – 3.4. Results

The whole set of features used in the classifier was reduced to five according to the performance maximisation of the filtering : NNI, CSDI, PersI, NNAI and the FC standard deviation (Tab. 3.3). Concerning the NNI, the PersI and the NNAI, they were selected regardless of the neighbourhood radius used and then calibrated.

NNI	CSDI	PersI	NNAI	FC std
44.5	15.6	15.5	14.8	9.5

TABLEAU 3.3. : Feature frequency of use (%) for the final classifier (random forest). The frequencies were averaged over 30 generated forests. The radius used for the metrics NNI, PersI and NNAI were respectively 700 km, 50 km and 700 km.

Tab. 3.3 shows the frequency of use for the five selected features. NNI is largely the most used while CSDI, PersI and NNAI are quite equivalent and the FC standard deviation is rarely used.

Concerning the classification performances, the learning curves computed on the dataset are presented in Fig 3.5. These learning curves show the fast convergence of the method performance. Both overall accuracy (recall and precision) and generalisation accuracy (recall and precision) stabilise when learning with more than half of the dataset. The generalisation accuracy (recall and precision) stabilises faster than the overall accuracy (recall and precision). The associated standard deviation was quite low thus the measurements were robust.



#### 3. Filtrage des détections MODIS avec des attributs de contexte – 3.4. Results

FIGURE 3.5. : Learning curve of the random forest algorithm. The dataset fraction allocated for training increases from 3% to 90%, the remaining 10% of the data are used for testing. The displayed curves are the average on 30 computed curves. The blue curve shows the overall accuracy and the red curve the generalisation accuracy (i.e. training without a year and testing on it). Similar results were observed with the recall and precision scores.

In addition, the final scores of the method are shown in Tab. 3.4. The overall performance of our approach was about 96% regardless of the predicted class. The generalisation accuracy (recall and precision) per year varied between a minimum of 84% (83% and 82% respectively) in 2016 and between a maximum of 94% (96% and 96%) in 2019. The generalisation accuracy (recall and precision) is 90% on average (92% and 91%).

	3.	Filtrage de	es détections	MODIS	avec des	attributs	de contexte -	- 3.4.	Result
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	Overall acc		Tra	in over the data	set except one y	vear	
	Overall acc.	Test 2016	Test 2017	Test 2018	Test 2019	Test 2020	Gen. acc.
Accuracy	$\textbf{96.28} \pm \textbf{0.08}$	$84.51 \pm 0.9$	$84.24\pm0.34$	$92.35\pm0.35$	$93.98 \pm 0.4$	$94.0\pm0.58$	$89.97 \pm 0.48$
Recall	96.9 ± 0.06	$82.55 \pm 2.07$	$89.73 \pm 0.45$	$95.7 \pm 0.41$	$95.67 \pm 0.19$	$92.65 \pm 0.72$	$91.89 \pm 0.66$
Precision	$\textbf{97.5} \pm \textbf{0.09}$	$82.28\pm0.88$	$82.58 \pm 0.48$	$94.96 \pm 0.14$	$95.61 \pm 0.49$	$99.72 \pm 0.72$	$91.23 \pm 0.4$

TABLEAU 3.4. : Algorithms performance scores in percentage. The computation is made 30 times tohttps ://fr.overleaf.com/project/62971c689b31d47b1bfe53ca reduce random effect. The standard deviation is indicated after the scores. The generalisation scores correspond to the weighted mean of the five annual tests.

Examples of composites before and after the filtering process at 1 and 50 km spatial resolutions are presented in Fig. 3.6. A full seasonal cycle for the year 2020 at 50 km is shown in Fig. 3.7.



FIGURE 3.6. : Monthly composite of FC for June 2020 at 1 km and 50 km resolution for unfiltered (left) and filtered (right) data. The top panel is a zoom of the region shown on middle panel.



#### 3. Filtrage des détections MODIS avec des attributs de contexte – 3.4. Results

FIGURE 3.7. : Filtered FC of monthly composites for 2020 at 50 km resolution.

The filtered products showed a coherent spatial distribution over the whole time series 2016-2020 (95% of accuracy is expected). False detections were removed in the areas where no *Sargassum* algae presence was reported (for example for latitudes >  $30^{\circ}$ N and <  $0^{\circ}$ N). In the opposite, the detected aggregations in the new *Sargassum* distribution area were retained (Fig. 3.6).



FIGURE 3.8. : Sargassum biomass time series averaged in different boxes during the time period 2016-2020. The top panel displays the 2020 aggregated data in 1 km-resolution and the boxes used for the biomass computation. Panel A presents the biomass time series in the same box as M. WANG et al., 2019b and compare it to their data (available until 2018 only). Panel B and C are the retrieved Sargassum filtered biomass for Eastern and Western Atlantic Ocean (boxes B and C on the map). The black lines on the bar-plots indicate the annual mean of filtered data computed over the five years.

#### 3. Filtrage des détections MODIS avec des attributs de contexte – 3.4. Results

The monthly time series of biomass in three different spatial boxes are displayed in Fig. 3.8. The filtering method developed here reduced the biomass estimate by 40% in total for the whole time series and reduced the monthly estimate by 53% (average of monthly ratios). This is consistent with the estimated ratio of false detections observed in the learning dataset.

Primarily, over the North Tropical Atlantic, the seasonal cycle of biomass was rather regular (average of monthly standard deviation of 66%). The seasonal cycle of growth/decay leads to a biomass maximum in June and a minimum in November. The monthly average of biomass was estimated between 3 to 10 million tons. Biomass quantities were equivalent for 2017, 2019 and 2020 while 2016 and 2018 had respectively low and high biomass quantities. In addition to the global biomass time series, we computed separately the biomass from the Eastern and Western parts of the Tropical Atlantic Ocean to highlight their distinct dynamics. The Eastern area has lower *Sargassum* quantities with two biomass peaks in March and September. The Western area has 6 times greater biomass with only one peak in June. Concerning the boxes used for the biomass estimation in Figure 3.8, the limit of 30°W between the two areas was chosen both to maximise the differences between the two dynamics and to visually distinguish the two areas of high biomass.

For the years 2016-2018, unfiltered and filtered biomass estimates were compared to the M. WANG et al., 2019b time series. Our filtered biomass averaged over the three years was only 1% greater than M. WANG et al., 2019b estimate. Comparing month by month, there was a +4% difference on average, associated with a standard deviation of 33%. A student t-test was performed between the two datasets before and after filtering. Unfiltered data and M. WANG et al., 2019b data were significantly different with a p-value of 0.02 while filtered data was very similar to their measurements with a p-value of 0.97. Moreover, the filtered dataset was also close to their data in terms of spatial distribution (not shown). Besides, some remaining false detections and some true detections were absent in the results of M. WANG et al., 2019b, while few of their detections were removed in the filtered dataset. The detailed analysis of these discrepancies could not be achieved here and was beyond the scope of this study. Finally, the main improvement of our dataset is the spatial resolution, 1 km for SAREDA filtered against  $0.5^{\circ}$  ( $\approx 50$  km) for M. WANG et al., 2019b composites.



#### 3. Filtrage des détections MODIS avec des attributs de contexte – 3.5. Discussion

FIGURE 3.9. : Annual composites of biomass at 50 km for 2016-2020 associated with the envelope of the area of high *Sargassum* concentration. The normalised area with respect to 2018 is indicated. The last panel shows all the envelopes, its intersection (dotted line) and its union (dashed line).

Lastly, the yearly *Sargassum* spatial distribution is presented in Fig. 3.9 with simplified envelopes. By opposition to the high inter-annual biomass variations, the distribution areas do not differ much between years except in 2016. For example, the distribution area of 2018 is very similar to the area of 2017 despite the overall biomass being 3 times larger. In-depth comparison between annual distributions shows several areas of discrepancy : 1) the Gulf of Mexico, reached in 2018 and 2019 only; 2) The north of the Dominican Republic, overrun by *Sargassum* in 2017 and 2018 only; 3) The Central West Atlantic, where *Sargassum* algae usually remain above the equator except in 2019.

## 3.5. Discussion

#### 3.5.1. Interpretation of features used in the classification

Among the thirty features extracted from the detected aggregations, only five were used in the final classifier. The first selected feature and the most used in the classifier was the NNI (44.5%). This selection is consistent with visual observations during labelling, as true detections were mostly concentrated together in few areas. Besides, the major use of this feature was likely responsible for the lower classifier performance in 2016 where aggregations were scarce and sparse. The CSDI, the PersI and the NNAI completed the filtering by discriminating respectively the remaining coastal false detections and the far offshore Northern cloud false detections, the time-incoherent detections and the detections neighboured by large false detections due to chlorophyll concentration. Finally, the addition of the FC standard deviation increased the generalisation accuracy and the robustness of the method by preventing overfitting.

Only context indices were retained for the classification, likely because of the too coarse spatial resolution of the data. In particular, the elongation was not selected because of the fragmentation of aggregations. The elongated structures seen in the images were often split because of the erosion-dilatation operation used during the retrieval process (Section 3.3.2). We chose here to exclude location and temporal features from the selection. A test showed that including latitude and longitude coordinates greatly improved accuracy for a single year dataset but decreased accuracy with a more extended dataset. Furthermore, since the geographic distribution of the algae changed radically in 2010, we wanted to avoid geographical constraints.

#### 3.5.2. Accuracy of the classification and generalisation

The performance scores obtained with the classifier were between 90% and 96%. The filtering quality for new data is expected to be in the annual generalisation scores range (90%). It will depend on the consistency of new data with the 2016-2020 dataset. The only lower-performing year was 2016 where the biomass level was much lower than other years. Overall, the score should stay around 90% if the current trend of biomass distribution persists. For the filtering of the 2011-2015 period, the performance obtained for 2016 should be representative and an accuracy above 85% is expected.

The learning curve analysis showed that increasing the learning set does not greatly improve scores. From 50% to 90% of the learning set, the overall score gained less than 1% of accuracy while the generalisation score was stable. Adding data from the same years 2016-2020 to the learning set will therefore be useless. The only way to noticeably improve the method would be to add data from other years and make both scores converge.

The performance is analogous to or better than other works that used machine learning algorithms for *Sargassum* detection. CUEVAS et al., 2018 applied a random forest algorithm over a small dataset using raw reflectances and derived indices such as AFAI. Their accuracy was close to our study with a overall score of 93.4%. ARELLANO-VERDEJO et al., 2019 used deep learning on radiometric inputs from MODIS to detect *Sargassum*. Their accuracy was similar to our results (90%). Finally, studies on Yellow Sea *Sargassum* algae (QIU et al., 2018; SHIN et al., 2021) showed similar or lower performance.

#### 3.5.3. Limits of the method and potential improvements

The choice of MODIS imagery implies rather coarse resolution but recurring observations (twice a day). We used the 1 km bands for AFAI computation to ensure good signal/noise ratio compared to 250 - 500 m bands and to better discriminate between *Sargassum* and clouds (M. WANG & HU, 2016). Comparison of AFAI with high resolution (20 m) MSI observations have shown that both estimates are consistent (DESCLOITRES et al., 2021; M. WANG & HU, 2020). MODIS appears as a trade-off between regular mapping of the *Sargassum* distribution and resolution.

The method assumed that features distribution is consistent for all aggregations regardless of their location and of their time of observation. This is mostly the case over the studied time period, as the high performance obtained by the method demonstrates a good spatial and temporal consistency. Yet, specific regions or periods may show singular distributions of features. That could be the case for areas where false detections remained after the filtering process, such as offshore Mauritania or the Amazon plume area. The global approach could be limited here and defining regional learning sets and classifiers may improve the method performance.

The learning set was built to be as representative as possible of the whole set of true and false detections. Nonetheless, in some areas (e.g., Gulf of Guinea, Sargasso Sea), discrimination between true and false detections was more strenuous for manual labelling. Both low *Sargassum* concentration and cloud coverage created ambiguous cases. This induced a lack of labelled data and most likely a lower filtering accuracy in these regions. Remote sensing imagery from other sensors with higher resolution or *in-situ* measurements could help to fill this gap and to ensure the completeness of the dataset.

Since the study focused on basin scale offshore *Sargassum* detection, coastal areas were masked. This choice does not have a large impact on *Sargassum* estimation as detections in the coastal areas were mostly false detections. Tests using our classification algorithm to filter out coastal false detections and avoid masking coastal waters were not conclusive. In coastal areas, progress in *Sargassum* detection can be made using other sensors/indices with poorer temporal resolution, such as OLCI and Maximum Chlorophyll Index (J. F. GOWER & KING, 2011), less sensitive to coastal contamination, or higher resolution sensors such as MSI (M. WANG & HU, 2020, 2021). Those sensors would be useful to complement MODIS data.

#### 3.5.4. Uncertainties in the Sargassum estimates

*Sargassum* detection is limited by algae observability. In certain circumstances (low density, vertical mixing in the upper layer), MODIS cannot distinguish *Sargassum* from background (D. L. JOHNSON & RICHARDSON, 1977; M. WANG & HU, 2020; WOODCOCK, 1950, 1993). This limitation is outside the scope of our study.

Concerning the filtered products, clues on their uncertainties were given by the classifier performance study. For the 2016-2020 period, the expected errors fraction would be about 2.5% of false positives and 2.5% false negatives. As the false aggregations 3. Filtrage des détections MODIS avec des attributs de contexte – 3.5. Discussion

are larger than true ones, false positives would induce a greater overestimation than false negatives generate an underestimation. Thus, the filtering errors are expected to produce a small overestimation with errors on some *Sargassum* aggregations locations. For data from other years, the reasoning is the same but with around 5% of false positives and of false negatives generating an overestimation twice larger.

Concerning the aggregated products, there is an uncertainty due to the sampling, limited by the coverage of daily data. With two observations per day over the whole Tropical Ocean, only 30% of pixels were retrieved because of the different masks. The solution used to fill these gaps was to aggregate data spatially or temporally. In order to keep the best spatial resolution, temporal averaging was used. If we hypothesise a fully random mask distribution (binomial distribution for every pixel), 6 days are needed to reach a coverage of at least 90%. In practice, 12 days were needed. The temporal composites based on less than 12 days were therefore incomplete, while using more than 12 days reduce mapping accuracy because of non-negligible *Sargassum* drift.

#### 3.5.5. Sargassum dynamics over 2016-2020

The biomass fluctuations resulted mainly from advection for 1-2 month timescale (BERLINE et al., 2020), while biology (growth and decay) combined to advection drives the fluctuations for longer time scales. Our results strengthened the scenario of recirculation of the *Sargassum* biomass in the North Tropical Atlantic : from the development of relatively low biomass in the Eastern Atlantic Ocean in the early months of the year, advection inseminates the Central Atlantic Ocean and leads to a biomass maximum in June-July. In addition to the bloom transport in the Caribbean Sea and the Gulf of Mexico, some *Sargassum* algae are driven back eastwards by the North Equatorial Countercurrent (NECC) causing the September peak of biomass. Finally, the algae globally decay and return to a global minimum of biomass in November. This cycle, rather stable over the studied period (2016-2020), is consistent with the time series from M. WANG et al., 2019b over 2016-2018.

The eastern and western boxes essentially distinguish the western and eastern consolidation regions from FRANKS et al., 2016. This limit also matches the transition between two distinct areas of annual biomass production, as shown on the 2020 seasonal biomass cycle (see top of Fig. 3.8). The two different dynamics and biomass quantities observed in the Eastern and Western Tropical Atlantic Ocean are consistent with the simulations from JOUANNO et al., 2021a (their figure 5) for the year 2017.

As a perspective, the 1 km resolution of our dataset may allow tracking algae aggregations over several days of observations. This tracking could provide valuable inputs on *Sargassum* drift patterns. Such an approach was recently tested with GOES (Geostationary Operational Environmental Satellite) by MINGHELLI et al., 2021 with 15-minute observations, but with a lower sensitivity. To validate the analysis of the *Sargassum* distribution dynamics and explore the anomalous 2010 event (JOHNS et al., 2020), the filtered dataset will be extended backwards to 2000, i.e. over the full MODIS archive (only Terra sensor for 2000-2001). 3. Filtrage des détections MODIS avec des attributs de contexte – 3.6. Conclusions

# 3.6. Conclusions

With the use of a machine learning algorithm, this study benefits from an untapped source of information : the spatial context of *Sargassum* aggregations. Those new features are complementary with radiometric data used in previous approaches and allow to screen false detections induced by various phenomena. While classic algorithms have known flaws that induce false detections, the post-processing filtering technique presented here offers a solution to identify and mitigate those flaws and produce automatically a high-quality product over a large-scale area. As the method is time and space independent and modular, it would be easily generalised to other *Sargassum* detection datasets or other application scopes. The *Sargassum* annual cycle of the filtered dataset is consistent with the literature for seasonal and inter-annual fluctuations and we provided a detailed characterisation of the spatial variability of the distribution. As a perspective, the filtering process will be implemented in the SAREDA pipeline to provide near real-time filtered products and reprocess the past time series. This dataset will be useful to understand the drivers of *Sargassum* dynamics at fine and large scale and validate future models.

# 3.7. Appendices

#### 3.7.1. Neighbours indices calibration

The choice of radii used for NNI, NNAI and PersI indices was critical for their use in classification, they were thus calibrated as follow. We arbitrarily kept the same radius for the NNI and the NNAI to facilitate the interpretation of the results. The calibration thus focused on selecting a pair of radii for neighbours and persistence. Overall and generalisation accuracy (recall and precision) were then evaluated for each couple of radii (Tab. 3.5).

As the generalisation matter was critical, the radii were selected according to the best generalisation accuracy, with a small loss of overall accuracy. Finally, the radius for the NNI and the NNAI was chosen at 700 km from the barycenter of the aggregations and the PersI radius was 50 km.
3. Filtrage des détections MODIS avec des attributs de contexte – 3.7. Appendices

				Neigh	bours in	dices radi	us (NNI a	ind NNAI)	)
			10km	50km	100km	300km	500km	700km	1000km
	ndex radius (Persl)	10km	82.6	87.3	89.9	93.4	94.8	95.5	95.3
acc		<b>50km</b>	87.9	90.0	91.9	94.6	95.5	<b>96.1</b>	96.1
rall		100km	88.7	90.4	92.1	94.7	95.5	96.0	<b>96.2</b>
)vei		300km	84.7	87.7	90.4	93.7	95.0	95.8	95.9
		500km	81.0	85.9	89.1	93.2	94.3	95.4	95.1
		10km	77.6	82.3	84.4	88.3	88.0	89.2	86.6
cc.	Ice i	<b>50km</b>	83.7	85.7	86.2	89.3	88.8	89.8	88.1
Gen. a	ster	100km	85.2	86.2	86.5	89.0	89.0	89.2	<b>86.7</b>
	ersi	300km	78.2	81.9	83.9	87.3	87.5	88.0	84.6
	Pe	500km	75.1	80.5	83.4	86.9	86.7	87.7	85.7

TABLEAU 3.5. : Accuracy scores according to the pair of radii used for NNI-NNAI and PersI. The overall accuracy was obtained with a k-fold cross-validation on the whole dataset, the generalisation accuracy was computed by excluding a year from the training dataset and testing on it (this operation was done on each of the five years and the scores were averaged). The pair of radii yielding the best overall accuracy is indicated in blue while the pair of radii maximising the generalisation accuracy is indicated in red. Similar results were observed with overall and generalisation scores for recall and precision.

## 4. Suivi des agrégats de sargasses et modélisation de leur dérive

Ayant à disposition les images SAREDA purgées de la majorité de leurs scories résiduelles, il est à présent possible de s'intéresser à la dynamique et la variabilité des algues sargasses dans ce jeu de données. Comme les sargasses effectuent leur cycle saisonnier sur presque un tiers du périmètre terrestre (100° ouest à 10° est, soit 12 000 km est-ouest), leur dérive est certainement l'un des facteurs majeurs qui détermine leur répartition spatiale et temporelle. En outre, il s'agit du phénomène clé pour anticiper et idéalement prévoir les échouements de sargasses à relativement court terme (quelques dizaines de jours).

Malgré ce besoin évident de connaissances approfondies sur les mécanismes exacts de la dérive des sargasses, ceux-ci restent imparfaitement appréhendés par les études scientifiques existantes. Cela découle de la grande complexité des processus déterminant cette dérive, dont les rares données d'observation ne rendent que partiellement compte. Plusieurs obstacles rendent en effet difficile l'acquisition de données d'observation. D'une part, l'échelle des radeaux de sargasses (1 à 100 mètres) empêche la mise en œuvre d'expérimentations en laboratoire reproduisant une dérive naturelle. D'autre part, l'observation *in-situ* de la dérive, par le suivi de trajectoires par exemple, est gênée par le manque de cohésion des radeaux qui se désagrègent puis se recomposent dynamiquement. Ce manque de cohésion empêche également d'attacher des bouées GPS directement aux radeaux pour les suivre. À cela s'ajoute la complexité purement logistique de mener à bien des expérimentations de longue durée en océan ouvert. Aussi, la diversité des conditions du transport passif de ces algues évoluant dans un espace de 10 millions de kilomètres carrés nécessiterait un effort considérable d'échantillonnage.

Les quelques connaissances spécifiques aux algues sargasses, auxquelles s'ajoute le savoir bien établi concernant d'autres objets flottants similaires (comme les bouées dérivantes océanographiques), permettent néanmoins de décomposer les forces effectives de la dérive. L'effet primordial est sans conteste l'entraînement par le courant de surface environnant. Loin d'un fluide laminaire homogène, celui-ci est turbulent, dépendant de la profondeur, avec de nombreux fronts de cisaillement. Son effet est donc fort complexe à fine échelle, engendrant la déformation et la scission des radeaux. Il devient plus aisément appréhendable aux échelles plus dégradées où il est assimilable à une force homogène spatialement qui entraîne les sargasses dans le sens de l'écoulement. Ce courant environnant se différencie en deux composantes principales, le courant géostrophique et agéostrophique. Le premier résulte de l'équilibre entre les gradients de pression et l'effet Coriolis à l'échelle du bassin océanique,

#### 4. Suivi des agrégats de sargasses et modélisation de leur dérive

tandis que le second est défini localement par la juxtaposition de différents facteurs (inertie, entraînement par le vent, état de la mer et marée). Ces composantes peuvent être mesurées afin d'estimer l'effet du courant sur les sargasses en utilisant des mesures altimétriques pour le courant géostrophique et en se limitant à l'effet du vent ou courant d'Ekman pour la composante agéostrophique. Il est couramment admis que ce courant de surface advecte les sargasses à raison de sa vélocité absolue sans déperdition d'énergie.

Si les sargasses sont implicitement impactées par le vent du fait du courant d'Ekman, elles le sont également plus directement en conséquence de leur affleurement à la surface. Leur partie émergée, bien que minoritaire, est exposée à l'écoulement du vent, créant ainsi une force d'entraînement qui s'ajoute au courant. Ce facteur d'entraînement, dénommé "windage" dans la littérature scientifique spécifique aux sargasses, ou "leeway" plus généralement, constitue un point de discussion crucial pour modéliser précisément le transport des sargasses. Défini comme une petite fraction de la vitesse du vent (quelques pourcents), la communauté scientifique s'accorde sur son importance sans néanmoins conclure sur sa valeur, faute de mesures directes et absolues de ce dernier.

La seconde étude de cette thèse s'intéresse en conséquence à estimer précisément l'effet du vent sur la dérive ainsi qu'à réévaluer le rôle du courant. Dans cette optique, j'ai dans un premier temps élaboré une méthode d'extraction de trajectoires de sargasses dans les images MODIS de résolution 1 km. En utilisant des algorithmes d'appariements automatisés, il est possible de faire correspondre deux images d'agrégat de sargasses séparées de quelques heures et ainsi de calculer leur vitesse. Basée sur ces résultats d'appariements, la comparaison statistique des vitesses sargasses aux vitesses des courants de surface et du vent fournit une nouvelle interpolation des facteurs de transport des sargasses. Ce second travail se conclut donc par un nouveau modèle de dérive apportant des nuances aux inférences précédentes de la littérature. 4. Suivi des agrégats de sargasses et modélisation de leur dérive

# A new *Sargassum* drift model derived from features tracking in MODIS images

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4. Suivi des agrégats de sargasses et modélisation de leur dérive – 4.1. Abstract

## 4.1. Abstract

Massive *Sargassum* stranding events affect erratically numerous countries from the Gulf of Guinea to the Gulf of Mexico. Forecasting transport and stranding of *Sargassum* aggregates require progress in detection and drift modelling. Here we evaluate the role of currents and wind, i.e. windage, on *Sargassum* drift. *Sargassum* drift is computed from automatic tracking using MODIS 1 km *Sargassum* detection dataset, and compared to reference surface current and wind estimates from collocated drifters and altimetric products. First, we confirm the strong total wind effect of  $\approx 3\%$  ( $\approx 2\%$  of pure windage), but also show the existence of a deflection angle of  $\approx 10^{\circ}$  between *Sargassum* drift and wind directions. Second, our results suggest reducing the role of currents on drift to 80% of its velocity, likely because of *Sargassum* resistance to flow. These results should significantly improve our understanding of the drivers of *Sargassum* dynamics and the forecast of stranding events.

Keywords *Sargassum* algae; Computer Vision; Regression; Tracking; Remote Sensing; Drift; Collocation; Drifter; Tropical North Atlantic; Time series.

## 4.2. Introduction

In recent years, the extent of the Great Atlantic *Sargassum* Belt (GASB) has stabilized to a high level of *Sargassum* biomass associated with harmful stranding events. Countries affected by stranding along their coast are struggling to face the economical, ecological and sanitary damages (CHÁVEZ et al., 2020; de LANAY et al., 2022; MERLE et al., 2021; RESIERE et al., 2018; RODRIÉGUEZ-MARTIÉNEZ et al., 2019; VAN TUSSENBROEK et al., 2017). As a consequence, strong efforts are put in monitoring *Sargassum* distribution (CUEVAS et al., 2018; DESCLOITRES et al., 2021; J. F. GOWER & KING, 2011; ODY et al., 2019; M. WANG & HU, 2016; M. WANG et al., 2019b) and modelling *Sargassum* drift and growth (BERON-VERA & MIRON, 2020b; M. T. BROOKS et al., 2018; JOUANNO et al., 2021b; PUTMAN et al., 2018).

As for several other surface drifting objects, most models of *Sargassum* drift included a windage component (BERLINE et al., 2020; JOHNS et al., 2020; JOUANNO et al., 2021a; KWON et al., 2019; PUTMAN et al., 2020) to represent the direct effect of wind and waves on drift velocity. Model results were shown to be sensitive to windage (BERLINE et al., 2020; KWON et al., 2019; MIRON et al., 2020; PUTMAN et al., 2020) and it is therefore key to estimate this factor accurately. Up to now, windage was tested in simulations and calibrated with either *in-situ* or remote sensing data (BERLINE et al., 2020; JOUANNO et al., 2021a; KWON et al., 2019; PUTMAN et al., 2020). However, except for PUTMAN et al., 2020 who used a limited number of *Sargassum* rafts tracked trajectories, there is currently no direct measure of windage.

Satellite imagery may allow tracking *Sargassum* aggregates displacement on images in order to extract velocity and estimate windage. However, *Sargassum* detection from space is hampered by the high cloud coverage and aggregates shapes change rapidly, therefore a short satellite revisit time is necessary in order to track aggregates. High resolution sensors (e.g., MSI, Landsat8) allow describing the fine scale structure of aggregates (DESCLOITRES et al., 2021; ODY et al., 2019) but their revisit time (5-8 days) precludes aggregate tracking from one image to the next. Moderate-Resolution Imaging Spectroradiometer (MODIS), with two daily observations separated by approximately 3 hours, is best suited for tracking *Sargassum* aggregates.

Here, we used successive MODIS *Sargassum* detection images provided by PODLEJSKI et al., 2022 over the 2015-2021 period and applied computer vision tracking algorithms to compute *Sargassum* velocity. We then used complex linear regressions to relate this velocity to estimates of currents and wind derived from altimetry and velocity of collocated drifters (LUMPKIN & PAZOS, 2007) and to assess windage. Finally, we propose a new model of *Sargassum* drift.

## 4.3. Materials and Methods

#### 4.3.1. Overview

An overview of the whole approach made to extract *Sargassum* drift is shown in Fig. 4.1. First, *Sargassum* detections mapped at 1 km resolution from MODIS Aqua and Terra were collected from https://doi.org/10.12770/8fe1cdcb-f4ea-4c81-8543-50f0b39b4eca. The production process is described in DESCLOITRES et al., 2021 for extracting the Alternative Floating Algae Index (AFAI) and deducing *Sargassum* coverage. The filtering method developed in PODLEJSKI et al., 2022 was used to remove the false detections (false positive) caused by cloud, sunglint or coastal contamination. We used here filtered daily images at 1 km resolution. Among these images, we selected daily scenes with both Aqua and Terra clear *Sargassum* observations. For the comparison between *Sargassum* and drifters velocities, the Global Drifter Program (GDP) (LUMPKIN & PAZOS, 2007) data were collected and collocation cases (simultaneous presence in a range of 20 km) between *Sargassum* and drifters were extracted (4.6.1). The dataset of collocation was split between drogued drifters and undrogued drifters.

MODIS images were analysed with computer vision algorithms to match *Sargassum* aggregates from successive images and thereby derive their velocity (section 4.3.2). This matching process was validated manually to ensure reliability of measurements. Then, geostrophic currents and wind were interpolated at the position and time of each measurement (section 4.3.3). Finally, a statistical analysis was performed in order to infer the links between the velocities of *Sargassum* aggregates, drifters, surface current and wind, using linear regressions in the complex space (section 4.3.4).

4. Suivi des agrégats de sargasses et modélisation de leur dérive – 4.3. Materials and Methods



FIGURE 4.1. : Workflow of the *Sargassum* aggregates velocity extraction process, with data sources indicated in blue, results in green and processes in white.
W, U<sub>d</sub>, U<sub>S</sub>, U<sub>G</sub> are wind, drifter, *Sargassum* and geostrophic current velocities, respectively.

#### 4.3.2. Images matching to derive Sargassum velocity

Based on the two daily MODIS images of selected *Sargassum* aggregates, a matching process was performed in order to retrieve the two successive positions of the aggregate and thereby estimate its velocity. Two different algorithms were applied on a 100 \* 100 km AFAI images subset around the collocated drifter position (50 km range), namely Scale Invariant Feature Transform (SIFT) (LOWE, 2004) and optical flow (OF) as implemented in FARNEBÄCK, 2003. Lucas-Kanade (LUCAS, KANADE et al., 1981) and Gradient Location and Orientation Histogram (GLOH) (MIKOLAJCZYK & SCHMID, 2005) algorithms were tested on the images, but showed lower performances. All image processing was performed with Python 3 and the OpenCV library.

SIFT was used to extract key points for describing aggregates shape based on Terra and Aqua AFAI images. Using these key points, the best subset of congruent linear 2D translations (no scaling, no rotation) was extracted, and averaged to compute 4. Suivi des agrégats de sargasses et modélisation de leur dérive – 4.3. Materials and Methods

the corresponding *Sargassum* velocity (see 4.6.2). Then, OF algorithm was applied to confirm SIFT results. Inconsistent cases between the drift directions estimated from SIFT and OF were rejected, i.e., when the absolute angle between the estimated directions was larger than 25°. We retained velocity from SIFT as it was found more robust than OF.

Finally, a manual validation was performed on all remaining cases, based on an overall visual inspection of matching cases with a focus on all matching pairs of key points to ensure they were valid.

#### 4.3.3. Current and wind velocities

As a direct estimation of the surface current, we used drifter data. The drifter data were downloaded from the GDP website (https://www.aoml.noaa.gov/phod/gdp/, LUMPKIN et CENTURIONI, 2019). The Surface Velocity Program (SVP) drifters are made of a low-windage surface satellite transmitter (35 cm spherical hull) tethered by a thin cable to a semi-rigid sea anchor (the so-called "Holey-Sock" drogue) centred at 15 m depth. These properties allow drifters to follow reliably the 15 m depth currents. When a drifter loses its drogue, it tends to follow the surface current, but with a non-negligible effect of wind.

As an indirect estimation of the ocean surface current, we used the altimetry-derived geostrophic velocity at 1/4 °daily resolution available from www.aviso.altimetry.fr. Altimetry-derived current was considered as the local surface current not affected by wind.

As estimation of the surface wind velocity, we used the 10-metre wind from ECMWF ERA5 reanalysis at the 1/4 °hourly resolution (HERSBACH & DEE, 2016) (from https://cds.climate.copernic

After extraction, both surface current and wind fields were interpolated at the position and time of each collocated *Sargassum* aggregate/drifter pair.

#### 4.3.4. Statistical analyses

The overall idea here is to analyse to what extent the surface current, either from geostrophic estimates or from collocated drifters, and the wind can explain the *Sargassum* aggregate velocity measured from MODIS images.

We used the complex notation for vectors (KUNDU, 1976; POULAIN et al., 2009; SUTHERLAND et al., 2020):

$$\mathbf{U} = r e^{i\theta} \ or \ u + iv \tag{4.1}$$

with **U** a velocity vector expressed as a complex number, *r* its norm,  $\theta$  its argument (between  $-\pi$  and  $\pi$ , anticlockwise) and u, v the eastward and northward components of the velocity.

A general model of *Sargassum* velocity  $\mathbf{U}_S$  can be written as follows (MULET et al., 2021) :

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$$\mathbf{U}_{S} = \mathbf{U}_{G} + \mathbf{U}_{A} + \varepsilon = \mathbf{U}_{G} + \mathbf{U}_{T} + \mathbf{U}_{I} + \mathbf{U}_{E} + \mathbf{U}_{St} + \mathbf{U}_{W} + \varepsilon$$
(4.2)

where  $\mathbf{U}_G$ ,  $\mathbf{U}_A$ ,  $\mathbf{U}_T$ ,  $\mathbf{U}_I$ ,  $\mathbf{U}_E$ ,  $\mathbf{U}_{St}$ ,  $\mathbf{U}_W$  and  $\varepsilon$  are the *Sargassum*, the geostrophic current, the ageostrophic current, the tidal current, the inertial current, the Ekman current, the Stokes drift, the windage and the error term, respectively. The tidal current is considered negligible in the offshore cases studied. At the latitudes of interest (0 to 25°N) the Coriolis force is weak. Inspection of drifters trajectories near the collocations revealed only a few (<10) cases of inertial oscillations. As a consequence, we consider the inertial current as negligible. Following VAN SEBILLE et al., 2020, we assume that the Stokes drift and the windage can be combined. The Ekman current is also due to the wind forcing, these three terms can therefore be combined in a unique term depending on the wind :

$$\mathbf{U}_{S} = \alpha_{G}^{S} \mathbf{U}_{G} + \beta_{G}^{S} \mathbf{W} + \varepsilon \tag{4.3}$$

where **W** is the wind and  $\alpha_G^S$  and  $\beta_G^S$  are complex parameters. The parameter  $\alpha_G^S$  represents the role of geostrophic current on *Sargassum* aggregate drift, and the parameter  $\beta_G^S$  represents the effect of Ekman current, Stokes drift and windage. Similarly, drifter velocity can be expressed as follows :

$$\mathbf{U}_D = \alpha_G^D \mathbf{U}_G + \beta_G^D \mathbf{W} + \varepsilon \tag{4.4}$$

with  $\mathbf{U}_D$  the drifter velocity. Here the  $\beta_G^D$  parameter depends largely on whether the drifter has lost its drogue or not. We thus estimated this parameter separately for drogued and undrogued drifters.

We can also relate directly *Sargassum* and drifters velocities using the following models :

$$\mathbf{U}_{S} = \boldsymbol{\alpha}_{D,d}^{S} \mathbf{U}_{D,d} + \boldsymbol{\beta}_{D,d}^{S} \mathbf{W} + \boldsymbol{\varepsilon}$$
(4.5)

$$\mathbf{U}_{S} = \alpha_{D,u}^{S} \mathbf{U}_{D,u} + \beta_{D,u}^{S} \mathbf{W} + \varepsilon$$
(4.6)

where  $\mathbf{U}_{D,d}$ ,  $\mathbf{U}_{D,u}$  are the velocities for drogued and undrogued drifters, respectively. Here the  $\beta_D^S$  parameter does not include the effect of  $\mathbf{U}_E$  which is included in the  $\alpha_D^S$  parameter.

For all models, we used linear regressions in the complex space to find the set of complex parameters minimising the error  $\varepsilon$  (4.6.3).

Also, the models were tested using Ekman-corrected velocities to distinguish between Ekman component and windage (4.6.5). Real parameters (no angle) used in the literature were tested for comparison. Each regression was evaluated with the coefficient of determination  $R^2$  (square of the real part of the complex correlation coefficient). Significance tests were applied for both models (Fisher test) and parameters (Student test). The bootstrap method was set up to estimate robust parameters, their confidence interval and the associated coefficient of determination (see 4.6.4).

4. Suivi des agrégats de sargasses et modélisation de leur dérive – 4.4. Results

In order to further refine the regression models, outliers were isolated. Based on leave one out, the distance (error) between observations and model predictions was computed. By looking at the largest computed distance values, 4 cases were excluded where the *Sargassum* velocity direction was opposite to both wind and geostrophic currents.

## 4.4. Results

Over the period 2015-2021, we found 2754 cases of a *Sargassum* aggregate detected in both MODIS Terra and Aqua images and with a drifter of the Global Drifter Program passing by (see Table 4.1). Among them, for 240 cases we managed to match the *Sargassum* aggregate in Terra and Aqua images and could therefore estimate the aggregate velocity. An illustrative example of the matching process using SIFT is shown in Fig. 4.2. Our manual validation filtered out 48 more cases, and we ended up with 192 cases, 98 with a collocated drogued drifter and 94 with an undrogued drifter. This strict selection guarantees the estimates' accuracy of the drift velocity and a sufficient dataset for further statistical analysis.

Year	2015	2016	2017	2018	2019	2020	2021	All
Daily scenes	341	98	204	713	461	515	422	2754
Matching collocations	23	2	19	65	51	47	33	240
Valid drogued collocations	13	1	10	24	17	27	11	98
Valid undrogued collocations	3	1	9	26	26	14	16	94

TABLEAU 4.1. : Number of collocation cases per year at different steps of the method. First after selection of the daily scenes with detections on Terra and Aqua, second after performing matching algorithms and finally after manual validation.



4. Suivi des agrégats de sargasses et modélisation de leur dérive – 4.4. Results

FIGURE 4.2. : Example of image matching of January 6th 2021 for a collocated case with SIFT key points extracted from Terra and Aqua 100 x 100 pixels images. The five consistent matches are shown as linked pairs of points. The colorbar refers to AFAI deviation from background (PODLEJSKI et al., 2022), the white area is the mask. The collocated drifter is located at the centre of each image (not shown).

The distribution of these cases with respect to time and space is shown in Fig. 4.3. Fig. 4.4 shows the distribution of the intensity and direction of velocities for the detected *Sargassum* aggregates, collocated drifters, and interpolated geostrophic current and wind at the time and position of the collocated drifters.



FIGURE 4.3. : Collocated *Sargassum*/drifter pairs (n = 192) distribution in space and time, split into drogued (orange) and undrogued (blue) drifters.





FIGURE 4.4. : Wind-rose of velocities for the detected *Sargassum* aggregates, collocated drifters (drogued and undrogued), and interpolated geostrophic current and wind at the time and location of the collocated drifters. Colours indicate the speed, and bar lengths the frequency. Note that all velocity directions are expressed in terms of vector azimuth, i.e. the direction toward which the vectors lead.

Collocated *Sargassum*/drifter pairs were homogeneously distributed in the area of *Sargassum* presence along the time series 2015-2021 (Fig. 4.3). Geostrophic currents had a rather uniform orientation distribution, whereas *Sargassum*, drifter and wind velocity orientations were primarily westward (Fig. 4.4). The 4 variables are correlated. The correlation coefficients (norm of the complex coefficients) for  $\mathbf{U}_S$  against  $\mathbf{U}_D$  and  $\mathbf{U}_G$  are 0.80 and 0.47, respectively. While  $\mathbf{W}$  against  $\mathbf{U}_D$  correlation was 0.37 and  $\mathbf{W}$  against  $\mathbf{U}_G$  correlation was only 0.18.

In a first set of regression models, we explored the relation of *Sargassum* velocity  $\mathbf{U}_S$  and drifter velocity  $\mathbf{U}_D$  against geostrophic and wind velocities  $\mathbf{U}_G$  and  $\mathbf{W}$  (Table 4.2). We obtained statistically significant correlations in all tested models, but with weak coefficients of determination R<sup>2</sup>(maximum of 0.47). All parameters bootstrapped means were consistent with the direct parameter estimation (not shown) and their standard deviation was approximately 10% of their value. Geostrophic current  $\mathbf{U}_G$  was projected on  $\mathbf{U}_S$  and  $\mathbf{U}_D$  with small angle values (-14°to 7°). The norm of the geostrophic current coefficient was always smaller than 1 (0.59 to 0.85). Wind velocity was projected with an angle of 15-65 °to the right of the wind direction, the angle estimation was weakly variable ( $\pm 5^\circ$ ). The norm of the wind coefficient corresponded to 3-4% of its velocity for *Sargassum* and undrogued drifters, whereas drogued drifters

Model	α	β	R <sup>2</sup>	Nobs
	$0.593 \pm 0.065 \exp(-14.0 \pm 8.8^{\circ}i)$	0	-0.02	192
$\mathbf{U}_S = \alpha_G^S \mathbf{U}_G + \beta_G^S \mathbf{W}$	0	$0.038 \pm 0.003 \exp(-17.4 \pm 3.5^{\circ} i)$	0.26	192
	$0.564 \pm 0.055 \exp(1.6 \pm 5.4^{\circ}i)$	$0.038 \pm 0.002 \exp(-21.2 \pm 3.0^{\circ}i)$	0.46	192
	$0.778 \pm 0.083 \exp(-11.5 \pm 8.5^{\circ}i)$	0	0.10	94
$\mathbf{U}_{D,u} = \alpha_G^{D,u} \mathbf{U}_G + \beta_G^{D,u} \mathbf{W}$	0	$0.033 \pm 0.004 \exp(-14.9 \pm 5.9^{\circ}i)$	0.18	94
	$0.659 \pm 0.077 \exp(0.6 \pm 7.1^{\circ} i)$	$0.031 \pm 0.003 \exp(-21.4 \pm 5.8^{\circ}i)$	0.43	94
	$0.8 \pm 0.073 \exp(6.3 \pm 5.2^{\circ}i)$	0	0.38	98
$\mathbf{U}_{D,d} = \alpha_G^{D,d} \mathbf{U}_G + \beta_G^{D,d} \mathbf{W}$	0	$0.01 \pm 0.003 \exp(-64.2 \pm 35.1^{\circ}i)$	0.02	98
0 0	$0.852 \pm 0.068 \exp(6.7 \pm 4.4^{\circ}i)$	$0.013 \pm 0.003 \exp(-61.4 \pm 13.7^{\circ}i)$	0.47	98

were impacted by 1% of the wind velocity only.

TABLEAU 4.2. : Regression models between measured velocities and environmental variables.  $\mathbf{U}_S$ ,  $\mathbf{U}_{D,d}$ ,  $\mathbf{U}_{D,u}$ ,  $\mathbf{U}_G$  and  $\mathbf{W}$  are velocities for the detected *Sargassum* aggregates, collocated drogued/undrogued drifters, and interpolated geostrophic current and wind at the time and location of the *Sargassum* aggregates. Variables and estimated parameters are complex numbers, here displayed in exponential notation with angles in degrees anticlockwise. Depending on the regression model, some parameters are forced to real values (zero). The norm and the argument are associated with a standard deviation estimated over 5000 bootstrapped datasets. All regressions were statistically significant (p < 0.01), negative R<sup>2</sup> is due to model constraint (no intercept).

In a second set of regression models, we explored the relation of  $\mathbf{U}_S$  against  $\mathbf{U}_D$  and  $\mathbf{W}$ . (Table 4.3). We found much higher R<sup>2</sup> values (0.55-0.78) than for the first set of regressions. R<sup>2</sup> values were smaller for undrogued than for drogued drifters, and associated parameters were also generally more variable. The wind coefficient given by the regressions was 2-3%, deviated to the right of the wind.

Model	α	β	R <sup>2</sup>	Nobs
	$0.957 \pm 0.042 \exp(0.6 \pm 2.7^{\circ}i)$	0	0.62	94
$\mathbf{U}_{S} = \alpha_{D,u}^{S} \mathbf{U}_{D,u} + \beta_{D,u}^{S} \mathbf{W}$	$0.681 \pm 0.048 \exp(4.3 \pm 4.1^{\circ}i)$	$0.021 \pm 0.003 \exp(-23.1 \pm 7.6^{\circ} i)$	0.66	94
_,	1	0.01	0.57	94
	$0.889 \pm 0.048 \exp(4.9 \pm 3.4^{\circ}i)$	0	0.55	98
$\mathbf{U}_{S} = \alpha_{D,d}^{S} \mathbf{U}_{D,d} + \beta_{D,d}^{S} \mathbf{W}$	$0.787 \pm 0.038 \exp(-2.3 \pm 2.9^{\circ}i)$	$0.029 \pm 0.002 \exp(-8.6 \pm 4.2^{\circ} i)$	0.78	98
2,0 2,0	1	0.03	0.74	98

TABLEAU 4.3. : Regression models for *Sargassum* velocity using drifters velocity as explaining variable. Same as Table 4.2

4. Suivi des agrégats de sargasses et modélisation de leur dérive – 4.5. Discussion

### 4.5. Discussion

#### 4.5.1. General drift patterns

We found drifter and *Sargassum* velocities directed mainly westward. Indeed, most *Sargassum* detections are located between 0 and 20°N in the tropical Atlantic (Fig. 3). In this region, trade winds are westward, as are the main surface currents (North and South Equatorial Currents and their branches). The only eastward current is the North Equatorial Counter Current at 7°N (JOHNS et al., 2020). The drifter and *Sargassum* velocities range (< 0.9 ms<sup>-1</sup>) is typical for offshore surface currents, with *Sargassum* median velocity slightly higher than drifters (0.31 vs 0.24 ms<sup>-1</sup>).

#### 4.5.2. Extraction of Sargassum velocities : method limitations

In the absence of *in situ* validation data, we assessed the velocities  $\mathbf{U}_S$  of *Sargassum* aggregates measured in MODIS successive images by comparing them with independent velocities  $\mathbf{U}_D$  of collocated surface drifters and local geostrophic currents  $\mathbf{U}_G$ . The good correlation (0.8, *p-value* < 0.01) obtained for  $\mathbf{U}_S$  against  $\mathbf{U}_D$  gives us confidence in the reliability of our method for extracting *Sargassum* velocities. The average *Sargassum* drift distance between successive images was 3.4 km. As the image's resolution was mapped at 1 km, the measurements have inherent uncertainty (MASUOKA et al., 1998), but the redundancy between matching pairs of pixels used in the images (from 4 up to 20) allowed us to stand out from noise and to ensure their robustness. Other methods to derive current velocity from satellite images (Maximum Cross Correlation, e.g. BARTON, 2002, YANG et al., 2015) used all pixels in the image with similar temporal offset (1-4 hours) and resolution (1 km) as ours. In comparison, our sparse approach (few key points instead of all pixels) with SIFT allows focusing only on relevant descriptors of the *Sargassum* shape and to easily select the velocity summarising the overall transport.

The distance used to consider *Sargassum* and drifters as collocated was set to 20 km as a compromise to obtain a significant number of collocation cases and to support the assumption that environmental variables (wind and currents) are the same for both objects. For the image matching process, we used a 50 km range around the drifter in order to benefit from more key points and context. The two distance values are smaller than the Rossby radius of deformation, i.e., the scale of current autocovariance, estimated > 60 km at latitudes < 20°(CHELTON et al., 1998).

Given these methodological choices and the 6 year-long time series of daily data, only a limited number (200) of *Sargassum* velocities were retrieved. This is mostly due to high cloud coverage (> 60% on average for daily images) in the tropical Atlantic area that prevented matching aggregates in successive images. Also, significant distortion of aggregates between images and their elongated shapes (e.g., linear aggregates provide few key points) prevented matching. Several solutions could be pursued to expand this dataset. Manual matching could be pursued to complement velocity extraction, but with presumably small amount of new scenes. The matching process

could be extended to others collocated tracked objects, such as Fish Aggregating Devices (IMZILEN et al., 2019). We could also try to match aggregates more distant in time. However, the match would hardly be automatic, because of the distortion increasing over time, but could be performed manually for specific regions or time of interest. Using other satellite products, such as Visible Infrared Imaging Radiometer Suite (VIIRS) or Geostationary Operational Environmental Satellite (GOES) could also be a way to expand our dataset of *Sargassum* velocities. Indeed, preliminary results suggest that VIIRS gives results analogous to MODIS (M. WANG & HU, 2020) and that GOES seems promising due to its high temporal resolution (MINGHELLI et al., 2021).

#### 4.5.3. Physical and statistical approximations

The Stokes drift was considered combined to wind effect. Replacing **W** by Stokes velocity from ECMWF ERA5 decreased the R<sup>2</sup> values (not shown). Adding Stokes velocity as an additional explanatory variable in the regressions was associated with non-significant *p-value* and negligible R<sup>2</sup> improvement, as Stokes velocity was highly correlated with wind velocity (r=0.83). More accurate data are needed to separate the effect of Stokes drift and wind in the windage, similarly to SUTHERLAND et al., 2020.

For all the models, we chose to force the regressions with no intercept (constant term) as it is hardly interpretable physically. When an intercept was included, regressions with two variables did not show major  $R^2$  improvement nor changes of parameter values, because the intercept term was very low (<0.05 ms<sup>-1</sup>). This validates our hypothesis of null intercept and indicates that the results were weakly biased, and the models were linear. As the distribution of residuals is Gaussian (not shown), the remaining unexplained variability is likely due to the uncertainty of observations. Improving the result probably lies in better data accuracy.

#### 4.5.4. Geostrophic component of Sargassum drift

The comparison between drifter and *Sargassum* regression models provides good indications on how *Sargassum* drift differs from drifters. First, on average, the geostrophic current component is not deviated for *Sargassum* velocity (low  $\alpha_G^S$  angle), and this is also true for drifters. This confirms our hypothesis of negligible inertial effect for these objects at the considered timescale (3 hours). This contrasts with the results of M. T. BROOKS et al., 2019, likely because of their much larger timescale (8 days).

Second, drifter velocity is explained by a fraction of geostrophic current velocity ( $\alpha_G^D$ =85% for drogued drifters and 66% for undrogued drifters). This is also true when using Ekman-corrected drifter velocities (4.6.5). This contrasts with previous studies showing that the Ekman-corrected drifter velocities were on average 1.4 times higher than the altimeter-derived geostrophic currents (LAGERLOEF et al., 1999). These contrasting results are likely due to major progress in spatial and temporal resolutions of both altimetric product (1/4°daily data used here vs. 1°10-day data in LAGERLOEF et al., 1999). The parameter  $\alpha_G^D$  was found < 1 possibly because of the fine scale variability of

drifters velocities that are not captured by altimetry. In addition, as the  $\alpha_G^S$  parameter was weaker than  $\alpha_G^D$  (< 56%), this suggests a flow resistance (i.e. viscosity) of *Sargassum* aggregates that slows them down compared to local currents and nearby drifters.

#### 4.5.5. Windage and Ekman component of Sargassum drift

The parameter  $\beta_G^S$ , i.e. the wind effect on *Sargassum* drift, was deviated to the right of the wind direction with an angle of 21° while  $\beta_{D,d}^S$  is deviated with an angle of 9° (Table 4.2 and 4.3). Deviation to the right of the wind is expected from Ekman spiral theory (EKMAN, 1905). As **U**<sub>S</sub> and **U**<sub>D</sub> included the Ekman current,  $\beta_D^S$  is a combination of Ekman current (45° at the surface) and windage (weak deflection). This is confirmed by regressions performed on **U**<sub>S</sub> and **U**<sub>D</sub> Ekman-corrected velocities (4.6.5), where deflection angles were lower for *Sargassum*, 9° comparing to **U**<sub>G</sub> and 4° to **U**<sub>D,d</sub>.

Regressing the *Sargassum* velocity against the geostrophic current  $\mathbf{U}_G$  and wind gives the total effect of wind on *Sargassum* (Table 4.2), evaluated here at  $\beta_G^S$ =3.8% of the wind speed. This total effect includes Ekman current plus windage. Correcting *Sargassum* velocities from the Ekman currents (see 4.6.5) reduces  $\beta_G^S$  to 2.6%, which is pure windage. Regressing the *Sargassum* velocity against the drogued drifter velocities  $\mathbf{U}_{D,d}$  (15 m depth current) and wind provides a total wind effect of  $\approx$  3% of the wind velocity, but with a better fit (R<sup>2</sup>=0.78 against 0.46). Removing the Ekman current, estimated windage is 2.1%.

This windage ( $\approx 2\%$ ) is consistent with literature for undrogued SVP drifters (BRÜGGE & DENGG, 1991; PAZAN & NIILER, 2001; POULAIN et al., 1996) and for *Sargassum* with a windage factor that was recently reassessed between 1-3% (PUTMAN et al., 2020). Similarly to our results, studies on oil spill drift modelling highlighted the wind-induced drift corresponding to  $\approx 2\%$  of the wind speed with a deviation angle on the right of  $\approx 20 - 25^{\circ}$  (LE HÉNAFF et al., 2012). The wind effect coefficient for *Sargassum*  $\beta_D^S$  may not be constant. Indeed, regressions separating low and high wind cases gave values of 0.08 and 0.02 respectively (not shown). This strong dependence on wind speed may result from *Sargassum* mixing over a deeper layer as wind speed increases (ODY et al., 2019; WOODCOCK, 1993). The Ekman component varies very little over the first few meters, whereas windage may strongly decrease with the sinking of *Sargassum*.

Although the wind effect coefficient  $(\beta_{D,d}^S)$  is rather small,  $\beta_{D,d}^S W$  represents on average 42% of the *Sargassum* drift velocity due to the high wind velocity. This result reinforces the view of a strong impact of wind on *Sargassum* and highlights the importance of including wind contribution into *Sargassum* drift models.

#### 4.5.6. Proposed model of Sargassum drift

Drifters velocity is a good proxy for local currents (geostrophic plus Ekman). Undrogued drifters, supposedly more similar to *Sargassum* (VAN SEBILLE et al., 2021), were associated with higher variability and lower R<sup>2</sup> values than drogued drifters. Thus, the regression explaining *Sargassum* velocity with the drogued drifters and wind velocity can be exploited to better predict *Sargassum* drift. Considering the inferred 4. Suivi des agrégats de sargasses et modélisation de leur dérive – 4.6. Appendices

parameters of Table 4.3, we propose the following Sargassum drift model :

$$\mathbf{U}_{\rm S} = 0.8\mathbf{U} + 0.03e^{-10^{\circ}i}\mathbf{W} \tag{4.7}$$

Where  $\mathbf{U}_S$ ,  $\mathbf{U}$  and  $\mathbf{W}$  are the *Sargassum*, the total current at 15 m depth (geostrophic plus Ekman) and the wind velocities at 10 m. On average, using this model, *Sargassum* velocity of 0.36 ms<sup>-1</sup> is decomposed into the contributions of current (geostrophic plus Ekman) of 0.21 ms<sup>-1</sup> and wind of 0.15 ms<sup>-1</sup>.

Using the complex parameters proposed here allowed a gain of 4% in the R<sup>2</sup> values (Table 4.3) in comparison to using real parameters ( $\alpha_{D,d}^S = 1$  and  $\beta_{D,d}^S = 3\%$ ) as in previous studies (BERLINE et al., 2020; JOHNS et al., 2020; PUTMAN et al., 2018; PUTMAN et al., 2020). However, this modest improvement corresponds on average to an error reduction of 0.13 ms<sup>-1</sup>, which translates into an error reduction of 1.4 km on locations over our 3 hours measurements. These results suggest that reducing the role of current to 80% of its velocity and using a wind factor of 3% deflected 10° to the right should lead to significant improvements in further drift simulations.

## 4.6. Appendices

#### 4.6.1. Collocation between Sargassum and drifter

A collocation was defined as a situation where a drifter was close (< 20 km) from at least one detected *Sargassum* pixel, i.e., a pixel which AFAI is above the threshold defined in M. WANG et HU, 2016). More specifically, the process followed those steps : 1) the Aqua and Terra timestamp (20 km median filter) was computed at every 12 :00 UTC drifter position; 2) the drifter position was linearly interpolated at the average time between Aqua and Terra; 3) The scene was considered collocated if there was a *Sargassum* pixel in a box of 40 pixels around that interpolated position.

#### 4.6.2. Matching on SIFT key points

In order to extract the *Sargassum* velocity, images were matched based on aggregates' shape. The SIFT algorithm allowed extracting key points to describe the *Sargassum* shape and position. Then, an ad-hoc algorithm was developed to search for the best 2D translation explaining the drift. The corresponding code, inspired from the RANdom SAmple Consensus (RANSAC) approach (DERPANIS, 2010), is detailed in the pseudo-algorithm 1. All possible translations between the two sets of points were tested, and the one maximising the counter (thus the most likely) was retained. Images pairs with less than 4 matching points were excluded. For remaining cases, matching pairs displacements in the scene were averaged to compute one value of *Sargassum* velocity that was added to the dataset.

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```
Algorithm 1 Translation evaluation from SIFT key pointsRequire: T_{pts}, A_{pts} the list of SIFT key points for Terra and Aqua imagesfor each T_{pt} \in T_{pts}, A_{pt} \in A_{pts} doCounter \leftarrow 0Translation \leftarrow A_{pt} - T_{pt}for each T_{temp} \in T_{pts}, A_{temp} \in A_{pts} doif Distance(Translation + T_{temp}, A_{temp}) \le 500 m thenCounter \leftarrow Counter + 1end ifend forend for
```

#### 4.6.3. Complex linear regression

Let **Z** be a random complex response variable with **X** and **Y** complex regressors. We have a sample  $E = \{(\mathbf{X}_n, \mathbf{Y}_n, \mathbf{Z}_n), 1 \cdots N\}$  of size *N* of these variables. It is supposed that they are connected through a linear model of the form :

$$\mathbf{Z}_n = \alpha + \beta \mathbf{X}_n + \gamma \mathbf{Y}_n + \varepsilon_n, \ n = 1 \cdots N,$$

where  $\alpha$ ,  $\beta$  and  $\gamma$  are complex parameters that must be estimated from the sample *E* and  $\varepsilon$  is a (complex) remainder whose norm is hoped to be as small as possible. As usual in a regression problem, the parameter estimation is done through least squares minimization of the cost function :

$$SSE(\alpha, \beta, \gamma) = \sum_{n=1}^{N} (\mathbf{Z}_n - \alpha - \beta \mathbf{X}_n - \gamma \mathbf{Y}_n) (\mathbf{Z}_n - \alpha - \beta \mathbf{X}_n - \gamma \mathbf{Y}_n)_{\star}$$

where  $\mathbf{X}_{\star}$  denotes the complex conjugate of  $\mathbf{X}$ . Let construct the design matrix  $M = (\mathbb{1}, X, Y)$  where  $\mathbb{1}$  is the *N*-vector with entries 1+i1,  $X = (\mathbf{X}_1, \dots, \mathbf{X}_N)'$  and  $Y = (\mathbf{Y}_1, \dots, \mathbf{Y}_N)'$  the *N*-vectors of the complex regressors. In matrix form, the cost function writes :

$$SSE(a) = (Z - Ma)^{\star} (Z - Ma),$$

where  $a = (\alpha, \beta, \gamma)'$  is the vector of parameters and  $Z = (\mathbf{Z}_1, \dots, \mathbf{Z}_N)'$ , the vector of the response variable and  $Z^*$  its transpose conjugate. Solution of the complex regression is given when solving the normal equations for the complex regression :

$$\widehat{a} = (M^{\star}M)^{-1}M^{\star}Z$$

An estimated value of  $\mathbf{Z}_n$  is then obtained with :  $\widehat{\mathbf{Z}}_n = \widehat{\alpha} + \widehat{\beta}\mathbf{X}_n + \widehat{\gamma}\mathbf{Y}_n$ . One can also compute the estimated errors with :  $\widehat{\varepsilon}_n = \mathbf{Z}_n - \widehat{\mathbf{Z}}_n$ .

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#### 4.6.4. Bootstrapped confidence intervals for parameters

Suppose now a complex regression achieved with sample *E* and residuals estimated with  $\hat{\varepsilon}_1, ..., \hat{\varepsilon}_N$  as above. Consider a bootstrap replicate of the initial sample denoted as  $E_B = \{(\mathbf{X}_n, \mathbf{Y}_n, \mathbf{Z}_n^B), n = 1 \cdots N\}$  such that  $\mathbf{Z}_n^B = \hat{\alpha} + \hat{\beta}\mathbf{X}_n + \hat{\gamma}\mathbf{Y}_n + \varepsilon_n^B$ , where the residuals  $\varepsilon_1^B, ..., \varepsilon_N^B$  have been drawn from an estimated distribution of the residuals that uses  $\hat{\varepsilon}_1, ..., \hat{\varepsilon}_N$  as data. As these errors are complex numbers, this distribution is bivariate. It can be estimated using a Gaussian density with mean parameter being the vector of real and imaginary parts of the empirical mean error  $\overline{\varepsilon} = \frac{1}{N} \sum_n \hat{\varepsilon}_n$  and variance parameter being the empirical covariance matrix between real and imaginary parts of the  $\hat{\varepsilon}_n$ .

Use now a bootstrap sample  $E_B$  to compute new estimates  $\hat{\alpha}^B$ ,  $\hat{\beta}^B$  and  $\hat{\gamma}^B$ . As this procedure can be repeated at wish, one can estimate boundaries of a 95%-confidence intervals as the quantiles of the empirical distribution for both real and imaginary parts of  $\hat{\alpha}$ ,  $\hat{\beta}$  and  $\hat{\gamma}$ .

Error values are simulated using bootstrapped errors obtained by sampling an estimated distribution function of the errors in place of its real unknown distribution. Bootstrapped samples of the data are then constructed and confidence intervals can be estimated for  $\hat{\alpha}$ ,  $\hat{\beta}$  and  $\hat{\gamma}$  considered as true coefficients of the regression instead of  $\alpha$ ,  $\beta$  and  $\gamma$ .

#### 4.6.5. Regressions using Ekman-corrected currents

We used formulas and constants from CUSHMAN-ROISIN et BECKERS, 2011 for computing Ekman current. The eddy viscosity  $v_E$  was  $10^{-2}$ m<sup>2</sup>s<sup>-1</sup>, the drag coefficient  $C_d$  was  $1.5 \times 10^{-3}$ , the air density  $\rho_a$  was 1.20 kg m<sup>-3</sup> and the water density  $\rho_0$  was  $10^{-3}$ kg m<sup>-3</sup>. Considering that undrogued drifters and *Sargassum* aggregates drift in the surface layer of the ocean, the Ekman current estimated at z = 0 m was removed from  $U_S$  and  $U_{D,u}$ . The Ekman current estimated at z = 15 m was removed from  $U_{D,d}$  because of their drogue centred at 15 m depth.

Model	$\alpha$	eta	$\mathbb{R}^2$	$N_{obs}$
	$0.602 \pm 0.062 \exp(-8.6 \pm 7.1^{\circ}i)$	0	0.11	192
$\mathbf{U}_S = \boldsymbol{\alpha}_G^S \mathbf{U}_G + \boldsymbol{\beta}_G^S \mathbf{W}$	0	$0.026 \pm 0.003 \exp(-3.2 \pm 5.5^{\circ}i)$	0.14	192
	$0.567 \pm 0.057 \exp(1.0 \pm 5.6^{\circ}i)$	$0.026 \pm 0.002 \exp(-9.0 \pm 4.8^{\circ}i)$	0.35	192
	$0.757 \pm 0.081 \exp(-4.9 \pm 7.2^{\circ}i)$	0	0.22	94
$\mathbf{U}_{D,u} = \alpha_G^{D,u} \mathbf{U}_G + \beta_G^{D,u} \mathbf{W}$	0	$0.022 \pm 0.004 \exp(2.8 \pm 9.2^{\circ}i)$	0.08	94
	$0.666 \pm 0.078 \exp(1.1 \pm 7.2^{\circ}i)$	$0.019 \pm 0.003 \exp(-5.7 \pm 10.5^{\circ}i)$	0.35	94
	$0.828 \pm 0.07 \exp(5.5 \pm 4.7^{\circ}i)$	0	0.42	98
$\mathbf{U}_{D,d} = \alpha_G^{D,d} \mathbf{U}_G + \beta_G^{D,d} \mathbf{W}$	0	$0.005 \pm 0.003 \exp(32.2 \pm 108.9^{\circ}i)$	-0.01*	98
	$0.844 \pm 0.071 \exp(6.0 \pm 4.4^{\circ}i)$	$0.005 \pm 0.002 \exp(-38.0 \pm 68.6^{\circ}i)$	0.44	98
$\mathbf{U}_{S} = \alpha_{D,u}^{S} \mathbf{U}_{D,u} + \beta_{D,u}^{S} \mathbf{W}$	$0.875 \pm 0.046 \exp(-0.7 \pm 3.3^{\circ}i)$	0	0.57	94
_,,	$0.699 \pm 0.047 \exp(4.2 \pm 4.0^{\circ}i)$	$0.017 \pm 0.002 \exp(-16.1 \pm 8.7^{\circ}i)$	0.62	94
$\mathbf{U}_{S} = \alpha_{D,d}^{S} \mathbf{U}_{D,d} + \beta_{D,d}^{S} \mathbf{W}$	$0.81 \pm 0.044 \exp(-2.3 \pm 3.3^{\circ}i)$	0	0.57	98
2,00 2,00	$0.793 \pm 0.039 \exp(-1.6 \pm 2.8^{\circ}i)$	$0.021 \pm 0.002 \exp(-4.0 \pm 6.0^{\circ} i)$	0.74	98

4. Suivi des agrégats de sargasses et modélisation de leur dérive – 4.6. Appendices

TABLEAU 4.4. : Same as table 4.2 except that the velocities  $\mathbf{U}_S$ ,  $\mathbf{U}_{D,d}$  and  $\mathbf{U}_{D,u}$  are corrected from Ekman current at either 0 or 15 meter depth.

## 4.6.6. Wind regressions

Model	α	β	$\mathbb{R}^2$	Nobs
$\mathbf{U}_S = \alpha \mathbf{U}_G + \beta \mathbf{W}$	0	$0.084 \pm 0.016 \exp(1.6 \pm 11.6^{\circ}i)$	0.23	38
	$0.721 \pm 0.125 \exp(-9.6 \pm 11.2^{\circ}i)$	$0.074 \pm 0.014 \exp(-2.7 \pm 11.5^{\circ}i)$	0.49	38
$\mathbf{U}_{D,u} = \alpha \mathbf{U}_G + \beta \mathbf{W}$	0	$0.09 \pm 0.022 \exp(5.0 \pm 15.2^{\circ}i)$	0.21	17
	$0.834 \pm 0.17 \exp(-7.4 \pm 17.4^{\circ}i)$	$0.068 \pm 0.018 \exp(18.5 \pm 16.8^{\circ}i)$	0.54	17
$\mathbf{U}_{D,d} = \alpha \mathbf{U}_G + \beta \mathbf{W}$	0	$0.04 \pm 0.02 \exp(35.5 \pm 172.6^{\circ}i)$	-0.06	21
	$1.086 \pm 0.185 \exp(-5.2 \pm 8.8^{\circ}i)$	$0.04 \pm 0.019 \exp(-89.3 \pm 99.2^{\circ}i)$	0.46	21
$\mathbf{U}_{S} = \alpha \mathbf{U}_{D,u} + \beta \mathbf{W}$	$0.728 \pm 0.074 \exp(-4.6 \pm 7.2^{\circ}i)$	$0.038 \pm 0.012 \exp(-32.9 \pm 25.0^{\circ}i)$	0.81	17
$\mathbf{U}_S = \alpha \mathbf{U}_{D,d} + \beta \mathbf{W}$	$0.803 \pm 0.049 \exp(-6.3 \pm 4.2^{\circ}i)$	$0.079 \pm 0.009 \exp(11.3 \pm 7.7^{\circ}i)$	0.87	21

TABLEAU 4.5. : Same as Fig. 4.2 with cases where the wind is lower than  $3 \text{ m s}^{-1}$ .

Model	α	β	R <sup>2</sup>	Nobs
$\mathbf{U}_S = \alpha \mathbf{U}_G + \beta \mathbf{W}$	0	$0.038 \pm 0.002 \exp(-20.4 \pm 3.7^{\circ}i)$	0.25	154
	$0.518 \pm 0.059 \exp(4.1 \pm 6.7^{\circ} i)$	$0.037 \pm 0.002 \exp(-23.4 \pm 3.5^{\circ}i)$	0.42	154
$\mathbf{U}_{D,u} = \alpha \mathbf{U}_G + \beta \mathbf{W}$	0	$0.035 \pm 0.003 \exp(-18.2 \pm 5.6^{\circ}i)$	0.15	77
	$0.618 \pm 0.084 \exp(-3.0 \pm 8.3^{\circ}i)$	$0.032 \pm 0.003 \exp(-23.5 \pm 6.0^{\circ}i)$	0.35	77
$\mathbf{U}_{D,d} = \alpha \mathbf{U}_G + \beta \mathbf{W}$	0	$0.012 \pm 0.004 \exp(-67.9 \pm 30.6^{\circ}i)$	0.03	77
	$0.813 \pm 0.072 \exp(10.3 \pm 5.0^{\circ}i)$	$0.014 \pm 0.003 \exp(-60.1 \pm 12.6^{\circ}i)$	0.49	77
$\mathbf{U}_S = \alpha \mathbf{U}_{D,u} + \beta \mathbf{W}$	$0.645 \pm 0.054 \exp(8.3 \pm 5.1^{\circ}i)$	$0.021 \pm 0.003 \exp(-26.3 \pm 7.9^{\circ}i)$	0.57	77
$\mathbf{U}_S = \alpha \mathbf{U}_{D,d} + \beta \mathbf{W}$	$0.798 \pm 0.046 \exp(0.1 \pm 3.3^{\circ}i)$	$0.027 \pm 0.002 \exp(-11.4 \pm 4.7^{\circ}i)$	0.78	77

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TABLEAU 4.6. : Same as Fig. 4.2 with cases where the wind is greater than  $3 \text{ m s}^{-1}$ .

## Modélisation Lagrangienne et biogéochimique du transport et de la croissance des sargasses

Les conclusions du chapitre précédent suggèrent que les modèles de dérive des sargasses pourraient bénéficier significativement d'une adaptation de leur formulation et de leurs valeurs de paramètres. En particulier, le courant, jusqu'ici considéré comme agissant sur les algues à sa pleine vitesse, pourrait avoir un effet moindre résultant de l'effet de traînée des radeaux d'algues. Les sargasses feraient vraisemblablement corps face au courant environnant et ne seraient entraînées qu'à une vitesse inférieure à celle de ce dernier (de l'ordre de 80%). Aussi, l'effet du vent sur la dérive (c'est-à-dire le *windage*) pourrait être supérieur au 1% consensuel proposé par la littérature. Plus marginalement, l'effet du vent pourrait aussi être dévié légèrement vers la droite d'un angle de 10°environ.

Néanmoins, ces perspectives d'amélioration ont été déduites d'observations à fine échelle de la dérive d'agrégats de sargasses à trois heures d'intervalle. Aussi, l'amélioration des modèles à plus grande échelle par l'intégration de ces nouveaux paramètres reste prospective. La suite de la présente thèse s'intéresse donc à la mise en application des conclusions de l'étude précédente dans un modèle de dérive sur l'océan Atlantique entier et à la caractérisation des éventuels apports résultants.

La modélisation d'objets flottants peut être abordée selon deux paradigmes différents. D'une part, les modèles Eulériens permettent le suivi des quantités d'objets au sein d'une maille régulière fixe par des calculs d'advection et diffusion entre cellules adjacentes. D'autre part, les modèles Lagrangiens rendent compte du suivi de particules numériques représentant les objets flottants en calculant leur trajectoire itérativement. Si l'option Eulérienne est sans doute plus adaptée aux applications prédictives à large échelle, elle ne rend pas compte de l'histoire des objets flottants et de leur parcours au cours du temps. À des fins exploratoires, l'option Lagrangienne apparait plus adaptée pour analyser les dynamiques d'un modèle et les mécanismes physiques et biologiques sous-jacents à l'échelle de l'individu.

C'est donc un modèle Lagrangien qui sera employé ici pour reproduire la dérive des sargasses et tester les différents facteurs de vent et de courant avec des simulations de quelques dizaines de jours. Cependant, lorsque la durée des simulations excède deux ou trois mois, la dérive ne suffit plus à reproduire l'évolution de la distribution spatiale des sargasses. À ces échelles de temps, la croissance des algues s'ajoute à leur dérive et la qualité des prédictions du modèle chute sévèrement. Cela mène au cœur de cette troisième étude où il est question d'intégrer un modèle de croissance des

algues au modèle Lagrangien de leur dérive afin de reproduire leur répartition spatiale et temporelle et d'étudier les facteurs qui la déterminent.

Les contraintes régissant la croissance d'une macro-algue sont de manière générale soit physique (lumière, température, salinité) soit biogéochimique (nutriments : phosphore et azote). Le modèle présenté ci-après s'appuie sur une formalisation dite "à quota" permettant de rendre compte de la capacité des sargasses à stocker des nutriments dans leurs tissus et à les utiliser pour croître ultérieurement. En d'autres termes, les algues peuvent tirer profit d'un milieu riche en nutriments pourtant inadapté à leur croissance en absorbant phosphore et azote en son sein et en les utilisant lorsque le milieu sera devenu plus propice.

Une fois le modèle de dérive/croissance calibrés au mieux, il s'agira d'exploiter leurs résultats de manière à mettre en lumière les moteurs de la croissance. Dans cette perspective, l'article ci-dessous détaille, en fin d'étude, les zones de croissance et de décomposition des algues sargasses ainsi que leur variabilité interannuelle.

5. Modélisation Lagrangienne et biogéochimique du transport et de la croissance des sargasses

# Drivers of growth and decay of *Sargassum* in the Tropical Atlantic : a Lagrangian approach

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5. Modélisation Lagrangienne et biogéochimique du transport et de la croissance des sargasses – 5.1. Abstract

## 5.1. Abstract

The proliferation of *Sargassum* in the Tropical Atlantic has almost every year since 2011, but a strong variability of biomass is observed among years. Essential knowledge about the drivers of *Sargassum* growth and decay is still lacking to explain this interannual variability. Benefiting from accurate basin scale *Sargassum* detections from remote sensing, we have developed a Lagrangian transport/growth model to simulate *Sargassum* distribution over the period 2016-2020. The resulting trajectories and biomass time series of *Sargassum* aggregates were analyzed to highlight the main limiting factors of growth and decay. The nitrogen and phosphorous concentrations are found to be weakly restrictive compared to physical limiting factors, especially the temperature. In particular, the warm waters found off northern Brazil appear to be instrumental in triggering the end of seasonal growth of *Sargassum* simulated each year and should be monitored to anticipate the development of *Sargassum* and resulting strandings.

Keywords : *Sargassum* algae; Lagrangian simulation; growth model; Tropical North Atlantic; Remote Sensing; Time series.

## 5.2. Introduction

The *Sargassum* massive proliferation in the Tropical Atlantic observed since 2011 is a profoundly dual phenomenon. It generates a tremendous shelter for biodiversity (FINE, 1970; MARTIN et al., 2021) and enhances connectivity (SEHEIN et al., 2014) while fixing large amounts of atmospheric carbon (GOUVÉA et al., 2020; M. WANG et al., 2018). But, at the same time, it is also a havoc for coastal ecosystems and related human activities (CHÁVEZ et al., 2020; MERLE et al., 2021; RESIERE et al., 2018). Various hypotheses have been put forward to explain this 2011 shift, in particular a strong climatic event occurring in 2010, long-term increase of temperature and eutrophication of large rivers, changes in upwelling and Saharan winds intensities (DJAKOURÉ et al., 2017b; JOHNS et al., 2020; MARSH et al., 2021; OVIATT et al., 2019; SKLIRIS et al., 2022a; M. WANG et al., 2019b). However, disentangling the *Sargassum* growth dynamics remains challenging and there is no consensus on what are the drivers of the seasonal growth and decay, either due to biogeochemical or physical factors, and therefore what determines the interannual variability of *Sargassum* distribution remains to be explored.

The distribution of *Sargassum* is well monitored by satellite observations, allowing the presence of algae to be extracted and biomass to be measured over time (CUEVAS et al., 2018; J. F. GOWER & KING, 2011; LAVAL et al., 2023; MINGHELLI et al., 2021; PODLEJSKI et al., 2022; M. WANG & HU, 2016). With these observations and complementary *in-situ* data, the drift of *Sargassum* has been quantified and decomposed mainly as a function of currents and wind (BERLINE et al., 2020; M. T. BROOKS et al., 2018; KWON et al., 2019; MIRON et al., 2020; PUTMAN et al., 2018; PUTMAN et al.,

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2020). Compared to previous studies, the recent drift measurements of PODLEJSKI et al., 2023 suggest a larger effect of wind (windage) and a reduced effect of currents on *Sargassum* drift. These results bring a possible improvement of drift models that needs to be further tested at large scale.

Many studies have already focused on modelling and forecasting short-term *Sargassum* stranding events (BERNARD et al., 2022; MARÉCHAL et al., 2017b; TRIÑANES et al., 2022), but few extend the forecast to a longer timescale (M. T. BROOKS et al., 2018; JOUANNO et al., 2021a; MARSH et al., 2021). While short-term forecast only requires accurate model of drift, growth can not be ignored for longer-term forecast. The growth of *Sargassum* being multifactorial, very few studies have attempted to reproduce it numerically (M. T. BROOKS et al., 2018; JOUANNO et al., 2021a; JOUANNO et al., 2021a using a growth quota approach to model both physical (temperature, salinity, irradiance) and biogeochemical (phosphorous, nitrate) limitation factors. Although accurate in its predictions, this Eulerian model did not track the history of *Sargassum* aggregates and was therefore better suited to large-scale interpretations. Conversely, Lagrangian models are commonly used to track the history of drifting objects, in particular *Sargassum* algae (BERLINE et al., 2020; FRANKS et al., 2016; PUTMAN et al., 2018), and make it possible to analyze the drivers of dynamics at the individual scale.

Here, the Lagrangian approach and JOUANNO et al., 2021a growth modelling approach were combined to simulate *Sargassum* distribution in the Tropical Atlantic over a 5-year period (2016-2020). First, we calibrated the drift model following PODLEJSKI et al., 2023's results and adapted the growth model from JOUANNO et al., 2021a to the Lagrangian approach. The simulation results were then analyzed in order to highlight the main limiting factors of growth, the areas of growth and decay and the possible causes of the interannual variability of *Sargassum*.

### 5.3. Materials and Methods

#### 5.3.1. Satellite observation dataset

The Alternative Floating Algae Index (AFAI) defined by M. WANG et HU, 2016 was used according to the methodology detailed by DESCLOITRES et al., 2021 in order to detect the presence of *Sargassum* with the Moderate-Resolution Imaging Spectroradiometer (MODIS) sensor. Then the filtering method developed by PODLEJSKI et al., 2022 was applied to remove false detections due to clouds, shadows, sun glint or coastal waters. The *Sargassum* Fractional Coverage (FC) was then derived from AFAI using the ratio proposed by M. WANG et HU, 2016. FC at the native resolution of the two MODIS Aqua and Terra satellites (1 to 8 km<sup>2</sup>) was projected daily onto a 1 km resolution grid. Monthly FC composites at 50 km resolution were finally calculated by averaging these daily maps (excluding hidden and uncovered pixels in the average). FC was then converted to dry weight biomass estimates using the ratio of 3,34 kgm<sup>-2</sup> (M. WANG et al., 2018). Alternatively to FC maps, we also used presence maps (*p*) calculated as

the fraction of 1-km pixels detected as *Sargassum* (*Ns*) over all unmasked pixels (*Nu*) inside each box of 50 km<sup>2</sup> (p = Ns/Nu, BERLINE et al., 2020). The smallest presence values (p < 0.003) were excluded to remove remaining isolated false detections due to clouds, shadows or sun glint.

#### 5.3.2. Sargassum drift model

The *Sargassum* drift model used for the simulation was based on PODLEJSKI et al., 2023's model :

$$\mathbf{U}_S = C_f \mathbf{U} + w * \exp(i\phi)\mathbf{W} \tag{5.1}$$

where the variables  $\mathbf{U}_S$ ,  $\mathbf{U}$  and  $\mathbf{W}$  are the *Sargassum* drift, the local current and the local wind expressed as complex numbers. The parameters  $C_f$ , w and  $\phi$  are respectively the current factor, the drift factor and the deviation angle of the drift. PODLEJSKI et al., 2023 suggested  $C_f = 80\%$ , w = 2% and  $\phi = 10^\circ$  as the best set of parameters and here we tested parameter values around these estimates in order to calibrate the drift in our Lagrangian model (see section 5.3.5.1 below). The current factor was tested between 70 and 100%, the windage between 0 and 3%, and the deviation angle between 0 and 30°.

#### 5.3.3. Sargassum growth model

Based on the best set of parameters given by the drift model calibration (section 5.3.2), we extended the model to take into account the growth and decay of *Sargassum*. The growth model is based on JOUANNO et al., 2021a's nutrient quota model adapted from the Eulerian to the Lagrangian approach. The formulation of temperature and salinity limitation factors as well as mortality were revised to better match the updated version of the model by Jouanno et al. (in prep).

For each particle (aggregate) of *Sargassum*, three state variables are monitored : the carbon content (C), the nitrogen content (N) and the phosphorus content (P). These variables evolve at each simulation time step, depending on the local conditions that determine the rate of absorption and loss :

$$\frac{\partial C}{\partial t} = U_C - \Phi_C$$

$$\frac{\partial N}{\partial t} = U_N - \Phi_N$$

$$\frac{\partial P}{\partial t} = U_P - \Phi_P$$
(5.2)

where  $U_C$ ,  $U_N$  and  $U_P$  are the uptake rates for carbon, nitrogen, and phosphorus content, respectively, and  $\Phi_C$ ,  $\Phi_N$ ,  $\Phi_P$  are the loss rates.

The uptake of carbon is defined as follows :

$$U_C = \mu_{max}.C.f(T).f(I).f(S).f(Q)$$
 (5.3)

where  $\mu_{max}$  is the maximum carbon growth rate, and f(T), f(I), f(S) and f(Q) are the limiting functions depending on temperature *T*, irradiance *I*, salinity *S* and nutrient quotas *Q* in the tissues, respectively, as detailed below.

$$f(T) = e^{-2\left(\frac{T - T_{opt}}{T_{ref} - T}\right)^2}$$
(5.4)

with  $T_{opt}$  the optimal temperature for *Sargassum* growth and  $T_{ref}$  a parameter equal to the minimal growth temperature  $T_{min}$  if  $T \leq T_{opt}$  or to the maximal growth temperature  $T_{max}$  otherwise. Parameters  $T_{min}$  and  $T_{max}$  are the minimal temperature and maximal temperature for growth, respectively.

$$f(I) = \frac{I - I_{min}}{I_{opt}} e^{\left(1 - \frac{I - I_{min}}{I_{opt}}\right)}$$
(5.5)

with  $I_{opt}$  the optimal irradiance for *Sargassum* growth and  $I_{min}$  the minimal irradiance required for growth.

$$f(S) = \alpha_S S + \beta_S \tag{5.6}$$

with  $\alpha_S$  and  $\beta_S$  empirical parameters set to reproduce the salinity limitation shown by HANISAK et SAMUEL, 1987.

$$f(Q) = min(f(Q_N), f(Q_P))$$

$$f(Q_N) = \left(\frac{1 - (Q_{Nmin}/Q_N)}{1 - (Q_{Nmin}/Q_{Nmax})}\right)$$

$$f(Q_P) = \left(\frac{1 - (Q_{Pmin}/Q_P)}{1 - (Q_{Pmin}/Q_{Pmax})}\right)$$
(5.7)

where  $Q_N$  and  $Q_P$  are the nitrogen and phosphorus quotas defined as  $Q_N = N/C$  and  $Q_P = P/C$ , respectively. The parameters  $Q_{Nmin}$ ,  $Q_{Nmax}$ ,  $Q_{Pmin}$ ,  $Q_{Pmax}$  correspond to the minimum and maximum quotas of nutrients in the algae. All limiting functions are restricted to the interval [0, 1], therefore values outside this interval were thresholded.

At each time step, Sargassum takes up nutrients from surrounding waters as follows :

$$U_{N} = V_{Nmax} \cdot C \cdot \left(\frac{[N]}{K_{N} + [N]}\right) \cdot \left(\frac{Q_{Nmax} - Q_{N}}{Q_{Nmax} - Q_{Nmin}}\right)$$

$$U_{P} = V_{Pmax} \cdot C \cdot \left(\frac{[P]}{K_{P} + [P]}\right) \cdot \left(\frac{Q_{Pmax} - Q_{P}}{Q_{Pmax} - Q_{Pmin}}\right)$$
(5.8)

with [*N*] and [*P*] the nitrogen and phosphorus concentration in water,  $V_{Nmax}$  and  $V_{Pmax}$  the maximal uptake rates of nutrients and  $K_N$  and  $K_P$  the half saturation constants of the absorption functions.

The loss terms in Equ. 5.2 depend on the mortality designed empirically using a quadratic function to account for high mortality when *Sargassum* accumulates :

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$$\Phi_{C} = m.C.\frac{C}{K_{m}+C}.(1 + \alpha_{wind} * 3)$$

$$\Phi_{N} = \Phi_{C}.Q_{N}$$

$$\Phi_{P} = \Phi_{C}.Q_{P}$$
(5.9)

with *m* the mortality rate,  $K_m$  the half saturation constant and  $\alpha_{wind}$  a term for mortality due to wind :

$$\alpha_{wind} = \frac{1}{1 + e^{1.5(W-5)}} \tag{5.10}$$

where *W* is the wind speed at the sea surface. This sigmoid function amplifies mortality in case of low wind due to the absence of vertical mixing and subsequent drying of the *Sargassum* emerging part. The values of parameters used in the *Sargassum* growth model are listed in the Table 5.1 (all from Jouanno et al. (in prep)).

Name	Description	Value	Unit
$\mu_{max}$	Maximum carbon uptake rate	0.06	$d^{-1}$
$T_{min}$	Minimum temperature value	24	°C
$T_{max}$	Maximum temperature value	28	°C
Topt	Optimal temperature value	27.5	°C
Iopt	Optimal irradiance value	170	W.m <sup>2</sup>
I <sub>min</sub>	Minimum irradiance value	30	W.m <sup>2</sup>
$\alpha_S$	Slope of the limitation curve for salinity	0.0714	unitless
$\beta_S$	Origin of the limitation curve for salinity	-1.5714	$g.kg^{-1}$
$Q_{Nmin}$	Minimum quota value of N/C	0.014	$mgN.mgC^{-1}$
$Q_{Nmax}$	Maximum quota value of N/C	0.025	$mgN.mgC^{-1}$
$Q_{Pmin}$	Minimum quota value of P/C	0.001	$mgP.mgC^{-1}$
$Q_{Pmax}$	Maximum quota value of P/C	0.0014	$mgP.mgC^{-1}$
V <sub>Nmax</sub>	Maximum uptake rate for nitrogen	0.015	$mgN.mgC^{-1}.d^{-1}$
V <sub>Pmax</sub>	Maximum uptake rate for phosphorus	0.005	$mgP.mgC^{-1}.d^{-1}$
$K_N$	Half saturation term for nitrogen uptake	0.03	mmol.m <sup>3</sup>
$K_P$	Half saturation term for phosphorus uptake	0.5	mmol.m <sup>3</sup>
т	Maximum quadratic mortality rate	0.004	$mg.C^{-1}$
$K_m$	Half saturation term for mortality	$25.10^{6}$	$mg.C^{-1}$

TABLEAU 5.1. : Parameters used in the *Sargassum* growth model. All came from Jouanno et al. (in prep) except the  $K_m$  which was adapted here to the Lagrangian approach.

# 5.3.4. Model inputs : currents, wind, solar irradiation and nutrients

Our drift simulations of *Sargassum* used outputs coming from the GLORYS12 reanalysis (LELLOUCHE et al., 2021). The physical variables current velocity (**U**), temperature (T) and salinity (S) were downloaded from https://data.marine.copernicus.eu/. Wind velocity (**W**) was taken from the reanalysis ERA5 available at https://cds.climate.copernicus.eu as well as the shortwave radiation (I).

The biogeochemical variables [N] and [P] were extracted from Mercator Ocean International BIO4 analysis available at https://www.mercator-ocean.eu/. The [N] concentration is computed as the sum of  $NO_3$  and  $NH_4$  while [P] concentration is equal to  $PO_4$ .

#### 5.3.5. Simulations setup

#### 5.3.5.1. Drift-only simulations

Simulations were first run to find the best drift parameters set. Simulations setup from BERLINE et al., 2020 was used. From monthly *Sargassum* presence maps at 50 km resolution, particles were seeded in each pixel containing detections of *Sargassum*. The particles were evenly distributed inside the 50 km boxes, every 2 km ( $N_0 = 625$  particles for each 50 km box). Each particle was associated with a weight derived from the presence of *Sargassum* ( $\mu = p/N_0$ ).

The particles were advected with Ichthyop software (LETT et al., 2008) using Runge Kutta's 4th order numerical scheme with a 3-hour time step. Simulations started on the 15<sup>th</sup> of month *i* and lasted until the end of month *i* + 3 ( $\approx$  105 days). Results were saved daily. A coastal 'bouncing' boundary condition was used to conserve the same quantity of simulated *Sargassum* particles along the simulation. Based on the final location particles, we built a simulated monthly composite for each month : 1) the *Sargassum* particle weights in each 50 km box were summed to create simulated daily maps; 2) the mask (clouds) of the corresponding daily MODIS images was applied to these simulated maps to ensure consistency between the simulation and the satellite observations; 3) maps were averaged monthly and binarized using the threshold *p* < 0.003 to remove weak presence value. A summary of the simulation setup is shown in Fig. 5.1.

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FIGURE 5.1. : Workflow of the simulation setup. The example shown is for 1-month simulations, but an identical approach was used for longer simulations (3 months, 1 year).

#### 5.3.5.2. Assessment of model skill

The comparison between the simulated and the observed composites of *Sargassum* presence maps was performed using the True Skill Statistic (TSS, ALLOUCHE et al., 2006; BERLINE et al., 2020) in four different oceanic regions between 5°S and 35°N : i) the Caribbean Sea (90°W to 60°W); ii) the Central Atlantic Ocean (60°W to 35°W); iii) the East Atlantic Ocean (35°W to 5°W); iv) the overall region (90°W to 5°W) (see Figure 5.4). TSS makes it possible to compare presence maps giving equal weight to the absence of *Sargassum* and to the consistent/inconsistent cases of presence. This simplifies assessment of model skill by focusing on where the *Sargassum* is without considering its amount of biomass. The TSS value ranges from 0 (no skill) to 1 (perfect match).

The gain brought by the model was evaluated by comparing the TSS values with a reference, called here "persistence", which is the TSS calculated between the satellite composites of months i and i+1. TSS values were computed for all the simulations, i.e. for all different sets of drift parameters (4\*4\*4=64 sets), starting each month of the time series (12\*5=60 times) and for a simulation period of 1, 2 and 3 months (3 periods). So the experiment ended up with 64\*60\*3=11520 TSS values to analyze. As the different sets of parameters gave slightly different TSS values with no obvious best choice, we choose to aggregate the results for a given set of parameters, a given region and a given year, and calculated the mean and median values. For each set of parameters, we added the number of occurrences where these values were the highest to determine the most appropriate parameters according to each region.

#### 5.3.5.3. Drift-growth simulations

The simulation set-up was identical to the drift simulation experiment, except that the simulation duration was extended to 1 year to leave sufficient time for *Sargassum* growth. Also, each particle was associated with a C content derived from the biomass

quantities of *Sargassum* using the ratio of 27% (M. WANG et al., 2018). The initial content of nitrogen and phosphorus was arbitrarly set to  $C * (Q_{Nmax} - Q_{Nmin})/2$  and  $C * (Q_{Pmax} - Q_{Pmin})/2$ , respectively. The boundary condition used is 'beaching' : any particle that touches the coast is removed from the simulation. This mimics the stranding term used in the Eulerian model of (JOUANNO et al., 2021a).

For each simulation, C content was converted back to biomass to compute monthly simulated images. The comparison of simulated biomass distribution with satellite composites on the four different ocean regions was used to assess the quality of the growth model and calibrate *Sargassum* mortality parameters ( $K_m$ , Table 5.1) manually by minimizing the difference over the four regions between simulation and satellite maps.

#### 5.3.5.4. Time to double biomass

The Lagrangian approach enables to follow the fate of a given *Sargassum* aggregate (i.e. a particle) along its trajectory. We defined an experiment to assess the time required for an aggregate to double its biomass given its starting location. We seeded a large area (100°W to 15°E, 5°S to 35°N) with *Sargassum* particles every 5 km with 1000 kg initial biomass. After running the simulation over 1 year, the time to double (in days) was computed and associated to the starting location to obtain maps over the whole area. With all the simulations starting each month of the time series, we aggregated the maps of time to double biomass seasonally and compared it with growth limitation factors maps, both from biogeochemical and physical variables.

## 5.4. Results

Presence maps of Sargassum aggregates over the Tropical Atlantic derived from satellite and from our drift model were compared using TSS (True Skill Statistic). An example of TSS values averaged over the whole simulated domain obtained along the simulation time period is shown in Figure 5.2 for the particular case  $C_f = 100\%$ , w = 1%, and  $\phi = 0^{\circ}$  (blue line). In Figure 5.2 is also shown the persistence, i.e., the TSS values obtained by comparing the initial and 1-month later satellite-derived presences (orange line). The TSS curve above the persistence curve indicates that using the model prediction of Sargassum presence is better than assuming that Sargassum does not move at all over a 1-month period, and therefore that the model has some skill to simulate Sargassum drift (Figure 5.2). The assessed TSS values ranged between 30 and 80% with higher values in summer and lower in winter, broadly following the periods of higher and lower amounts of Sargassum (assessed by the fractional coverage in Figure 5.2, red line). The gain brought by the model, assessed by the difference between TSS and persistence, was between 0 and 30% and tended to be higher for the years with low amount of *Sargassum* (Figure 5.2). Overall, the influence of the deflection angle on the TSS values was small and we therefore decided to set  $\phi = 0^{\circ}$ . For this value, the values of current and windages leading to the highest average TSS

depended on time and on the considered area, being most often  $C_f = 70\%$ , w = 1% for the Caribbean area,  $C_f = 100\%$ , w = 1% for the Central Atlantic area, and  $C_f = 100\%$ , w = 2% for the East Atlantic area (Figure 5.3). We chose the values  $C_f = 100\%$ , w = 1% that lead most often to the highest TSS for the whole area (Figure 5.3).



FIGURE 5.2. : TSS values computed between simulated monthly composites maps of *Sargassum* presence after 1 month of simulation and corresponding satellite images with windage set to 1%, current to 100% and deviation angle of windage to 0°. The orange curve shows the persistence, i.e. the TSS value computed between the previous satellite composite and the current one. The area between orange and blue curves gives the gain brought by the model. The red curve is the average fractional coverage in percent over of total area.

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FIGURE 5.3. : Drift model skill assessment for different sets of windage and current factors in four oceanic regions. Color and values refer to the number of occurrences where the parameters set was the best performing over simulations aggregated annually. The deflection angle was here set to 0°

Simulations of the biomass growth used the best set of drift parameters. Simulations were extended to 1-year with tracking of the simulated biomass computed in four regions. The figure 5.4 shows an example of a 1-year simulation initialized on January 2017. The model faithfully reproduces the overall biomass dynamics (bottom right panel) with consistent starting and ending biomass values, as well as the local maximum and minimum of biomass found in May and in November, respectively. However, there are some spatial discrepancies after 6 months of simulation, with the biomass located more westward in the simulated composite than in the satellite composite. This can also be seen on local biomass time series like in the Caribbean where the simulated biomass does not correspond well to the satellite observations. Figure 5.5 shows the model biomass forecast compared to satellite for different leads (2, 4 and 6 months). The biomass forecast is closer to observations in the Central Atlantic and in the Caribbean compared to the East Atlantic region. The annual maximums of biomass, in particular the peak in 2018, are accurately reproduced by 2-month lead simulations and still to some extent with 4-month leads. Yet, forecast quality declines with the longer simulation times (> 4 months).

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FIGURE 5.4. : Results of the drift and growth simulation starting in January 2017. The first row shows the monthly composites at 50 km resolution from satellite (left) and from the model after 6 months of simulation (right). The time series below show the evolution of *Sargassum* biomass in the four areas displayed on the top panel from satellite (black lines) and the simulation (colored lines).

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FIGURE 5.5. : Comparison between biomass (millions of tons) estimated with satellite observation (black lines) and simulated biomass (colored lines). Colors refer to the oceanic regions shown in Fig. 5.4.

The drift-growth model was used to calculate the time required for a *Sargassum* particle's biomass to double from its starting location. The resulting maps and maps of physical and biogeochemical limiting factors are shown in Figure 5.6 for the four seasons. During the major part of the year, the area of low time to double biomass values for Sargassum algae spans from the Gulf of Mexico to 20°W. The areas with high time to double biomass values are restricted to the North of the Atlantic Ocean (above 25°N), to the Brazilian waters and to the Gulf of Guinea. Summer stands out with high time to double biomass values in the Caribbean Sea and the Gulf of Mexico, whereas the Gulf of Guinea has lower time to double biomass values. As for the physical (f(T), f(S), f(I)) and biogeochemical  $(min(f(Q_N), f(Q_P)))$  limiting factors, they are spatially inversely correlated. High values for the physical factor and low values for the biogeochemical factor are located in the southern Atlantic (around 10 °N) during the first half of the year, with this trend reversing in the second half of the seasonal cycle when these values are found in the northern and southern zones. The physical limitation values are largely more restrictive (0.2 in average) than the biogeochemical limitation (0.9 in average).
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FIGURE 5.6. : Time to double biomass for *Sargassum* particles seeded at that location (left). Biogeochemical limitation factor according to the quotas of nitrogen or phosphorus in the tissues  $(min(f(Q_N), f(Q_P)))$ . Physical limitation factor according to temperature, irradiance and salinity (f(T).f(S).f(I)).

The seasonal dynamics of Sargassum biomass in the Caribbean Sea and its interannual variability are studied in more detail using biomass time series of particles crossing the Caribbean arc (Figure 5.7). In 2016 most of the biomasses stopped increasing at the beginning of May when the particles entered the Caribbean Sea, and then gradually decreased on their westward trajectory to the Gulf of Mexico. Conversely, in 2018 biomasses kept on increasing until early July, and some particles reached their biomass maximum while off the Yucatán Strait already. 5. Modélisation Lagrangienne et biogéochimique du transport et de la croissance des sargasses – 5.5. Discussion



FIGURE 5.7. : Sample of simulated trajectories that cross the Caribbean arc (left) and corresponding biomass time series (right) for the years 2016 (top) and 2018 (bottom). The black vertical lines indicate the approximate average date of the biomass maximum among the time series, and the location corresponding to this date is displayed for each particle with a black star on the maps.

### 5.5. Discussion

#### 5.5.1. Drift parameters variability

Our simulations have shown that the angle of deviation of the wind effect on the drift has a very small impact on the results, and we were unable to conclude on a preferred value, probably because the Ekman current is already taken into account in the GLORYS12 current. Consequently, setting it to zero for simplicity seems satisfactory. On the other hand, the windage and current factor have a significant impact on simulation performances. The three sub-regions studied each have a different set of best wind and current parameters (Figure 5.3). The Caribbean region shows the greatest variability, with a weak consensus obtained for 1% wind and 70% current. This could be explained by the high concentrations of *Sargassum* in this area, most likely associated with large Sargassum mat sizes, which may induce a drag effect and reduce the current effect. In the central Atlantic Ocean, the windage is estimated at 1% and the current factor at 100% consistently with previous studies (BERLINE et al., 2020; KWON et al., 2019; PUTMAN et al., 2018). In the eastern Atlantic Ocean, the best suggested current factor is again 100%, but with a higher 2% windage. This is probably due to the lower wind speed (4.2  $m.s^{-1}$  on average in the east versus 5.9  $m.s^{-1}$  in the central region), which leads to a higher fraction of emerged algae and therefore a proportionally stronger wind effect on drift. Despite these subsequent local variations, we chose the best set of parameters over the whole region being 1% for wind and 100% for current for the combined drift and growth simulations. The

discrepancies between these values and those suggested by PODLEJSKI et al., 2023 (2% wind and 80% current) are likely due to the difference in scales of the two studies and associated resolved physical factors acting on drift. Whereas here we used long-term simulations (several months) with a coarse resolution (50 km) to estimate the drift model parameters, the measurements by PODLEJSKI et al., 2023 were carried out on successive images separated by 3 hours only and at a 1 km resolution. GLORYS12 currents have lower accuracy than drifter-derived currents used by PODLEJSKI et al., 2023. GLORYS12 currents can also underestimate actual currents, artificially resulting in a higher current factor.

#### 5.5.2. Main drivers of growth

The results show a weak biogeochemical limitation  $(min(f(Q_N), f(Q_P)) > 0.8$  in general). In particular, the nitrogen does not strongly constrain the growth as the associated saturation term is low ( $K_N$ =0.03). Algae likely always find available nitrogen, most likely because of diazotrophic fixation (LAPOINTE et al., 2021). Phosphorous is more constraining, but not dominating for the growth dynamics (see 5.8). However, the spatial pattern of the combination of these two factors shows that lower values (0.8) are found at the same location as Sargassum distribution in the first half of the year suggesting a slight limitation effect on Sargassum growth (Figure 5.6). These results highlight that biogeochemical factors are likely not primarily restrictive for Sargassum growth. This is consistent with recent laboratory experiments showing that Sargassum do not respond greatly to nutrient enrichment (MAGAÑA-GALLEGOS et al., 2023a; PHILIPPI et al., 2023). The spatial impact of environmental nutrient enrichment, either by Amazonian influx, Sahara deposition or Mauritanian upwelling as proposed in the literature (OVIATT et al., 2019; M. WANG et al., 2019b), on Sargassum distribution are not found critical in our results. In fact, as the limitation factors associated to those enrichment sources are rather stable between years (not shown), they are likely playing a minor role on the current interannual variability of *Sargassum* distribution.

Regarding the physical limitation of growth in simulations, low salinity can be limiting but rarely in the locations of *Sargassum* and solar radiation is always sufficient for *Sargassum* (see 5.8). It is the temperature that highly determines the dynamics of growth, depending on the area and the season. The function used for the temperature limitation was designed to inhibit growth in cold waters and to account for algae decomposition in warm waters. In particular, our interval of temperatures favorable for *Sargassum* growth (24 – 28°C) was adjusted to fit large scale growth observation from satellite data (JOUANNO et al., 2021a). These values are consistent with the observations from M. WANG et al., 2019b (Supplementary Materials). At the basin scale, the high temperatures (above 28°C) are the primary limitation factor that prevent *Sargassum* growth in the simulations. This is consistent with the large-scale negative correlation between SST and *Sargassum* concentration found in the literature (SKLIRIS et al., 2022a; M. WANG et al., 2019b). However, rather less restrictive temperature limitations were found in laboratory experiments (MAGAÑA-GALLEGOS et al., 2023a; J. SCHELL et al., 2023). This difference could be due to some environmental factors

which are not present in laboratory experiments, such as the biofouling in warm waters.

Mortality is also a key parameter, but we lack data on this particular phenomenon. The quadratic mortality function we used limits the growth for high concentrations of *Sargassum* and improves the robustness of the results by avoiding an exponential and unrealistic growth of *Sargassum*. It performs better than linear mortality, but is insufficient for low biomass particles, leading to steady slow decrease in this case.

# 5.5.3. Identified regions for growth and decay of *Sargassum* algae

As shown in Figure 5.6, the time to double biomass is heterogeneous at large scale both spatially and temporally, which allows us to retrieve distinct areas for *Sargassum* growth dynamics. In particular, a front is often found between 20-30°N separating the northern zone where *Sargassum* have longer time to double biomass because they growth poorly or decay due to low temperatures. The Gulf of Guinea is generally too warm for growth, except in late summer, and traps particles within it, inducing the long time to double biomass. This index can therefore be interpreted as an indication of whether a location at a given date will be favorable or not to *Sargassum* growth along its future trajectory.

An important feature appearing in our results is the band-like zone of long time to double biomass appearing in June to September from the offshore waters of northern Brazil to the Gulf of Mexico, including the entire Caribbean Sea (5.7). It is the high temperatures reached in this zone that prevent the growth of *Sargassum* (see very low values of limiting factor in this area, 5.8). Interestingly, 2018 differs from the others years on this point, with the long time to double biomass pattern delayed until late August, leading to huge biomasses. Conversely, in 2016, this happened in May, and biomasses remained low (see 5.7). Timing of the water warming in the area could explain the high variability of biomass between years and the resulting quantities of harmful strandings. This seasonal regulation is consistent with the time series of particle biomass crossing the Caribbean arc (Figure 5.7). The tipping point between growth and decline is reached when warming appears. Thus, its date in the seasonal cycle could play a major role to determine whether algae continues to grow in the Caribbean Sea, and hence the amount of Sargassum reaching the Yucatán coast and entering the Gulf of Mexico. Timing of warming could be linked to climate mode variability, such as the Atlantic meridional mode (AMM) or the North Atlantic Oscillation (NAO) (MARSH et al., 2023; SKLIRIS et al., 2022a; M. WANG et al., 2019b). In particular, Skliris et al., 2022a showed that the AMM corresponds to high positive SST anomaly (Sea Surface Temperature) in the area between North Brazil and the Gulf of Mexico and is negatively correlated with Sargassum concentration. The value of the AMM appears then promising as a proxy for the long-term forecasting of the Sargassum biomass development and may help to improve the management of Sargassum strandings on the beaches.

5. Modélisation Lagrangienne et biogéochimique du transport et de la croissance des sargasses – 5.6. Appendices

## 5.6. Appendices

# 5.7. Interannual variability of time to double biomass values



FIGURE 5.8. : The time to double biomass for years 2016 to 2020 and months May to August. The low time to double biomass values (yellow) between the North of Brazil and the Gulf of Mexico appeared in early May for 2016, in June for 2017 and 2020, in July for 2019 and in August for 2020 (rounded at the date of appearance).

5. Modélisation Lagrangienne et biogéochimique du transport et de la croissance des sargasses – 5.8. Limitation factors of growth



#### 5.8. Limitation factors of growth

FIGURE 5.9. : Spatial and seasonal variability of the five limitation factors to *Sargassum* growth used in our model. T, I, S, Qn and Qp stand for temperature, irradiance, salinity, nitrogen quota and phosphorus quota, respectively.

#### 5.9. Biomass time series analysis

The drift and growth simulations produce time series of biomass along the trajectories. In order to disentangle the dynamics of the annual *Sargassum* distribution, we used the functional principal component analysis (FPCA) method SHANG, 2014 applied on the biomass time series of *Sargassum* particles.

First, 1-year simulations of the drift and growth of *Sargassum* algae were initialized on each month of the time series 2016-2020 (60 simulations). The resulting biomass times series (1 per particle) were collected and defined as functional data using the python scikit-fda library (https ://fda.readthedocs.io). After normalization, these functions were smoothed using spline basis (n=10) and a FPCA was applied. The 3 first components explained 90% of the variance, we thus only kept these components for our analysis. A Gaussian Mixture model was applied on this new 3-dimensional dataset to extract unsupervised classes (n=10) describing the different tendencies of biomass time series.

The results of this trajectory analysis are presented in figure 5.10 after grouping simulations starting in April, May or June. The rest of the simulation has similar results, with a time lag for the biomass time series due to the starting date. The obtained classes are homogeneous with low variance, and they divide the dataset into balanced parts ( $\approx 10\%$  for each class). Classes number 2, 8 and 9 have stationary biomass for the last part of the time series, it corresponds to stranded particles. The average biomass found is very different depending on the class and its location.

5. Modélisation Lagrangienne et biogéochimique du transport et de la croissance des sargasses – 5.9. Biomass time series analysis



FIGURE 5.10. : The biomass time series dynamics classes for simulations starting in April, May or June. The right panel is the mean of the time series of biomass normalized according to Gaussian classes, the envelope of the curve refers to the variance. The central panel is a kernel density estimate (KDE) of the barycenter of the trajectories. The left panel is the mean biomass distribution in the class. The classes are numbered from 1 to 10 with indication of their corresponding fraction of the data set (n\_total = 58920).

#### 5. Modélisation Lagrangienne et biogéochimique du transport et de la croissance des sargasses – 5.9. Biomass time series analysis

The simulations reproduce consistently the two regions of consolidation observed in the FRANKS et al., 2016 study. The eastern consolidation region located near the coast of Liberia is characterized by a biomass maximum at the end of the year followed by a stationary regime or a slow decrease (classes 3, 4 and 9 of figure 5.10). It corresponds to approximately 30% of the trajectories with moderate amounts of biomass. On the other side of the Atlantic, the simulated trajectories consolidate in the Caribbean Sea. Maximum biomass is usually reached in late spring (classes 1, 5, 6, 7 and 10 in figure 5.10) and biomass decreases in summer due to high temperatures before growing again the next year. This represents 50% of the simulated dataset, associated with high amounts of biomass. The rest of the trajectories has stranded during the simulation. In the eastern part, stranding mainly occurs on the African coasts of the Gulf of Guinea and in the western part, mainly on the arc of the Caribbean islands and Mexican coasts. It represents 20% of trajectories but with small amounts of biomass, thus it corresponds to small fraction of the total biomass (few percents). The central area of the Atlantic does not really retain any particles. It is a transient zone crossed by the Sargassum algae on the way to the Caribbean Sea or on their return to the East.

# 6. Discussion générale

La présente thèse s'est donc focalisée, dans un premier temps, sur l'observation par télédétection des algues sargasses. En choisissant le capteur MODIS embarqué sur deux satellites à une résolution assez grossière (1 km), les travaux réalisés en amont de cette thèse ont permis d'obtenir une cartographie journalière exhaustive, nonobstant les masques, des 20 millions de kilomètres carrés de l'océan Atlantique tropical nord. La méthode d'extraction des détections, préliminaire à cette thèse, s'est appuyée sur l'index radiométrique AFAI développé dans la littérature. Celui-ci permet de détecter les concentrations de sargasses, même faibles, grâce à de leur absorbance dans le proche infrarouge, commune à tous les végétaux, ainsi que l'absorbance caractéristique des algues brunes dans le jaune (632 nm) due à leur pigment de chlorophylle c. Cependant, ce processus d'extraction génère des fausses détections en nombre équivalent aux vraies sargasses observées, principalement du fait des nuages, de leurs ombres et du reflet du soleil sur l'eau, tous similaires aux sargasses d'un point de vue radiométrique. C'est donc sur le filtrage de ces erreurs que s'est concentrée la première étude présentée dans le chapitre 3. Partant du constat qu'un opérateur averti parvient aisément à distinguer les vraies détections des fausses, cette étude vise à reproduire ce tri avec une méthode d'apprentissage automatisé. Après avoir identifié les attributs discriminant vraies et fausses détections, ici des caractéristiques de contexte spatial large échelle (nombre et surface cumulée des détections voisines, persistance temporelle, distance aux côtes), et créer un jeu de données labellisées, différents algorithmes de classification ont été testés pour sélectionner le plus performant. Ce sont les forêts aléatoires d'arbres, couramment utilisées en télédétection, qui présentaient les meilleurs résultats avec 95% de précision pour déterminer la validité d'une détection. Cette méthode de filtrage a permis de nettoyer par la suite la série temporelle 2016-2020 des détections satellites de la majorité de ses erreurs, mais a aussi permis de montrer l'intérêt des caractéristiques spatiales pour améliorer les résultats de télédétection en allant au-delà de l'analyse radiométrique classique.

Suite à ce premier travail, il a paru possible de suivre certains agrégats de sargasses sur plusieurs images MODIS successives. Or la dérive des sargasses, et en particulier l'effet du vent sur celle-ci, n'était jusqu'alors évaluée qu'empiriquement dans des modèles large échelle et il manquait de réelles mesures quantitatives pour être convenablement modélisée. Ainsi, au chapitre 4 de la présente thèse, une méthode d'extraction de trajectoires d'agrégats de sargasses a été développée afin d'en étudier la dérive. À la suite d'un important travail de sélection de cas d'intérêt, ici des paires d'images MODIS séparées de trois heures et comportant des sargasses colocalisées avec une bouée dérivante, l'algorithme de vision par ordinateur SIFT, retravaillé *ad hoc*, a permis d'extraire 200 mesures de vitesses d'agrégats de sargasses. Grâce à ce petit jeu de données, suffisamment étoffé néanmoins pour en extraire des informations statistiquement significatives, le travail suivant a porté sur le calcul des paramètres d'un modèle physique simple reliant la vitesse des sargasses à celle des courants de surface et à celle du vent. Cette étude a bénéficié des mesures de courant précises apportées par les bouées dérivantes colocalisées aux sargasses ainsi que des mesures de vent provenant d'un modèle pour alimenter une régression linéaire multiple dans le domaine complexe. Cette approche mathématique a permis une plus grande souplesse pour la calibration des paramètres et abouti à un modèle de dérive réévaluant à la hausse l'effet du vent sur les sargasses et proposant deux nouveaux paramètres : un facteur réévaluant à la baisse l'effet du courant et un angle de dérivier de sargasses plus détaillé et précis que précédemment ainsi que des pistes d'amélioration des modèles de prédiction d'échouement à fine ou large échelle. Cela démontre aussi la capacité de l'imagerie satellite à haute fréquence à extraire des trajectoires d'objets flottants malgré sa relativement basse résolution.

Afin de mettre à l'épreuve ce nouveau modèle de dérive et de qualifier ses résultats à large échelle, le chapitre 5 commence par détailler la simulation Lagrangienne de la dérive des sargasses sur quelques mois avec différents jeux de paramètres de dérive. Les performances résultantes, mesurées à l'aide d'un score comparant les zones de distribution de sargasses observées par satellites et celles simulées par le modèle, permettent d'évaluer les performances des différentes calibrations du modèle. En utilisant les meilleurs paramètres de dérive obtenus par ce test, l'étude associe ensuite à ce modèle de dérive la modélisation de la croissance en fonction de différentes limitations du milieu, physique ou biogéochimique. Ce modèle dérive-croissance permet d'intégrer les conditions de vie sur la trajectoire des sargasses simulées et donne ainsi accès au devenir d'un agrégat selon son point de départ à un moment donné. À partir des simulations issues de ce modèle, il a été possible de calculer le temps requis par une particule pour doubler sa biomasse en fonction de son point de départ et d'ainsi montrer la prépondérance de la limitation de la croissance causée par la température sur les autres facteurs physiques (lumière, salinité) et les limitations dues aux nutriments (phosphore et azote). Ensuite, cela a permis de mettre en exergue une région climatique clé de la régulation annuelle de la croissance des sargasses. En effet, la hausse des températures saisonnières des eaux allant du nord du Brésil au golfe du Mexique est le responsable majoritaire de l'arrêt du développement des biomasses annuel dans les simulations. Ces résultats suggèrent que ce réchauffement localisé et en particulier sa temporalité saisonnière pourrait expliquer la variabilité interannuelle de la distribution de sargasses observée actuellement. Ce dernier point constitue une piste prometteuse pour mieux anticiper l'accroissement des biomasses et le risque annuel d'échouements.

Les trois études présentées ci-dessus rendent compte des étapes mises en place pour étudier la variabilité interannuelle des distributions et quantités d'algues sargasses. Ces travaux se sont succédé naturellement puisqu'il a fallu retravailler les résultats de télédétection dans le chapitre 3 pour obtenir une série temporelle fiable des biomasses de sargasses afin d'en étudier les dynamiques de dérive et de croissance. La dérive n'étant qu'imparfaitement appréhendée et modélisée, le chapitre 4 comble ensuite ce manque en proposant une reprise du modèle de dérive mesuré à partir des images satellites obtenues précédemment. Ce sont ces mêmes données de détections satellites qui ont finalement servi d'initialisation au modèle Lagrangien du chapitre 5. En se basant sur le nouveau modèle de dérive et en lui associant un modèle de croissance, ces simulations Lagrangiennes finalisent les travaux de la thèse en donnant accès au cycle saisonnier simulé des sargasses et permettent l'interprétation de leur variabilité interannuelle. Si ces trois parties se conçoivent comme trois sujets d'études distincts, voici néanmoins les points de discussion qu'ils soulèvent en commun.

#### 6.1. Masques des données satellites

Les masques sont, pour la majorité des études de télédétection, un des obstacles majeurs à l'observation des phénomènes terrestres. Ils sont induits par différents phénomènes comme le reflet du soleil dans l'eau, la contamination côtière due à la proximité du rivage ou à la turbidité de l'eau, les phénomènes analogues à l'objet d'étude (dans notre cas d'autres algues ou des macro-plastiques) et bien sûr les masques dus aux nuages et à leur ombre, omniprésent à l'ITCZ (InterTropical Convergence Zone) et qui constituent le principal problème pour la détection des sargasses. Il est généralement nécessaire de les retirer des images et la méthode utilisée pour ce faire est un point sensible des travaux concernés. C'est d'autant plus vrai dans le cas de l'observation des sargasses qui est doublement impactée par la présence des masques. En premier lieu, ils sont source de fausses détections difficiles à isoler tant par leur couleur que par leur forme. En particulier, les nuages disposent d'un spectre de réflectance très similaire aux sargasses, notamment dans le proche infrarouge, ce qui les rend difficiles à distinguer de véritables sargasses. Qui plus est, certains nuages sont assez isolés et de forme allongée (notamment les cirrus) qui les rendent assimilables aux agrégats de sargasses et renforce la confusion entre les deux objets même en usant de leurs caractéristiques spatiales pour les différencier. Dans le cas présent, le masquage des nuages avec l'algorithme SeaDAS, doublé ensuite par le filtrage automatisé, garantissent la suppression de la majorité des nuages. Les autres études portant sur la détection des sargasses ont fait face à ce même problème et ont adopté des solutions variées. Si les images à haute résolution peuvent aider à délimiter plus finement la présence des différents masques dans les images (M. WANG & HU, 2020), c'est l'apprentissage profond qui est mis en avant dans la littérature pour résoudre ce problème (ARELLANO-VERDEJO et al., 2019; M. WANG & HU, 2021). Il pourrait également rendre possible la détection de sargasses au bord ou sous des nuages diffus (LAVAL et al., 2023). Un autre moyen de contourner le problème consiste à utiliser d'autres domaines de longueur d'onde, insensibles aux nuages, par exemple les capteurs radar actifs. Malheureusement, les sargasses ne sont qu'incomplètement détectables par cette technique du fait de leur légère submersion (QI et al., 2022).

6. Discussion générale – 6.2. Échelles spatiale et temporelle des images composites

## 6.2. Échelles spatiale et temporelle des images composites

En plus de ce problème de fausses détections, les vides laissés par le masquage des nuages réduisent d'autant la couverture des observations satellites. Or, la zone dans laquelle évoluent les algues sargasses, située près de l'ITCZ, est constamment recouverte de nombreux nuages. Aussi, même avec ses deux images journalières provenant des satellites Aqua et Terra, MODIS ne parvient à avoir une prise de vue claire que de 30% de la surface de l'océan Atlantique chaque jour dans cette zone (Figure 6.1).



FIGURE 6.1. : Carte des fréquences d'observation des sargasses sur les zones non masquées avec MODIS à 1 km de résolution, année 2017, non filtrée. Les contours indiquent le taux moyen d'observabilité (surface sans masque) journalière en pourcentage (Figure : Madjid Hadjal).

C'est cette indisponibilité intrinsèque des données d'observation des sargasses qui rend nécessaire d'agréger temporellement les images journalières afin d'avoir un composite couvrant toute la zone de distribution des algues sargasses. Celles-ci n'étant pas immobiles, garder la même résolution spatiale avec les images agrégées (composites) induirait des duplications de la détection d'un même agrégat de sargasses. Pour éviter ce cas de figure, la solution la plus simple est de dégrader la finesse des pixels des composites. La résolution d'un pixel doit alors être diminuée proportionnellement à la distance que parcourt un agrégat de sargasses sur l'intervalle de temps de l'agrégation temporelle. La vitesse observée des sargasses étant en moyenne autour de 0.3  $m.s^{-1}$ , la distance approximative qu'elles parcourent au cours d'un mois est de 800 km. On utilise alors, comme compromis, 50 km de résolution avec les composites mensuels pour équilibrer justesse et finesse du positionnement des détections de sargasses. Ce sont ces composites mensuels qui ont été utilisés majoritairement dans cette thèse pour permettre la comparaison avec les résultats d'autres études. Pourtant, il pourrait sembler plus adéquat d'utiliser un intervalle de temps plus court pour limiter la dégradation de la résolution spatiale. Afin d'évaluer un intervalle d'agrégation optimal, la couverture spatiale de composites de plus ou moins longue durée a été calculée à différentes dates de la série temporelle. Les intervalles de temps garantissant une couverture d'au moins 90% sont de l'ordre de la dizaine de jours pour 5 km de résolution. C'est donc cette durée d'agrégation temporelle qui a été utilisé au cours de la présente thèse pour vulgariser les travaux effectués dans le chapitre 3 (https://www.icare.univ-lille.fr/satellites-reveal-the-spread-of-sargassum-across-the-atlantic-february-2021/).

Cette agrégation temporelle des images de télédétection en composites de plusieurs jours pourrait avoir des répercussions sur les simulations qui les utilisent comme conditions initiales. En effet, les simulations du chapitre 5 ont été initialisées avec des composites mensuels et la comparaison entre les résultats de simulation et les images satellites est faite avec la même agrégation temporelle. Afin de vérifier l'impact de ce choix sur les résultats de simulation, un test a été mis en œuvre sur des simulations Lagrangiennes sans croissance (pure advection). Celles-ci ont été paramétrées identiquement à celles présentées en première partie du chapitre 5 excepté pour leur initialisation. Au lieu de lâcher les particules Lagrangiennes uniquement au 15<sup>ème</sup> jour du mois en se basant sur le composite mensuel (Figure 5.1 du chapitre précédant), les particules sont lâchées le jour de leur observation dans la simulation. Au cours du premier mois, les particules correspondant aux images satellites journalières sont ajoutées chaque jour à la simulation (Figure 6.2).





FIGURE 6.2. : Comparaison de la couverture de sargasses sous forme de composites mensuels résultant de simulations Lagrangiennes sans croissance sur des durées de 1, 2 et 3 mois. L'initialisation est basée sur une image satellite mensuelle avec les particules lâchées le 15<sup>ème</sup> jour du premier mois pour la colonne de gauche. Pour la colonne de droite, les particules sont lâchées le jour d'observation des détections satellites journalières correspondantes.

Ce test a permis de montrer qu'il n'y avait pas de différence majeure entre les deux méthodes d'initialisation, les distributions spatiales obtenues étant similaires. La redondance des images journalières provoque, en revanche, la duplication de certaines particules, ce qui induit des concentrations artificiellement plus élevées avec une initialisation jour par jour. Ainsi, la perte de résolution temporelle en utilisant des composites mensuels dans les simulations a peu d'impact sur la précision des résultats et prévient du dédoublement des détections tout en simplifiant la démarche de comparaison.

#### 6.3. Incertitude des mesures

Un point de fragilité des trois études présentées ici vient du fait qu'aucune des observations sur lesquelles elles sont construites ne sont des mesures *in-situ*. Néanmoins, de nombreux efforts ont été fournis pour valider au mieux les observations et se passer d'échantillonnage terrain, qui serait au demeurant irréalisable au vu de l'immense étendue de la zone d'étude. En premier lieu, la méthode de filtrage des détections erronées utilise un jeu de données labellisées manuellement par l'inspection visuelle des images. Si ce procédé peut être à l'origine d'erreurs, celles-ci ont été réduites au maximum grâce aux observations à la fois *in-situ* et satellites fournies par les travaux de ODY et al., 2019. Celles-ci permettent de donner une idée précise de l'aspect des agrégats de sargasses vus par les capteurs MODIS et ont aidé à déterminer les vraies et fausses détections des sargasses dans le jeu de données labellisées. Aussi, pour limiter au mieux le biais induit par l'opérateur qui a créé ce jeu de données, plusieurs images de détections ont été annotées par trois personnes différentes et un nombre très réduit de contradictions a été obtenu. Cette validation manuelle dans les images est une solution courante dans les études de télédétection, y compris pour les sargasses, si difficiles à observer directement (ARELLANO-VERDEJO et al., 2019; CUEVAS et al., 2018; LAVAL et al., 2023; M. WANG & HU, 2016). Ces précautions, associées également à la très bonne précision du modèle de classification résultant du chapitre 3, garantissent la robustesse du jeu de données de détections de sargasses obtenu.

Dans le chapitre 4, ce jeu de données de télédétection a été exploité afin d'extraire des vitesses de sargasses depuis des paires d'images. Là encore, aucune véritable mesure sur le terrain de la dérive des sargasses n'est actuellement disponible du fait du peu de cohérence structurelle des radeaux de sargasses qui gêne le suivi de leur trajectoire. C'est pourquoi cette étude s'est limitée à un nombre réduit de cas d'observation, tous colocalisés avec des bouées dérivantes. Ces dernières, situées à la surface de l'eau et suivies par GPS, donnent une mesure fidèle des courants à 15 mètres de profondeur (grâce à leur ancre flottante) ou des courants de surface (après perte de leur ancre). Dans le cas présent de l'étude des sargasses, la vitesse de ces bouées a servi à la fois à valider les mesures de vitesse de sargasses et à calculer finement leur modèle de dérive. En effet, la forte corrélation entre les vitesses des sargasses et celles des bouées assure que les observations ne sont pas aberrantes puisque l'on s'attend à ce que la dérive de ces deux objets similaires soit comparable au vu de leur proximité spatiale. Ensuite, les bouées ont servi de mesures in-situ des courants pour mieux inférer leur effet sur la dérive des sargasses et le différencier de l'effet du vent. C'est cette comparaison entre bouées et sargasses qui donne du crédit à la méthode d'extraction de trajectoire développée ainsi qu'au modèle de dérive résultant. Il est d'ailleurs envisagé d'étendre cette méthode à des cas de détections de sargasses non colocalisés aux bouées dérivantes afin d'enrichir le jeu de données de trajectoires.

Une autre source d'imprécision des mesures sur les sargasses concerne leurs paramètres physiologiques qui servent de base au modèle de croissance utilisé dans le chapitre 5. D'une part, certain des paramètres sur lesquels on manque d'informations,

comme les constantes de saturation des nutriments par exemple, ont été calibrés pour forcer le modèle à obtenir des cartes de biomasses large-échelle proches de celles observées par satellite. Les valeurs de ces paramètres peuvent donc manquer de réalisme biologique. Par ailleurs, les autres paramètres du modèle, tirés en grande partie de la littérature sur la biologie des sargasses, sont pour la plupart mesurés en laboratoire. Ces expérimentations ex-situ permettent de maîtriser les conditions environnementales, par exemple pour mesurer la capacité de croissance en fonction de la température, mais ne correspondent qu'imparfaitement aux conditions de vie réelles des sargasses. Ainsi, si les mesures observées dans les travaux de HANISAK et SAMUEL, 1987 ou de LAPOINTE, 1986 ont été précieuses pour la création du modèle de croissance, elles ne prennent pas en compte, entre autres, la communauté microbienne de l'holobionte sargasses ainsi que les épiphytes associés qui pourtant jouent un rôle important de fixateur d'azote (CARPENTER, 1972; CARPENTER & COX, 1974). C'est ce problème qu'essayent de contourner des travaux d'expérimentation in-situ comme les travaux annexes à la présente thèse (Annexe B). Ils mesurent des taux de croissance de sargasses en milieu naturel, plus proche des conditions de vie réelles, mais se limitent malgré tout à des eaux côtières, assez différentes du plein océan. D'autres initiatives similaires voient le jour et la communauté scientifique devrait rapidement converger sur les valeurs effectives de ces paramètres physiologiques qui pourront être par la suite intégrées au modèle de croissance développé ici (MAGAÑA-GALLEGOS et al., 2023a; PHILIPPI et al., 2023; J. M. SCHELL et al., 2015).

#### 6.4. Variabilité de la dérive

La dérive des sargasses a été abordée ici de deux manières différentes, dans le chapitre 4 où elle a été mesurée et modélisée directement depuis des observations satellites à fine échelle, et dans le chapitre 5 où elle a été réévaluée pour optimiser les performances à large échelle du modèle Lagrangien. Ces deux approches et leurs résultats se recoupent, mais leurs conclusions respectives ne coïncident pas. Alors que les mesures directes pointent vers une valeur du windage aux alentours de 2% et une réduction de l'effet du courant vers 80%, le consensus trouvé pour la simulation Lagrangienne correspond aux propositions précédentes de la littérature avec 1% de windage et 100% du courant (BERLINE et al., 2020; JOUANNO et al., 2020). Cette discordance est cependant nuancée par la variabilité spatiale des paramètres de dérive en ce qui concerne les résultats des simulations Lagrangiennes. En effet, selon la zone d'étude à laquelle on s'intéresse, on retrouve les valeurs de paramètres avancées par l'étude des trajectoires du chapitre 4. Le windage calculé est plus fort ( $\approx 2\%$ ) dans la zone à l'est dans laquelle les vents sont généralement faibles et l'effet du courant dans la mer des Caraïbes est également sensiblement inférieur à 100%.

Au-delà de cette variabilité spatiale, les conclusions dissonantes sur la dérive des sargasses de ces deux études peuvent être expliquées par les différences d'échelle, de courantologie et de conditions d'observation. L'échelle de temps et la résolution spatiale utilisée pour la mesure des trajectoires (3 heures et 1 km de résolution) diffèrent largement de celles employées dans les simulations Lagrangiennes (plusieurs mois et 50 km de résolution). Aussi, le calcul de l'effet du courant sur les vitesses de sargasses provient directement des mesures *in-situ* de courant fournies par les bouées alors que la simulation Lagrangienne repose sur des données de modèles de courantologie de moindre précision. Enfin, les conditions d'observabilité qui déterminent notre capacité à extraire des trajectoires de sargasses (peu de nuages, larges agrégats) ont pu légèrement biaiser le jeu de données obtenu dans le chapitre 4. Par exemple, le fait qu'il n'y ait que de très larges agrégats qui soient observables peut expliquer que l'effet du courant sur la dérive des sargasses mesuré soit plutôt faible, l'effet de traînée étant probablement plus fort avec de grands radeaux. De manière comparable, le windage n'a probablement pas de valeur fixe et il est très certainement impacté par le taux de submersion des algues sargasses. Il a par exemple été possible de montrer que le windage estimé dans les cas de vent très faible (< 3  $m.s^{-1}$ ) était bien supérieur aux valeurs de windage avec des vents plus forts (7% contre 2% respectivement, voir annexe 4.6.6). Ces considérations sur la variabilité de la dérive soulignent le besoin de multiplier les données d'observations afin d'améliorer sa modélisation et de prendre en compte de nouveaux facteurs comme la submersion et la taille des agrégats. Pour ce faire, le satellite géostationnaire GOES (Geostationary Operational Environmental Satellite), qui a déjà démontré sa capacité à permettre le suivi de sargasses, constitue une piste intéressante (MINGHELLI et al., 2021).

#### 6.5. Modèles Lagrangien et Eulérien

La dernière étude de cette thèse présentée dans le chapitre 5 est une adaptation du modèle de croissance des sargasses développé dans les travaux JOUANNO et al., 2021a et JOUANNO et al., 2021b (Annexe A) depuis le paradigme Eulérien vers le Lagrangien. Ce dernier donne accès aux trajectoires de vie des agrégats de sargasses simulés, représentés ici par des particules. Afin de qualifier les différences de résultats de ces deux méthodes, supposément équivalentes, une comparaison sur une simulation d'une moitié d'année a été menée avec des paramètres autant que possible identiques (Figure 6.3). Les différences sont néanmoins nombreuses notamment : i) les courants d'entrée proviennent d'une réanalyse GLORYS12 pour l'approche Lagrangienne et d'une simulation NEMO au 1/4° pour l'approche Eulérienne : ii) l'agrégation temporelle et spatiale des images satellites pour l'initialisation est de 25 km - 15 jours pour l'Eulérien et 50 km - 1 mois pour le Lagrangien; iii) un facteur de diffusion est utilisé dans le modèle Eulérien uniquement; iv) la mortalité est paramétrée différemment selon le modèle avec une mortalité seulement quadratique en Lagrangien alors que c'est une combinaison de mortalité quadratique et linéaire qui est employée dans l'Eulérien; v) le report des masques nuages provenant des observations satellites est effectué sur les images simulées résultant du modèle Lagrangien et non de l'Eulérien.



#### FIGURE 6.3. : Composites mensuels des biomasses de sargasses sur l'année 2018 obtenus avec une simulation de 6 mois du transport et de la croissance des sargasses. De gauche à droite, composites mensuels observés par satellite, issus du modèle Lagrangien, issus du modèle Eulérien

Cette simulation comparée a permis de montrer que si les résultats des deux modèles sont relativement analogues, ils comportent des différences et divergent avec des temps de simulation longs. Deux points principaux peuvent être relevés, les biomasses de sargasses de la simulation Eulérienne sont plus diluées et continues que celles obtenues avec le modèle Lagrangien ou les satellites, et les biomasses sont plus avancées dans le cycle saisonnier avec le modèle Eulérien (plus à l'ouest). Pour ce qui est de la rugosité apparente des composites issus des simulations Lagrangiennes, elle provient en partie des masques nuages reportés depuis les images satellites journalières qui n'ont pas été appliqués aux données Eulériennes de JOUANNO et al., 2021b. Mais il s'agit aussi du paramètre de diffusivité présent dans le modèle Eulérien qui homogénéise localement les concentrations d'algues et donne ces images lissées. Ces divergences de résultat peuvent être imputées aux différences de paramètres et d'initialisation précédemment listées, mais pourrait également dues à une potentielle différence structurelle entre simulations Eulérienne et Lagrangienne. De plus amples investigations seraient nécessaires pour conclure.

Malgré ces différences, les résultats des modèles Eulérien et Lagrangien s'équivalent et décrivent assez fidèlement la dynamique saisonnière et interannuelle des sargasses. L'intérêt premier du modèle Lagrangien est de permettre d'observer les points de basculement de la dynamique de croissance des algues sargasse et d'en étudier la temporalité et la localisation. Notamment, le travail présenté en annexe du chapitre 5 (Section 5.9) est une étude préliminaire des séries temporelles de biomasses de sargasses par analyse fonctionnelle. Les résultats de cette analyse, bien qu'encore insuffisamment conclusifs, permettent d'extraire les dynamiques de croissance des sargasses en classes différentes et de qualifier leur localisation et autres paramètres environnementaux. Une autre exploitation possible de ce modèle de croissance en Lagrangien pourrait consister à faire un rétro-suivi (backtracking) depuis des zones d'échouement. Cette approche, déjà employé par la littérature (K. S. T. ALLEYNE et al., 2023a; JOHNS et al., 2020; PUTMAN et al., 2018), bénéficierait de l'ajout du modèle de croissance développé ici et devrait permettre d'étudier l'origine des sargasses échouées, mais aussi les conditions environnementales du milieu qu'elles ont traversé.

## 6.6. Variabilité interannuelle de la distribution des sargasses

La distribution spatiale des sargasses et les quantités de biomasse associées connaissent des variations très contrastées d'une année à l'autre depuis leur implantation dans l'océan Atlantique tropical nord en 2010. Les premières années dans cette nouvelle aire de répartition (2011-2015) ont été assez chaotiques pour les sargasses avec l'apparition d'échouements ponctuels en 2011 et 2012 suivis d'une année exempte de sargasses et de leur recrudescence en 2014 et 2015. Depuis fin 2016, la dernière année en date comportant de faibles quantités de sargasses, elles semblent se stabiliser avec d'importants épisodes d'échouements chaque année (même si le nombre d'échouements reste hautement variable localement et peu renseigné au niveau global). Nonobstant cette relative régularité, il est des années où les sargasses et le golfe du Mexique. Les habitants de la Martinique et de la Guadeloupe se souviennent en particulier de l'été 2018 et des masses gargantuesques d'algues envahissant leurs plages. Cette variabilité entre des biomasses annuelles élevées et colossales ainsi que de leurs arrivées irrégulières soulève la question des facteurs déterminants ces différences interannuelles.

#### 6. Discussion générale – 6.6. Variabilité interannuelle de la distribution des sargasses

Le modèle couplé de dérive et de croissance présenté dans le chapitre 5, aboutissement des travaux de la présente thèse, est bâti afin d'explorer et analyser cette variabilité interannuelle. Basé notamment sur les limitations physiques et biogéochimiques, il permet d'analyser les zones de croissance au cours du cycle saisonnier des sargasses et de l'intégrer le long de trajectoires de dérive. En premier lieu, les cartes saisonnières des facteurs limitants la pousse des sargasses ont permis de mettre en évidence la prépondérance des facteurs physiques sur les facteurs chimiques. En particulier, la température semble le facteur clé de la capacité à croître des sargasses, alors que le manque de nutriments, comme le phosphore et l'azote, semble marginal. En effet, les valeurs de paramètres, qui ont été utilisés pour modéliser la captation des nutriments et leur effet sur la croissance, sont relativement peu contraignants et les sargasses ne manquent jamais vraiment ni de nitrate ni de phosphore dans les simulations. Au contraire, les paramètres régissant la limitation par la température se sont avérés, après la calibration, beaucoup plus contraignants, en particulier la limite haute de température permettant aux sargasses de croître. Ces résultats viennent corroborer, d'une part, de récentes études en laboratoire montrant le faible effet de l'enrichissement en nutriments sur les sargasses (MAGAÑA-GALLEGOS et al., 2023a; PHILIPPI et al., 2023). D'autre part, l'anti-corrélation entre les températures de surface de l'océan et les concentrations de sargasses sont cohérents avec la forte limitation par la température proposée ici (SKLIRIS et al., 2022a; M. WANG et al., 2019a). Aussi, l'enrichissement des eaux, avancé précédemment dans la littérature (OVIATT et al., 2019; M. WANG et al., 2019b) afin d'expliquer l'accroissement des algues sargasses, semble avoir un impact minime sur les résultats des simulations. Qu'ils soient dus à des apports fluviaux (Amazone, Congo), aux vents Sahariens ou aux upwellings, ces apports en nutriments sont relativement réguliers depuis 2011 et ne correspondent donc pas à la variabilité interannuelle des sargasses. Il est alors peu probable qu'ils soient déterminants en ce qui concerne les variations de biomasse observées entre chaque année.

Parallèlement, le calcul du temps requis par une particule pour doubler sa biomasse pourvoit des informations probantes afin d'anatomiser la dynamique spatiale et temporelle des sargasses. Il en ressort en particulier un élément clé des séries annuelles de biomasses : les hautes températures du courant nord Brésil et de la mer des Caraïbes. Tempérées la plupart des mois de l'année ( $\approx 25$  °C), ces eaux, par lesquelles transitent les sargasses à la fin de leur traversée saisonnière de l'Atlantique, atteignent des hautes températures (>28°C) à la fin du printemps. Cette caractéristique de la circulation générale de l'Atlantique apparait plus ou moins tardivement selon les années et l'on peut l'observer dans certains cas dès le début de mai (2016) ou qu'à partir de miaoût (2018). Ce retard plus ou moins marqué correspond très bien aux variations des quantités de sargasses observées au courant de l'été. Aussi, sachant que de fortes températures provoquent l'arrêt de la croissance des sargasses et accélèrent leur dégradation, on peut former l'hypothèse qu'il s'agit là d'un facteur prééminent de régulation annuelle des biomasses de sargasses. Ainsi, la mise en place du courant chaud au nord du Brésil et de la montée des températures au sein de la mer des Caraïbes et du golfe du Mexique détermineraient l'arrêt plus ou moins précoce de la

#### 6. Discussion générale – 6.6. Variabilité interannuelle de la distribution des sargasses

croissance des sargasses au niveau global et partiellement les quantités de biomasse annuelles atteintes (les conditions de la distribution des sargasses en début d'année étant, elles aussi, déterminantes). Cette conclusion constitue une piste prometteuse pour expliquer et prévoir la variation interannuelle de biomasses. Il reste à expliquer les mécanismes climatiques régissants cette hausse caractéristique des températures estivales entre les eaux au large du Brésil et celles du golfe du Mexique. L'explication probable est à chercher du côté des télécorrélations atmosphériques (c'est-à-dire les modes de variabilité du climat) et plus particulièrement du mode méridional de l'Atlantique (AMM en anglais). Ce dernier, indicateur du déplacement septentrional ou méridional de l'ITCZ (InterTropical Convergence Zone), est corrélé aux anomalies de température de surface de l'océan au nord du Brésil. L'étude de SKLIRIS et al., 2022a a déjà pu montrer la corrélation négative entre l'AMM et les concentrations estivales de sargasses. Ces télécorrélations connaissent une hausse de leur variabilité sur les dernières décennies en lien avec le changement climatique, si bien qu'on peut émettre l'hypothèse que l'actuelle variabilité interannuelle des sargasses en Atlantique tropical nord est reliée aux perturbations anthropiques du climat. Pour conclure, il apparait que les facteurs déterminant l'accumulation annuelle plus ou moins massive des algues sargasses depuis 2011 serait à chercher parmi les variations climatiques, notamment par l'intermédiaire de la température de surface de l'océan dont les extrêmes régulent la croissance saisonnière des algues sargasses dans l'Océan Atlantique tropical nord.

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# ANNEXES

## A. Apports en nutriments des grands fleuves et prolifération des sargasses

L'article ci-dessous est une analyse de l'effet des apports en nutriments des grands fleuves (Amazon, Congo, Orénoque) sur la croissance des algues sargasses. Basé sur la corrélation spatiale entre les plumes des grands fleuves (zone d'influence) et entre les concentrations de sargasses, il montre que ceux-ci n'ont probablement que peu d'influence sur l'actuelle dynamique de croissance des algues. La présente thèse a participé à cette étude en fournissant les données d'observation de sargasses filtrées des fausses détections avec la méthode développée dans le chapitre 3.

## ENVIRONMENTAL RESEARCH

LETTERS



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## LETTER

## Evolution of the riverine nutrient export to the Tropical Atlantic over the last 15 years: is there a link with *Sargassum* proliferation?

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Supplementary material for this article is available online

## Abstract

The Tropical Atlantic is facing a massive proliferation of *Sargassum* since 2011, with severe environmental and socioeconomic impacts. As a contribution to this proliferation, an increase in nutrient inputs from the tropical rivers, in response to climate and land use changes or increasing urbanization, has been often suggested and widely reported in the scientific and public literature. Here we discuss whether changes in river nutrient inputs could contribute to *Sargassum* proliferation in the recent years or drive its seasonal cycle. Using long-term *in situ* and satellite measurements of discharge, dissolved and particulate nutrients of the three world largest rivers (Amazon, Orinoco, Congo), we do not find clear evidences that nutrient fluxes may have massively increased over the last 15 years. Moreover, focusing on year 2017, we estimate that along the year only 10% of the *Sargassum* biomass occurred in regions under river plume influence. While deforestation and pollution are a reality of great concern, our results corroborate recent findings that hydrological changes are not the first order drivers of *Sargassum* proliferation. Besides, satellite observations suggest that the major Atlantic river plumes suffered a decrease of phytoplankton biomass in the last two decades. Reconciling these observations requires a better understanding of the nutrient sources that sustain *Sargassum* and phytoplankton growth in the region.

## 1. Context

Before 2010, holopelagic *Sargassum* spp. were preferentially found in the Sargasso Sea and in the Gulf of Mexico. They now develop in large quantities on the southern part of the North Atlantic between 0° N and 10° N forming a '*Sargassum* belt' stranding in millions of tons on the coasts of the Lesser Antilles, Central America, Brazil and West Africa. (e.g. Smetacek and Zingone 2013, Wang and Hu 2016, Langin 2018, Wang *et al* 2019). Satellite imagery pointed to the presence of large amounts of *Sargassum* in areas under seasonal influence of the Amazon plume (Gower *et al* 2013, Sissini *et al* 2017, Oviatt *et al* 2019, Wang *et al* 2019) raising the hypothesis that river nutrient fluxes might play a role in this proliferation (Langin 2018, Oviatt *et al* 2019, Wang *et al* 2019). A possible influence of the Congo has also been invoked in several studies (Djakouré *et al* 2017, Oviatt *et al* 2019). A recent study by Johns *et al* (2020), however, did not find strong evidence to support this hypothesis as there appears to be a spatiotemporal mismatch between Sargassum occurrence and these riverine sources of nutrients. Given the importance of this question and the present discrepancies in the scientific literature we find it important to examine to which extent the riverine source of nutrients may contribute to the proliferation of pelagic Sargassum. Indeed, several elements give support to a possible influence of the riverine sources of nutrients. First, rivers export nitrogen (N) and phosphorus (P), which are key limiting nutrients required for Sargassum growth (Lapointe 1986, 1995). Specifically, the Amazon also contains important concentrations of dissolved organic substrates that could be an important source of nutrient for Sargassum growth as reviewed in Oviatt et al (2019). Second, the Tropical Atlantic receives the fresh and nutrient rich waters of the three largest rivers on the planet—in terms of flow (Amazon, 209 000 m<sup>3</sup> s<sup>-1</sup>, Congo, 42 000 m<sup>3</sup> s<sup>-1</sup> and Orinoco, 35 000 m<sup>3</sup> s<sup>-1</sup>), which alone represent 21% of the total global riverine flow (Milliman and Farnsworth 2011). Their low-saline and productive plumes extend thousands of kilometers far offshore (Muller-Karger et al 1988, Signorini et al 1999). Third, the watersheds undergo strong climatic and anthropogenic pressures that are thought to have the potential to modify oceanic biogeochemical systems. For instance, Seitzinger et al (2010) estimated that the total river input of N to the coastal seas has approximately doubled since the 70 s, with South America representing  $\sim$ 20% of the global increase. The Amazon basin already shows some signs of a transition to a disturbance-dominated regime in response to agricultural expansion and climate variability (Davidson et al 2012). The region experiences a strong anthropogenic pressure associated with a rapid urbanization (Richards and Vanwey 2015), intense hydropower dam construction (Latrubesse et al 2017), and increase of mining and oil extraction contamination (e.g. Moquet et al 2014). The overall consequences of these changes in terms of nutrient budget remain uncertain since they can act as a source or a sink of nutrients.

In this context, the long-term evolution of the continental nutrient export to the Tropical Atlantic is investigated on the basis of *in situ* observations of the major dissolved and particulate nutrients exported by the three main rivers of the basin (Amazon, Orinoco and Congo). Satellite estimates of chlorophyll provide an independent set of observations to monitor the long-term changes of biological activity in the large river plumes. Finally, the large-scale seasonal distribution of *Sargassum* for year 2017 is confronted to numerical experiments of river plume dispersal. We focused on this year because basin scale *Sargassum* fractional coverage observations from MODIS were available (Berline *et al* 2020), with concurrent observations carried out during two cruises in the Tropical

Atlantic (Ody *et al* 2019). Year 2017 was the third most important year of the decade in terms of quantity of *Sargassum* (as inferred from time series in Wang *et al* 2019), with a seasonal pattern that closely mirrors the averaged seasonal pattern from Wang *et al* (2019).

## 2. River nutrient fluxes

The productivity of the Sargassum is enhanced by N and P availability (Lapointe 1995). At global scale, the rivers carry N to oceanic coastal zone in dissolved and particulate forms in almost equal proportion (Joo et al 2013) while P is mainly exported as particulate form (90%-95% of the total P flux to the ocean; Ruttenberg 2004). About 25%-45% of the particulate P (Ruttenberg 2004) and a significant proportion of particulate N are reactive in the sea water and bioavailable for marine organisms including the seaweed. The dissolved and particulate N and P fluxes measured or estimated at the seaward-most stations for the Amazon, Orinoco, and Congo basins are shown in figure 1 for the last two decades. These data were collected by the SO-HYBAM observatory and are presented together with riverine flux calculation methods in the supplementary material.

For the three rivers, the largest input of N is provided by the dissolved organic matter. Dissolved organic nitrate delivered by the Amazon is thought to become bioavailable in the offshore fraction of the plume through bacterial and photochemical transformations (Medeiros et al 2015). For the Amazon, this flux appears to regularly increase from 2004, apart from maxima in years 2007 and 2008. Observations for the Orinoco suggest a doubling of this flux over the last 15 years (figure 1). The particulate fluxes of N, estimated from remote sensing, is also expected to contribute to nutrient supply through desorption of the shelf (Demaster and Aller 2000). It is stable for the three rivers. The dissolved inorganic N flux, computed from NO<sub>3</sub><sup>-</sup> in situ measurements, show larger values during the last decade for the three rivers (figures 1(a)–(c)). Before 2013, values above the detection limit (0.01 mg  $l^{-1}$ ) were of similar magnitude than independent Amazon (Richey et al 2009, Ward et al 2015, Doherty et al 2017), Orinoco (Lewis and Saunders 1989) and Congo (Descy et al 2017) water analyses. They did not show a marked evolution over this period. From the years 2013-2014, the average concentration of NO3<sup>-</sup> has increased for the three rivers. On the one hand, the scatter of the measured concentrations is so high that it is difficult to determine how significant the NO<sub>3</sub><sup>-</sup> increase really is. On the other hand, the more frequent recording of high NO<sub>3</sub><sup>-</sup> fluxes is of concern and suggests a potential evolution of the dissolved NO<sub>3</sub><sup>-</sup> export that needs to be investigated. However, it should be noted that the marked changes in terms of NO<sub>3</sub><sup>-</sup> for the different



**Figure 1.** Interannual variations of dissolved inorganic N (red) and P (blue) fluxes (left column), dissolved organic N and P fluxes (central column) and particulate N and P fluxes (right column). Data are from 2003 to 2018 and include the three largest rivers of the Tropical Atlantic: (a), (d), (g) the Amazon at Óbidos station, (b), (e), (h) the Orinoco at Ciudad Bolivar station and (c), (f), (i) the Congo at Brazzaville station. Fluxes were computed from different data sources (*in-situ*, satellite, literature) and details are given in supplementary material. In (a)–(c), the annual mean N flux has been computed considering all the available Hybam monthly measured  $NO_3^-$  concentrations (dashed line) but also removing the 10% extreme values for each year (continuous line).

rivers occurred 2–3 years after the first massive proliferation of 2011.

In the Amazon river, the largest amount of P is delivered in particulate form (figure 1(g)). The importance of the particulate P is in line with observations by Berner and Rao (1994) who conclude that the solubilization of P from bacterial decomposition of river-transported organic matter and desorption from ferric oxide/hydroxide may result in an effective flux of reactive P about three times greater than that carried only in dissolved form. This particulate flux shows a slight decrease over the last two decades, while the inorganic and organic dissolved fluxes remained stable. The P fluxes for the Orinoco and Congo are one order of magnitude smaller than those of the Amazon.

So, observations show different long-term trends of inorganic, organic and particulate fluxes of N and P. No direct and clear relationship with Sargassum growth can be drawn, neither in terms of long-term evolution, nor in terms of interannual variability (e.g. no major peak of nutrient fluxes was observed during the record Sargassum years 2015 and 2018, and there is no clear relation with the basin scale Sargassum biomass time series from Wang et al 2019). Large uncertainties remain in the nutrient fluxes estimation and the fate of these nutrients in the open ocean, but these results already question whether the order of magnitude of the observed trends and variability are large enough to contribute to the inter-annual variability of the oceanic biological response.

# 3. Link with changes in plume productivity and *Sargassum* distribution

The diversity of the nutrient trends and the lack of knowledge on the lability of the dissolved and particulate riverine material render uncertain the assessment of the long-term evolution of the riverine fertilization of the ocean. As an independent marker of possible changes in the nutrient export by the large Tropical Atlantic rivers, the long-term evolution of surface chlorophyll estimated from satellite ocean color is now analyzed. Chlorophyll is the main pigment in phytoplankton and here we use chlorophyll as a proxy of phytoplankton biomass. As it has been evidenced for the Mississippi in the northern Gulf of Mexico (Lohrenz et al 1997, Rabalais et al 2002, Wysocki et al 2006), we expect that fluctuations in riverine nutrients alter the dynamics of phytoplankton growth and thus phytoplankton biomass in the large tropical river plumes. The difference between the 'Sargassum period' (2011-2018) and the years before (2003–2010) reveals an overall decrease of the chlorophyll concentration in the Tropical Atlantic (figure 2(b)). This decline is sharper in the Amazon, Orinoco, and Congo plume regions. Since Chlorophyll retrieval from space is subject to large discrepancies between the different available products, we compared five monthly chlorophyll products from three different groups (GlobColour, NOAA, and CCI). For the three rivers considered, four out of the five different products show a consistent decrease of chlorophyll concentration in the plume areas



extent of the regions used to computed the chlorophyll time series in figure S5.

## (figure S5 (available online at stacks.iop.org/ERL/16/ 034042/mmedia)).

The basin scale decrease of chlorophyll evidenced in figure 2(b) is in line with the study by Gregg and Rousseau (2019) that suggested that global net ocean primary production has experienced a small but significant decline in the 18 year satellite records from 1998 to 2015, in response to shallowing surface mixed layer depth, decreasing nitrate supply and changes in the phytoplankton communities. Chlorophyll concentrations in river plumes exhibit a larger decrease. The underlying cause of these changes in the chlorophyll content of the plumes is difficult to ascertain from observations only. It is worth mentioning that (a) colored detrital material contributes to total light attenuation in the blue region of the spectrum where chlorophyll-a also absorbs strongly (Fournier et al 2015) which could lead to large errors in ocean color retrievals, (b) the response of the productive plumes may not only depend on the riverine nutrient flux

but on other variables such as temperature, stratification, turbidity, or dust deposition. But this decrease, whether it is caused by a decrease of plume productivity or weaker discharge of dissolved colored material (which is not observed in SO-HYBAM observations of organic and particulate nutrient fluxes, figure 1) is difficult to reconcile with the hypothesis of an overall increase in fertilization by tropical rivers in recent years. A better understanding of the river plume biogeochemistry is required, together with analysis of possible competing growth dynamics between phytoplankton and *Sargassum*.

The seasonal distribution of *Sargassum* for year 2017 is shown in figure 3 together with the chlorophyll concentrations. The *Sargassum* bloom during the first 6 months of the year occurs preferentially in the Intertropical Convergence Zone (ITCZ; located between the equator and 10° N), where chlorophyll is relatively high compared to the surrounding subtropical oligotrophic area. To our knowledge,



(c) river tracer surface distribution (no unit, initialized at 1 at the river mouth) with half-life time scale of 6 month from a ¼ degree NEMO regional simulation. Data are all for year 2017 and have been averaged over 2 month periods.

the causes of the high chlorophyll level have not been identified, but could be the result of diatomsdiazotroph assemblages (Subramaniam *et al* 2008, Schlosser *et al* 2014), atmospheric deposition of dust (Yu *et al* 2015), or biomass burning emissions (Barkley *et al* 2019). Yet, the presence of relatively high chlorophyll concentration indicates nutrient availability that may participate to sustain *Sargassum* growth.

Interestingly, we remark that during September– October, when the North Brazil Current retroflects and transports the Amazon riverine freshwater to the east, the abundance of *Sargassum* in the plume area between 60° W and 40° W, is drastically reduced relative to the two previous months. The North Brazil Current is mainly fed by waters originating from the equatorial area and the southern Tropical Atlantic (Johns et al 1998) where no massive proliferation of Sargassum was observed in the previous months. Our interpretation is that the weak abundance of Sargassum in the plume at this time is mainly controlled by advection of low Sargassum water in the region. The low salinity of the plume could also limit the proliferation of Sargassum there. Indeed, culture experiments of Sargassum natans and Sargassum fluitans described in Hanisak and Samuel (1987) revealed some dependence of their growth rate to salinity. A reduction in salinity from 36 to 30 caused a reduction in the growth rates by almost half, and no growth was observed for salinity below 18. This effect may likely limit the fertilizing effect of the nutrient rich river plumes.



The river plume dispersion numerical experiment (figure 3) also reveals that the central Atlantic is not under the influence of the Amazon plume during the first half of the year. The largest coincidence between the plume and Sargassum distribution occurs in June–July–August (figure 4), when the Amazon plume extends toward the Lesser Antilles. This is in line with the analysis by Gouveia et al (2019) that showed that the Amazon plume fingerprints on oceanic primary productivity spatio-temporal variability are restricted to the western Tropical Atlantic. The first 6 months of the year appear to be crucial for the occurrence of Sargassum along the south American and Caribbean coasts a few months later (Wang and Hu 2017, Putman et al 2018, Wang et al 2019, Berline et al 2020). Even if Amazon river fertilization could contribute to the seasonal growth in the portion of western Tropical Atlantic under seasonal influence of the Amazon plume (an area between 60° W and 40° W and between 0° N and 20° N), this analysis further suggests that it does not drive the largescale seasonal bloom. At the annual scale, we found that only 9% of the Sargassum biomass occurred in the river plume area in 2017, with occurrence below 5% from September to May and peak at 23% in July when the plume is well extended toward the Lesser Antilles. It is even more unlikely that the Congo and Orinoco rivers could contribute to the large-scale bloom due to the limited imprint of the plumes on the chlorophyll distribution and remoteness of the river plumes from the main Sargassum bloom areas.

As a conclusion, while increasing inputs of N and P in the watershed from human activity, predominantly from land-based activities, are thought to have the potential to significantly increase the nutrient fluxes toward the ocean and have been proposed as contributors of the Sargassum proliferation, this analysis suggests that riverine fertilization is unlikely a key controlling factor of both seasonal and interannual variability of the Sargassum biomass. In agreement with recent findings by Johns et al (2020), it fails to explain the Sargassum distribution shift that occurred after 2010. Instead, Johns et al (2020) proposed that an extreme negative phase of the North Atlantic Oscillation triggered the 2011 event and that vertical mixing dynamics below the ITCZ sustains Sargassum growth in the Central Tropical Atlantic. This is in line with the enhanced chlorophyll concentrations observed below the ITCZ (figure 4). However, the forcing processes sustaining the productivity there remain to be clarified. This study also reminds us that advection is instrumental in controlling the seasonal distribution of Sargassum, as already revealed by several studies (Brooks et al 2018, Wang et al 2019, Berline et al 2020). Although much progress has been made recently on how Sargassum advection responds to currents and winds (Berline et al 2020, Putman et al 2020, Miron et al 2020), this issue has yet to be fully evaluated and understood. That key aspects of growth and movement are missing from for our ability to understand and forecast spatiotemporal variability in the distribution of pelagic Sargassum.

## 4. Methods

Methods and associated references are available in the supplementary material.

## Data availability statement

The data that support the findings of this study are openly available at the following URL/DOI: https://hybam.obs-mip.fr.

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### Authors contributions

All authors contributed to the interpretation of the results and writing of the manuscript. J J and J S M designed the study. J J implemented the numerical simulations, and conducted the comparison with observations. G M M and F M participated to the long-term hydrological measurements. J S M, W S and J M M performed the hydrological analysis. L B and W P produced the basin scale *Sargassum* observations. M H R contributed to the ocean color analysis.

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## B. Croissance différenciée des trois morphotypes de sargasses

L'article suivant détaille les résultats principaux d'une expérimentation hybride, à moitié en milieu naturel (eaux littorales de la Martinique) et à moitié en laboratoire, sur les algues sargasses. Il y a été question de la croissance des trois morphotypes courants actuellement dans différentes conditions d'enrichissement en nutriments. Si l'article ne se focalise qu'exclusivement sur les taux de croissance qui diffèrent selon les morphotypes, l'apport de la présente thèse concerne l'analyse de ces taux de croissance en fonction d'enrichissement en phosphore et en nitrate. Du fait d'événements météo imprévus, les résultats de cette partie de l'étude ne sont pas exploitables et n'ont pas été valorisés dans cette publication. Il en est néanmoins ressorti que l'enrichissement en azote, ce qui a nourri les travaux de modélisation de la croissance du chapitre 5.

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Short communication

# Variability in growth and tissue composition (CNP, natural isotopes) of the three morphotypes of holopelagic *Sargassum*

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#### $A \hspace{0.1cm} B \hspace{0.1cm} S \hspace{0.1cm} T \hspace{0.1cm} R \hspace{0.1cm} A \hspace{0.1cm} C \hspace{0.1cm} T$

Holopelagic *Sargassum* blooms in the tropical North Atlantic since 2011 are composed of two species, *Sargassum natans* and *S. fluitans*, and three morphotypes: *S. natans* VIII, *S. natans* I and *S. fluitans* III. The distinct morphology and the variations in space and time of the proportion of these three morphotypes suggest that they may have different physiology. For the first time, we have quantified the growth rates of these three morphotypes through in situ 9-day experiments on the coast of Martinique Island (French West Indies). Despite the non-optimal conditions for growth for these pelagic species and the short time of the experiment, we have observed that *Sargassum fluitans* III was growing faster (approximately twice as fast) than *S. natans* VIII and *S. natans* I. *Sargassum natans* I exhibited the slowest growth. The differences in tissue composition (CNP and CN natural isotopes) of morphotypes point to a greater benefit for *S. fluitans* III and achieved its last growth further offshore before our experiment. These contrasting growth performances are consistent with the dominance of *S. fluitans* III in recent observations in the Caribbean region and along the path from the *Sargassum* belt. This also makes this last morphotypes may improve the current predictive models about dispersal of these species.

#### 1. Introduction

Since 2011, the tropical North Atlantic Ocean has been the site of seasonal blooms of holopelagic *Sargassum*, rooted in the North Equatorial Recirculation Region. Holopelagic *Sargassum* are currently forming the Great Atlantic *Sargassum* Belt that can be observed from space (Wang et al., 2019), and causes strandings westwards, along the whole of the North Atlantic coast of South America and the Caribbean area, including the Gulf of Mexico, and eastwards along the West African coasts (Berline et al., 2020).

These strandings are composed of three distinct morphotypes: *Sargassum natans* VIII Parr, *S. natans* I Parr, and *S. fluitans* III Parr (Schell et al., 2015). Each morphotype shows a distinct morphology especially blade size, number of blades and air bladders (floats) per stem, and

presence of thorns on the stem (García-Sánchez et al., 2020; Schell et al., 2015) suggesting that the three morphotypes may have different biological characteristics. This is confirmed by the recent growth rates observed in-situ and *ex-situ* for *S. natans* VIII and *S. fluitans* III (Magaña-Gallegos et al., 2023a), and *ex-situ* for the three morphotypes (Magaña-Gallegos et al., 2023b).

Since the beginning of *Sargassum* blooms in 2011, significant variations of the abundance in morphotype composition have been observed. Initially, S. *natans* VIII was dominant in the south (Antilles Current, Eastern Caribbean and Western Tropical Atlantic) and *S. natans* I in the north (south of the Sargasso Sea) (Schell et al., 2015; November 2014 to May 2015). In 2017, during two open ocean campaigns along a latitudinal gradient from Guyana to the Sargasso Sea (https://doi.org/10.17600/17004300) in May/June and following a longitudinal

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transatlantic route (https://doi.org/10.17600/17016900) in October from Cabo Verde Island to Guadeloupe, *S. fluitans* III appeared to be dominant north of Guadeloupe for the first cruise and everywhere for the second cruise. More recently, studies have shown a quasi-permanent dominance of *S. fluitans* III in *Sargassum* strandings on Mexican Caribbean shores from 2016 to 2020 (Vázquez-Delfín et al., 2021; García-Sánchez et al., 2020), along the Jamaican coast (Machado et al., 2022), and on the Caribbean, Florida and Bahamas coasts (Iporac et al., 2022) as well as on a transatlantic cruise in 2022 (https://energieau grandlarg.wixsite.com/website).

Biological models of *Sargassum* dynamics in the Atlantic Ocean (Brooks et al., 2018; Jouanno et al., 2021) use parameters based on physiological studies that do not differentiate between morphotypes (Hanisak and Samuel, 1987; Lapointe, 1995; Lapointe et al., 2014). However in macroalgae, the life traits are often taxon-dependent (Vranken et al., 2022) and therefore could explain the variations in dominance between morphotypes with time and across the North Atlantic Ocean. Taking into account differential growth rate may improve the model simulations.

Differential physiology would also impact tissue composition of *Sargassum* in CNP including C:N, N:P, C:P ratios and  $\delta^{13}$ C,  $\delta^{15}$ N isotopes, as it integrates *Sargassum* environmental history along its drift path (Lapointe et al., 2021; Vázquez-Delfín et al., 2021).

The aim of this work was then to quantify the growth rates and tissue CNP composition of the three morphotypes through in situ short term experiments in Martinique Island (French West Indies).

#### 2. Materials and methods

#### 2.1. Location of experimental site and Sargassum sampling

Experiments were performed on the east coast of Martinique Island, in Baie du Robert, close to the Ifremer marine station, where a meteorological station is located. It took place in May-June 2021, when the Island is frequently supplied with *Sargassum* (Johns et al., 2020). This shallow bay (<30 m depth) faces the Atlantic Ocean and receives *Sargassum* pushed by the northeast trade winds after passing over the continental shelf, which extends for more than 15 km offshore (Fig. S1).

The nutrient concentrations (NO<sub>3</sub> NO<sub>2</sub>, NH<sub>4</sub><sup>+</sup>, PO<sub>4</sub><sup>2</sup>) of surface seawater in the bay was monitored once every 2 months since 2017 as part of an extension of Ifremer's REPHY network (Belin et al., 2021) to the French overseas territories. The values (mean  $\pm$  SD) measured at the REPHY station, situated 400 m from our experimental site (S1), were low for a coastal station, especially when considering the different forms of N, NO<sub>3</sub>+NO<sub>2</sub> (0.3  $\pm$  0.3 µmol/L) and NH<sub>4</sub><sup>+</sup> (0.3  $\pm$  0.3 µmol/L), with regard to PO<sub>4</sub><sup>2-</sup> (0.07  $\pm$  0.05 µmol/L). This absence of pollution is confirmed by a previous detailed study of the bay (De Rock et al., 2019).

#### 2.2. Growth experiment

*Sargassum* individuals were collected off the coast within the bay selecting the young clumps following the criteria of Stoner and Greening (1984) to age the clumps. For each morphotype, we cut fragments of 5–20 cm length from the apical part, free from visible epiphytes. To be consistent with field observations, the three morphotypes were grown together. Approximately 20 g of wet weight of each morphotype (5–10 fragments, 60 g in total) hereinafter called a batch, were placed in 5 L transparent plastic bottles, perforated with one hundred holes to allow good water circulation (see Fig. S2 showing cultivation device). The density of 60 g for 5 L was chosen after trials to increase the time before first signs of degradation while maintaining a sufficient quantity of *Sargassum* for the analyses. These bottles were attached to mooring cables at 2 m depth to avoid destruction of the devices by wave effect. Temperature and light inside two of the four bottles was recorded with UA-002–08 (HOBO) data loggers.

2021. The wet weight was measured every 3 days both for batches and individuals. The wet weight of each batch was measured on a BAXTRAN BR balance (0.1 g readability) after dewatering using absorbent paper in a salad spinner. Inside each batch, three individuals per morphotype (n = 36) were identified with colored beads strung on a nylon thread attached to the fragment. The wet weight of each individual (n = 12 per morphotype) was obtained as for the batch but by using a more accurate balance (PRECISA 321LT, 0.1 mg readability). In addition, the number of floats was counted for each individual.

#### 2.3. Water, tissue, and data analysis

At the beginning of the experiment, and before each measurement session, we sampled the water in 200 mL plastic bottles to measure nutrient composition. The sample was fixed with 100  $\mu$ L HgCl<sub>2</sub> per bottle, and then stored in a cool place protected from light. The analyses were carried out by automated colorimetry for NO<sub>3</sub> NO<sub>2</sub>, NH<sub>4</sub><sup>4</sup>, PO<sub>4</sub><sup>2</sup> (Aminot and Kérouel, 2007) and for NH<sub>4</sub><sup>4</sup> (Holmes et al., 1999).

At the end of the experiment, eight samples (mix of individuals) of 5 g wet weight of each morphotype were analyzed for C, N, P,  $\delta^{13}C$  and  $\delta^{15}N$  tissue composition. These samples were dried in an oven at 60 °C during 48 h, reduced into powder, acidified to eliminate mineral sources of carbon, and analyzed by spectrometry following Raimbault et al. (2008).

The growth rate (GR) in weight was calculated in  $d^{-1}$  following:

$$GR_d = \frac{1}{d} \ln \left( \frac{W_d}{W_0} \right)$$

were d = number of days (d = 9 for the entire experiment) and  $W_d$  = wet weight at day d,  $W_0$  = wet weight at day 0.

The floats ratio (FR) was calculated (in %) with reference to the initial number of floats for the entire experiment following:

$$FR = \frac{N_9}{N_0}.100$$

were  $N_9$  = number of floats at day 9 and  $N_0$  = number of floats at day 0. Non parametric Kruskal-Wallis test (KW test) followed by Dunns

post-hoc test were used to test the morphotype effect on *Sargassum* GR, FR and tissue composition with a significance level of 0.05.

#### 3. Results

#### 3.1. Field conditions

During the 9 days of experiment, water temperature inside the bottles varied from 28 °C at night to 31 °C during the day (06:00 AM-6:00 PM) when light inside the bottle varied from 74 to 740 µmol photons  $m^{-2} s^{-1}$  with a mean value of 137 µmol photons  $m^{-2} s^{-1}$ . The nutrient concentrations were high and variable compared to REPHY measurements (respectively 1.7  $\pm$  2.0 vs 0.3  $\pm$  0.3 µmol/L for NO<sub>3</sub><sup>+</sup>+NO<sub>2</sub>, 2.1  $\pm$  1.7 vs 0.3  $\pm$  0.3 µmol/L for NH<sub>4</sub><sup>+</sup> and 0.3  $\pm$  0.3 vs 0.07  $\pm$  0.05 µmol/L for PO<sub>4</sub><sup>2</sup>).

The daily rainfall, including one day before the start of the experiment, varied from 0 to 10.6 mm, with a mean of 1.52 mm which is below the average of 2.07 mm from May to June 2021 at the station. The wind speed and direction were regular for the season (9.73 m.s<sup>-1</sup> oriented WNW (67.27°)). The high nutrient values compared to REPHY station were mainly related to the location of our experimental site closer to the coast and human activities.

#### 3.2. Patterns of change in the Sargassum weight and floats ratio

The increase in *Sargassum* weight along the experiment was clearly visible when considering the batches (Fig. S3). After 9 days, the initial 20 g were exceeded by all morphotypes, reaching about 25 g for

*S. natans* VIII and *S. natans* I and approaching 30 g for *S. fluitans* III (see pictures Fig. S4). After 6 days, the weight increase slowed down for all morphotypes. In contrast, this increase was lower and more variable in the individual measurements (Fig. S3). The floats ratio (FR) after 9 days was overall below 100 %, showing a loss of floats for all morphotypes (Fig. S5). This was especially the case for *S. natans* I.

#### 3.3. Growth rate

For all morphotypes, the GR over every 3-day period decreased overall over time from the beginning of the experiment (Fig. 1 A). The median value of batch GR varied from 0.063 to 0.022 d<sup>-1</sup> after 3 days, from 0.044 to 0.018 d<sup>-1</sup> after 6 days, and from 0.019 to  $-0.006 d^{-1}$  after 9 days. *Sargassum fluitans* III had always the highest GR values and *S. natans* I the lowest. *Sargassum natans* VIII GR was intermediate. After 9 days, the individual GR showed a significant variation between morphotypes (KW test  $\chi^2 = 16.244$ , df = 2, p-value = 0.0002969). The Dunns post hoc test gives two significant results: *S. fluitans* III vs *S. natans* I (p = 0.0000678 \*\*\*) and *S. fluitans* III vs *S. natans* VIII (p = 0.0313 \*). Even if the mean individual GR of *S. natans* I was negative, linked with the first signs of senescence, the mean batch GR of this morphotype was positive (Fig. 1 B).

#### 3.4. Tissue elemental composition (C, N, P, $\delta^{13}$ C, $\delta^{15}$ N) of Sargassum

The effect of morphotype was significant only for %N,  $\delta^{15}$ N,  $\delta^{13}$ C and C:N (Table S1). For other elements, the median values were %C = 23.52 %, %P = 0.07 %, N:P = 30.33 and C:P = 827.43.

The post hoc Dunn tests (Fig. 2; Table S1) showed that *S. fluitans* III was characterized by a high %N,  $\delta^{15}$ N and low C:N and  $\delta^{13}$ C. In contrast, *S. natans* VIII showed low %N,  $\delta^{15}$ N and high C:N and  $\delta^{13}$ C and *S. natans* I was essentially characterized by a low  $\delta^{15}$ N.

#### 4. Discussion

#### 4.1. Changes in growth performance during the experiment

For the three morphotypes, GR  $(0.02-0.04 \text{ d}^{-1} \text{ for batches})$  were in the low range of literature growth data reported by Brooks et al. (2018), i.e.  $[0.029-0.11] \text{ d}^{-1}$  relying on in situ (Lapointe, 1986; Lapointe et al., 2014) and laboratory experiments (Hanisak and Samuel, 1987). In addition, GR decreased with time for all morphotypes. This does not align with the neritic origin of our samples, generally associated with low nutrient limitation and high GR following Lapointe (1995). These results, for both batches and individuals, indicate that algae were not in optimal growth conditions. This decrease of GR may be due:

- to excessively high seawater temperatures [28–31 °C] observed during the experiment, as decrease in growth after 24 °C was observed by Hanisak and Samuel (1987) for *S. natans*;
- to light limitation since our mean light measurement of 137  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> in the bottle corresponds to intermediate GR of 0.02 d<sup>-1</sup> (Hanisak and Samuel, 1987);
- to stress related to the confinement in the bottles despite the numerous holes made in order to renew the water. On the one hand, pelagic *Sargassum* are known to produce large quantities of dissolved organic carbon (Powers et al., 2019) that promote, together with high nutrient level, bacterial growth (Michotey et al., 2020). On the other hand, the lack of ventilation may lead to micronutrient depletion.

GR did not correspond to maximum growth values, taking into account both the phenomenon of growth and senescence over 9 days. Although culture conditions may be limiting, our results clearly show contrasting performances among morphotypes.

#### 4.2. Differential growth between the 3 morphotypes and implications

Sargassum fluitans III was growing faster, approximately twice as fast as *S. natans* VIII and *S. natans* I. This is consistent with lab experiment results of Hanisak and Samuel (1987), and *ex-situ* experiments of Magaña-Gallegos et al. (2023b), but differs from *ex-situ* and in-situ experiments for only two morphotypes of Magaña-Gallegos et al. (2023a). Moreover, *S. natans* I exhibited the slowest growth rate. This suggests that growth is morphotype dependent. When exposed to high temperature, high nutrient concentration and a slight light limitation, *S. fluitans* III does better than *S. natans* I.

These differences may have implications with regard to the relative abundance of morphotypes observed at sea and in strandings. However GR cannot be simply translated into abundances. The coexistence of the three morphotypes suggests that processes other than growth maintain competitive success of the *S. natans* morphotypes despite lower GR. Morphotypes may have differing environmental niches that were not spanned by our experimental conditions. For instance, in a more oligotrophic and colder environment than ours, *S. natans* I dominated during 2014 and 2015 north of 24°N (Schell et al., 2015). Magaña-Gallegos et al. (2023b) also found that morphotypes had distinct temperature optima.

Future measurements of growth in contrasted conditions may help to explain field observations of morphotype composition and the dominance of *S. fluitans* III in the Caribbean region and along the path from the *Sargassum* belt.

#### 4.3. Significance of CNP and isotope composition

Our results showed significant differences of %N,  $\delta^{15}$ N,  $\delta^{13}$ C and C:N between morphotypes while no difference has been found between *S. natans* and *S. fluitans* in the large (n = 488) and long-term dataset of Lapointe et al. (2021). Even if the tissue composition prior to experiment is unknown this discrepancy can be explained by the particular environmental history of our samples.

Overall, %N and %P cannot explain the different GR among morphotypes. Both *S. fluitans* III and *S. natans* I have similar %N and %P values, but different GR. It may be related to nutrient uptake that occurred before the experiment. To improve interpretations, future experiments should include isotopes analyses on freshly arrived *Sargassum* before starting the experiment.

The high N:P (30.33) and C:P value (827) of all morphotypes in our experiment suggests a limitation in P, as pointed out by Lapointe et al. (2021) for samples collected after 2010 s. This P limitation may explain why %N differences do not result in growth rate variations.

The value of %C (23.5 %) was low compared to the recent Mexican samples of Vázquez-Delfín et al. (2021). Conversely, %N values were high in agreement with the Lapointe et al. (2021) data for the 2010 s, except for *S. natans* VIII which were lower in our study. The high C:N values (36) of *S. natans* VIII suggest that this morphotype was not in good growing conditions.

The isotopic composition showed high values in  $\delta^{13}$ C which are footprints of the continental origin of C as a consequence of the coastal situation of our samples. The low values of  $\delta^{15}$ N of *S. natans* I may be indicative of diazotrophic fixation, common in pelagic *Sargassum* (Carpenter, 1972; Phlips and Zeman, 1990) while higher values may indicate enrichment by NO<sub>3</sub> present along the coast (Lapointe et al., 2021; Montoya, 2008). It is interesting to note that  $\delta^{15}$ N order among morphotypes follow the GR. This suggests that higher  $\delta^{15}$ N indicate more recent growth fueled by coastal NO<sub>3</sub>. That implies that the last growth of *S. natans* I was achieved at a greater distance in time and offshore. However, we would need the composition before the experiment to conclude with certainty on this point.

Thus, the significant variations of the elemental composition point to a greater benefit for *S. fluitans* III from the coastal situation of our experiment than for the two *S. natans* morphotypes.



**Fig. 1.** Holopelagic *Sargassum* growth rate ( $d^{-1}$ ) for each morphotype measured on batches (n = 4) and individuals (n = 12 per morphotype) every 3 days (A.) and over the 9 days of the experiment (B.). Box shows the sample median and the first and third quartiles. Whiskers extend to the last data point which is no more than 1.5 times the interquartile range. Outliers are shown as dots. The letter identifies the significant differences (p-value<0.05).





In conclusion, despite the non optimal conditions encountered in this in situ experiment, it shows for the first time contrasting growth performances between the three morphotypes that are consistent with their abundance in the field. Current models including algal growth, which do not distinguish between morphotypes, can be improved by taking these morphotype growth differences into account. These differences in growth are probably linked to photosynthetic processes between morphotypes that will have to be specified with new experiments. *Sargassum fluitans* III appears here as the most tolerant morphotype, best candidate for nearshore and indoor cultivation.

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#### CRediT authorship contribution statement

Conceptualization, Data curation, Methodology, Software, Supervision, Validation, Visualization (TC, LB), Formal analysis (TC, LB, WP), Funding acquisition, Project administration, Resources (TC,LB, TT), Investigation (TC, TG), Writing (TC, LB, SC, VSP, TT).

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

Data will be made available on request.

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#### Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at doi:10.1016/j.aquabot.2023.103644.

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